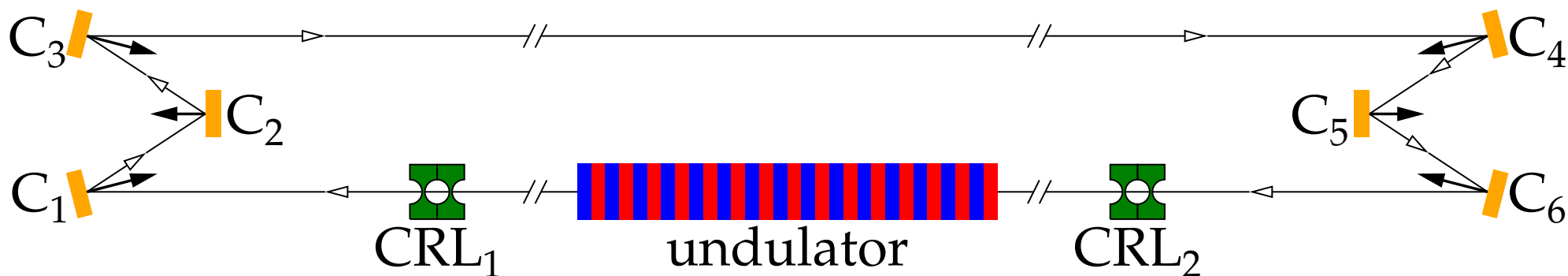


# XFEL cavity. Where we are?

*Yuri Shvyd'ko*



# Acknowledgments

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## XFEL collaboration (2009-now)

Kwang-Je Kim (APS)

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Stanislav Stoupin (APS)

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**Sergey Terentev (TISNCM)**

## **Thanks to:**

**Bill Fawley, (LBNL)**  
**Sven Reiche (SLS)**  
**Sergey Polyakov (TISNCM)**  
**Stan Whitcomb (LIGO)**  
**Harald Sinn (European-XFEL)**  
**Tim Roberts (APS)**  
**Kurt Goetze (APS)**  
**Ayman Said (APS)**  
**Thomas Gog (APS)**  
**T. J. Maxwell (SLAC)**  
**J. Arthur (SLAC)**  
**Y. Ding (SLAC)**  
**J. Frisch (SLAC)**  
**J. Hastings (SLAC)**  
**Z. Huang (SLAC)**  
**J. Krzywinski (SLAC)**  
**G. Marcus (SLAC)**

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**Many thanks to Makina Yabashi  
for valuable input & discussions**

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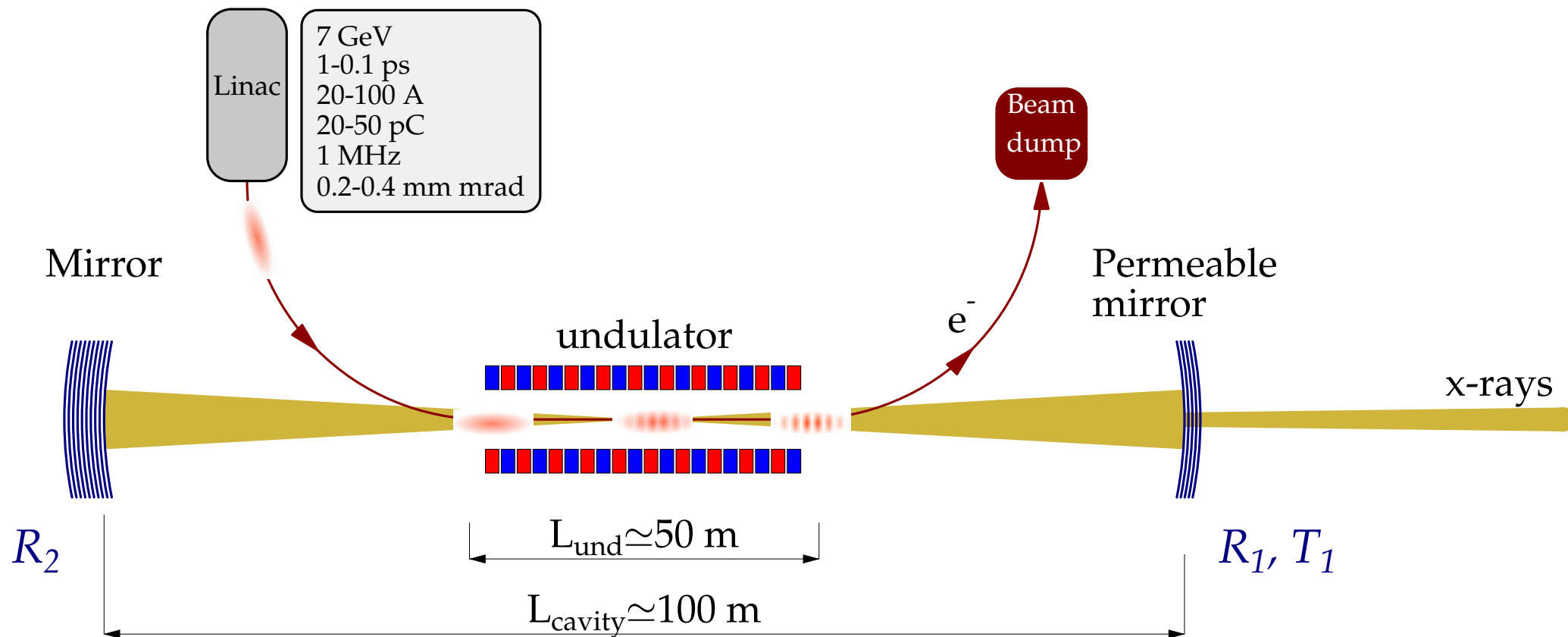


# Content

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- X-ray cavity designs
- X-ray cavity feasibility studies
- Conclusions and Outlook

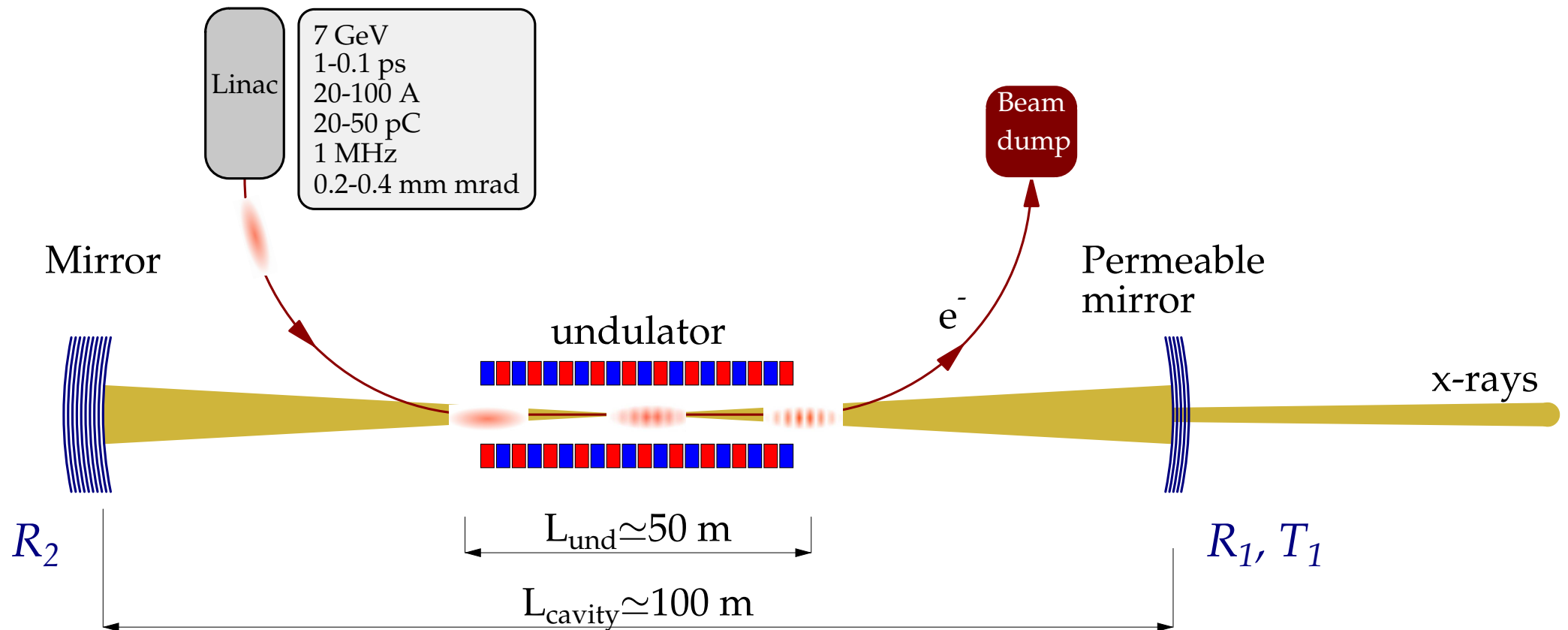
# XFEL Oscillator Scheme & Prerequisites



**XFEL is a low gain machine.**

**K.-J. Kim, Yu. Shvyd'ko, S. Reicher, PRL 100 (2008) 244802.**

# XFEL Oscillator Scheme & Prerequisites

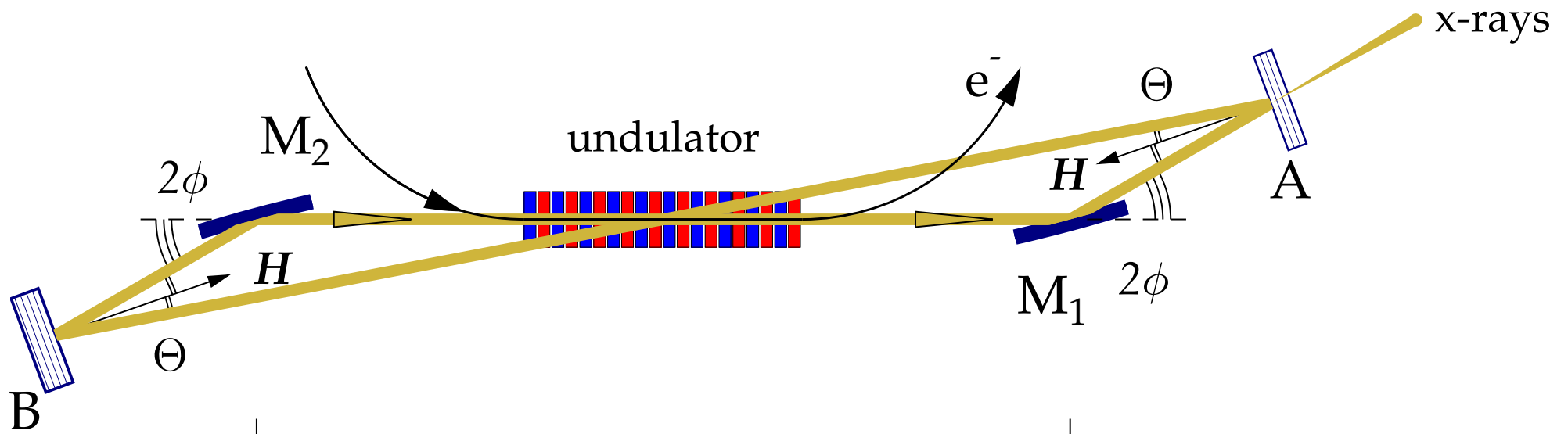


XFEL is a low gain machine. **It requires:**

- ultra-low-emittance ( $\epsilon_n \simeq 0.2 - 0.4 \text{ mm mrad}$ ) electron beams,
- low-loss x-ray crystal cavity (losses  $\lesssim 15\%$ )  **$R_1, R_2 \gtrsim 95\%, T_1 \simeq 4\%$**

K.-J. Kim, Yu. Shvyd'ko, S. Reicher, PRL 100 (2008) 244802.

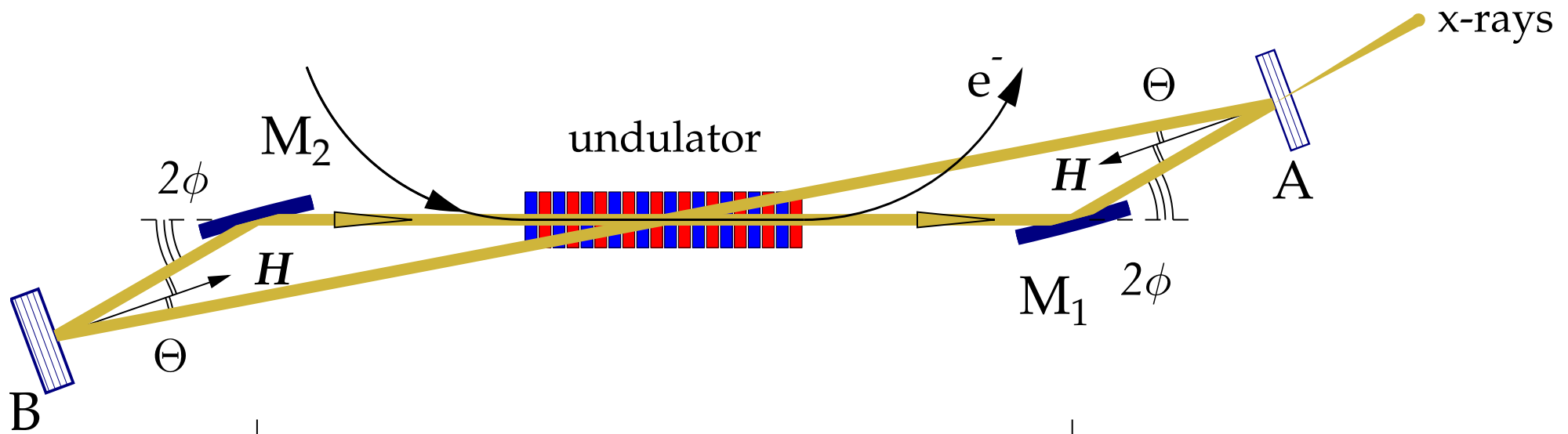
# Two-Crystal Cavity



$$R_A \times R_B \times R_{M_1} \times R_{M_2} \simeq 0.9$$

$$T_A \simeq 0.04$$

# Two-Crystal Cavity - Non-Tunable



$$R_A \times R_B \times R_{M_1} \times R_{M_2} \simeq 0.9$$

$$T_A \simeq 0.04$$

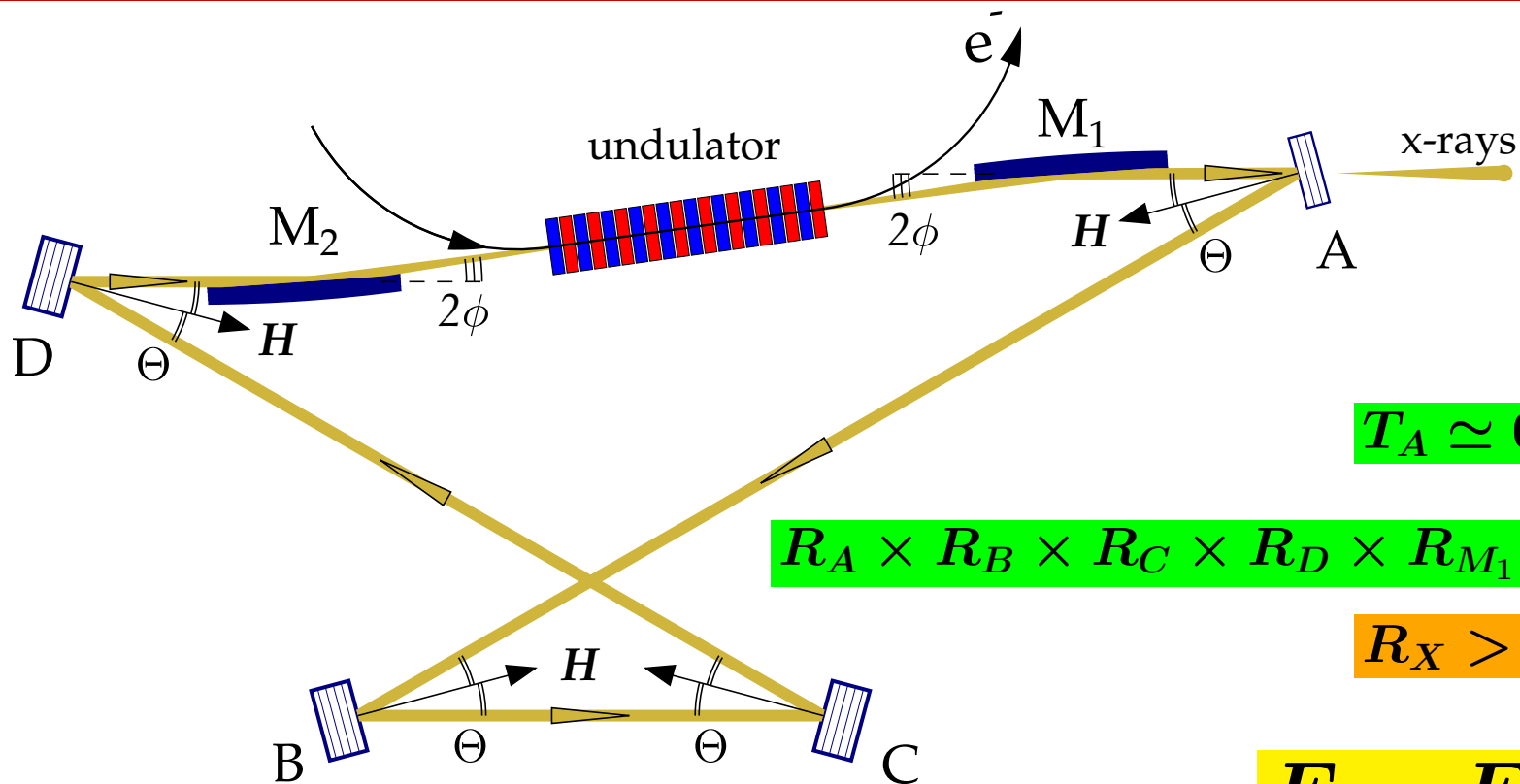
$E = E_H \cos \Theta \Rightarrow$  Two-crystal scheme is not tunable.

Because, it is necessary to keep small  $\phi \lesssim 2$  mrad

and therefore small  $\Theta \lesssim 2$  mrad, for high reflectivity of the mirrors.



# Tunable Cavity



$$T_A \simeq 0.04$$

$$R_A \times R_B \times R_C \times R_D \times R_{M_1} \times R_{M_2} \simeq 0.9$$

$$R_X > 98\%$$

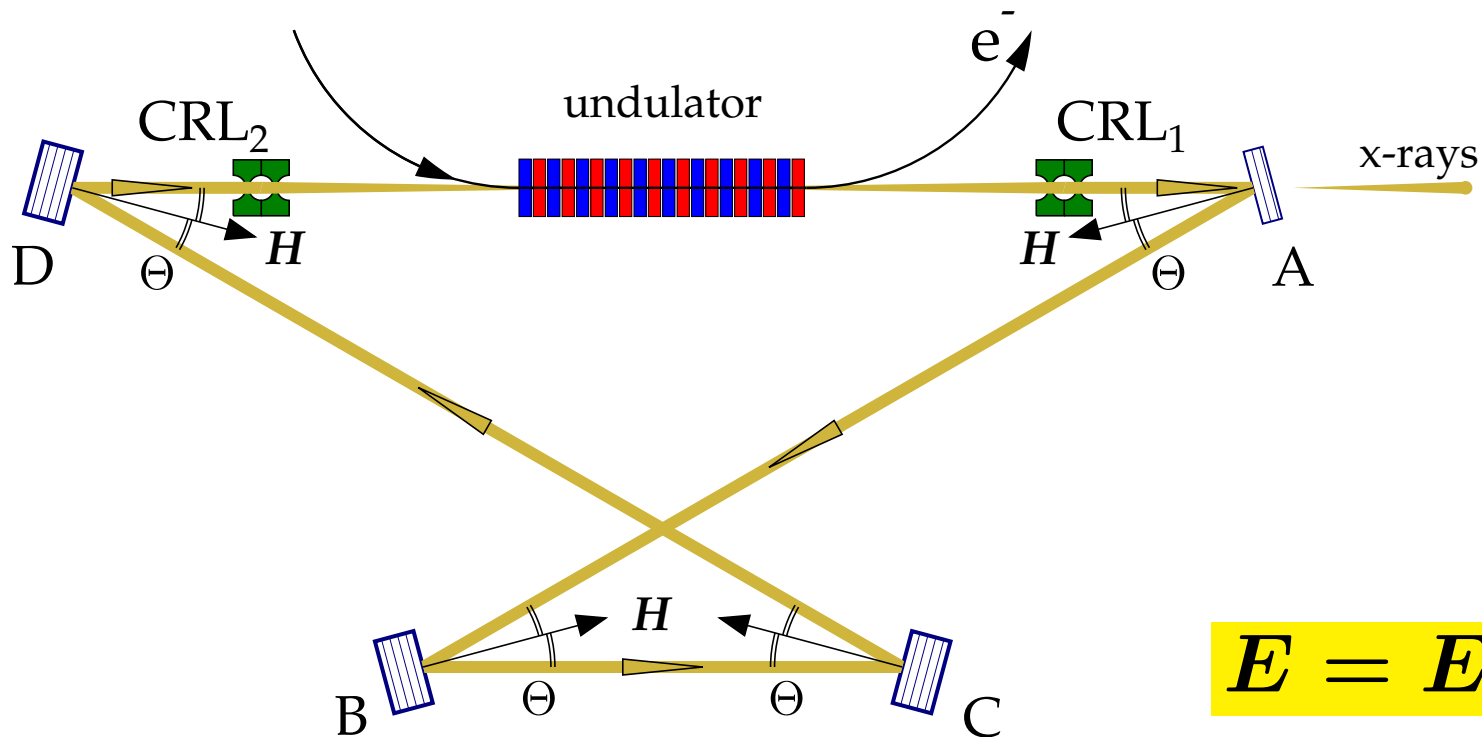
$$E = E_H \cos \Theta$$

A four-crystal (A,B,C, and D) x-ray optical cavity allows photon energy  $E$  tuning in a broad range by changing the incidence angle  $\Theta$ .

R.M.J. Cotterill, Appl. Phys. Lett., 12 (1968) 403

K.-J. Kim, and Yu. Shvyd'ko, Phys. Rev. STAB (2009)

# Tunable Cavity with CRLs



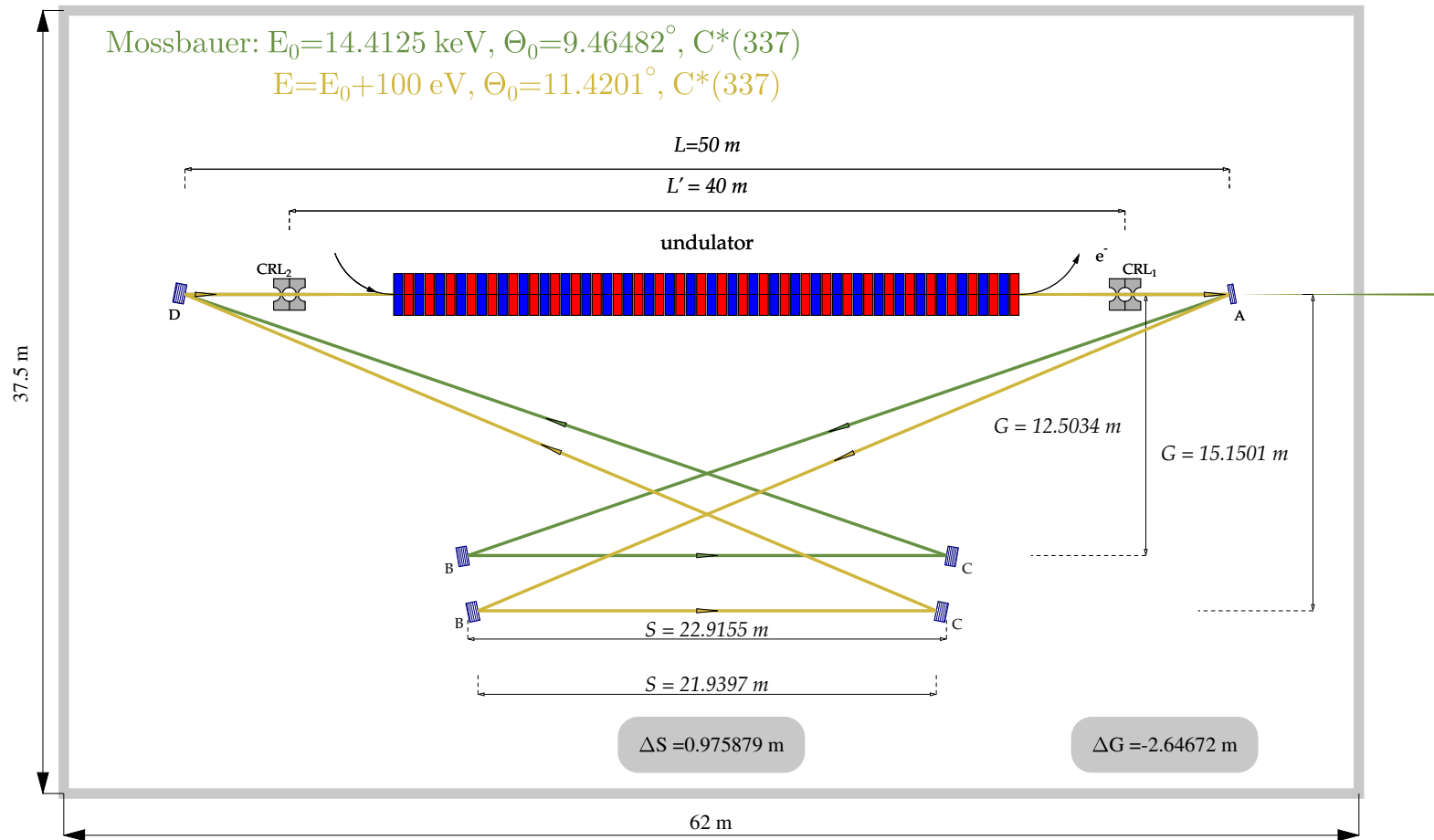
$$E = E_H \cos \Theta$$

A four-crystal (A,B,C, and D) x-ray optical cavity allows photon energy  $E$  tuning in a broad range by changing the incidence angle  $\Theta$ .

K.-J. Kim, and Yu. Shvyd'ko, Phys. Rev. STAB (2009)

# XFEL Tunability

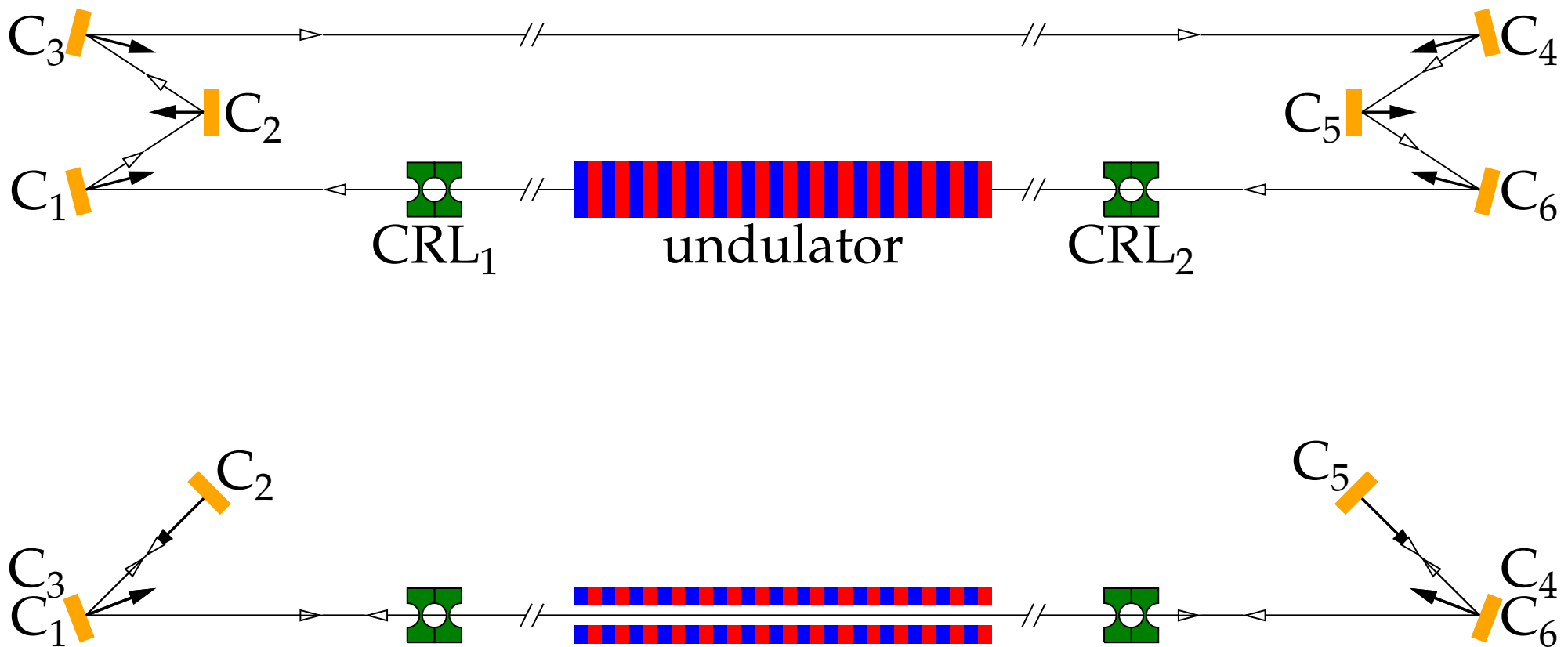
XFEL with zigzag cavity in ESA building @ SLAC



$\approx \pm 100 \text{ eV}$  tuning range is feasible.

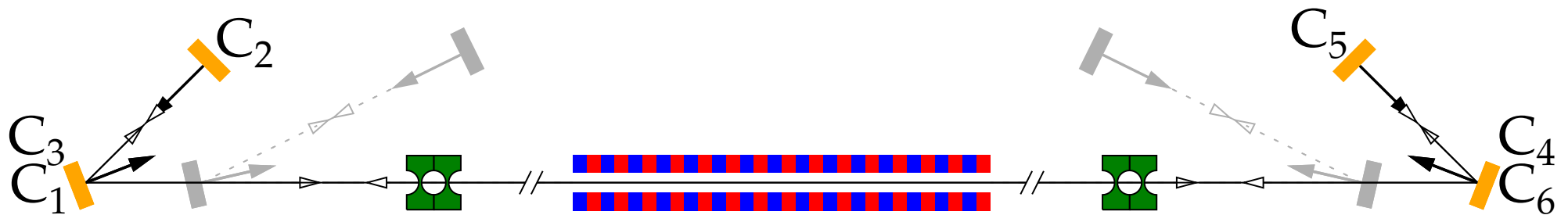
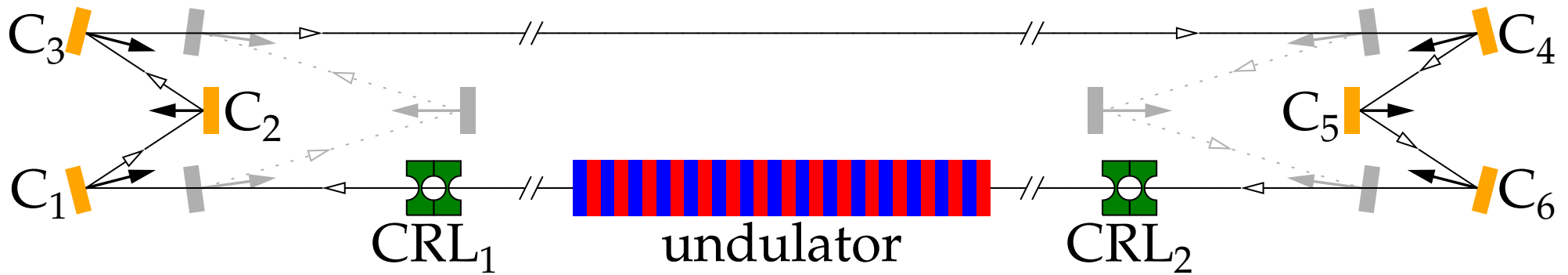


# Tunable Compact Non-Coplanar Cavity



A six-crystal ( $C_1, C_2, \dots, C_6$ ) x-ray optical cavity allows photon energy  $E$  tuning in a broad range by changing the incidence angle  $\Theta$ .

# Tunable Compact Non-Coplanar Cavity



A six-crystal ( $C_1, C_2, \dots, C_6$ ) x-ray optical cavity allows photon energy  $E$  tuning in a broad range by changing the incidence angle  $\Theta$ .

Can be tuned easily by  $\Delta E \simeq 1 \text{ keV}$   $E \simeq 14 \text{ keV}$

Yu. Shvyd'ko, Beam Dynamics Newsletter No. 60, April 2013, 68-83

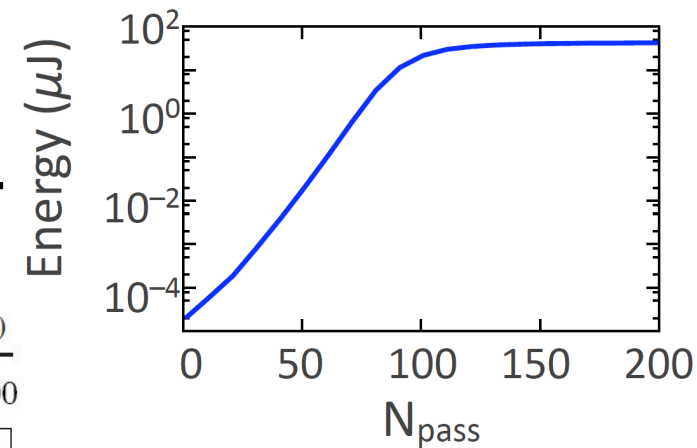


# XFEL Spectral Bandwidth

Determined by the length of the electron bunch.

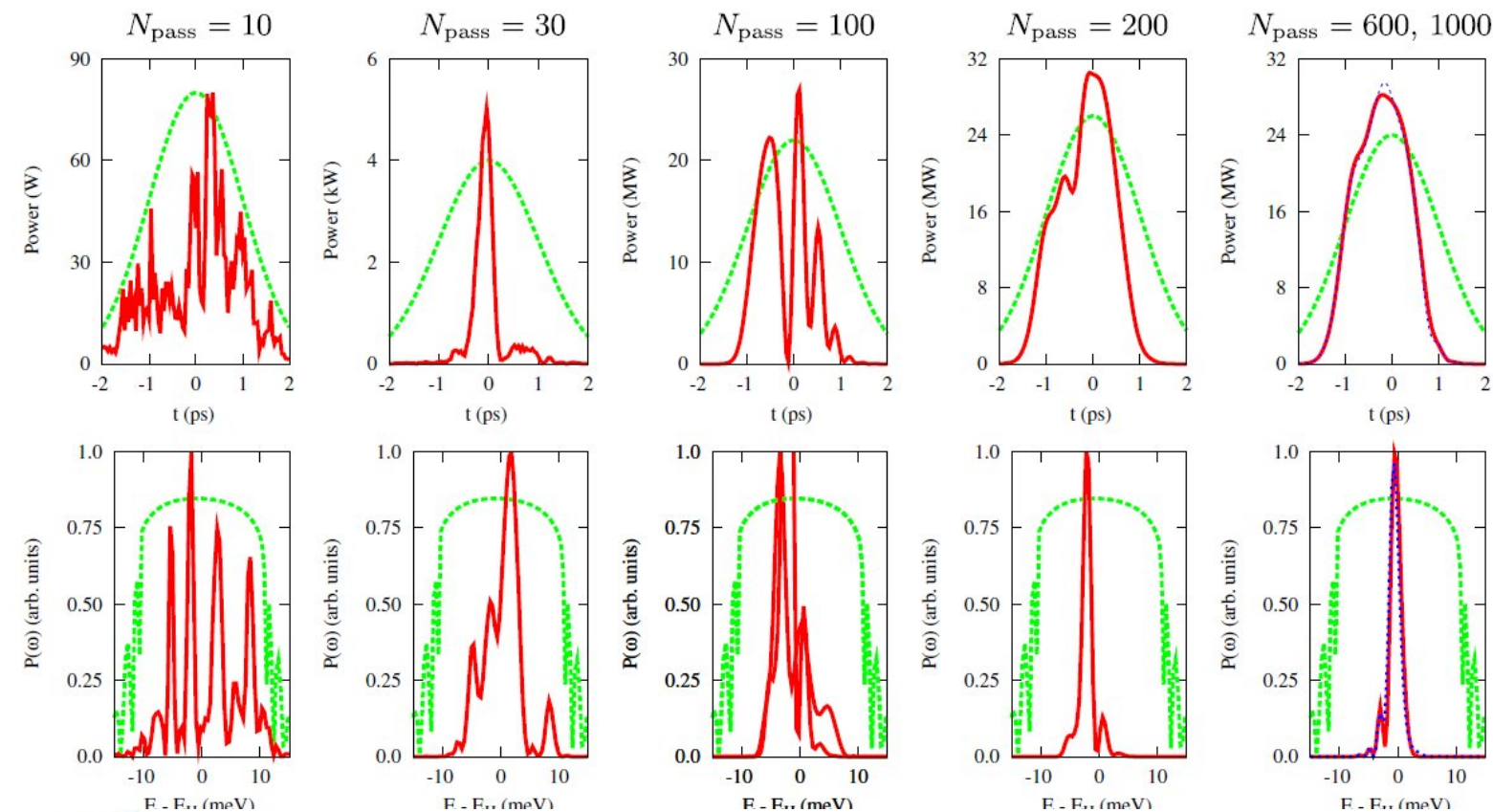
Fourier-transform-limited after  $\gtrsim 200$  passes.

Crystal cavity helps to generate the monochromatic seed.

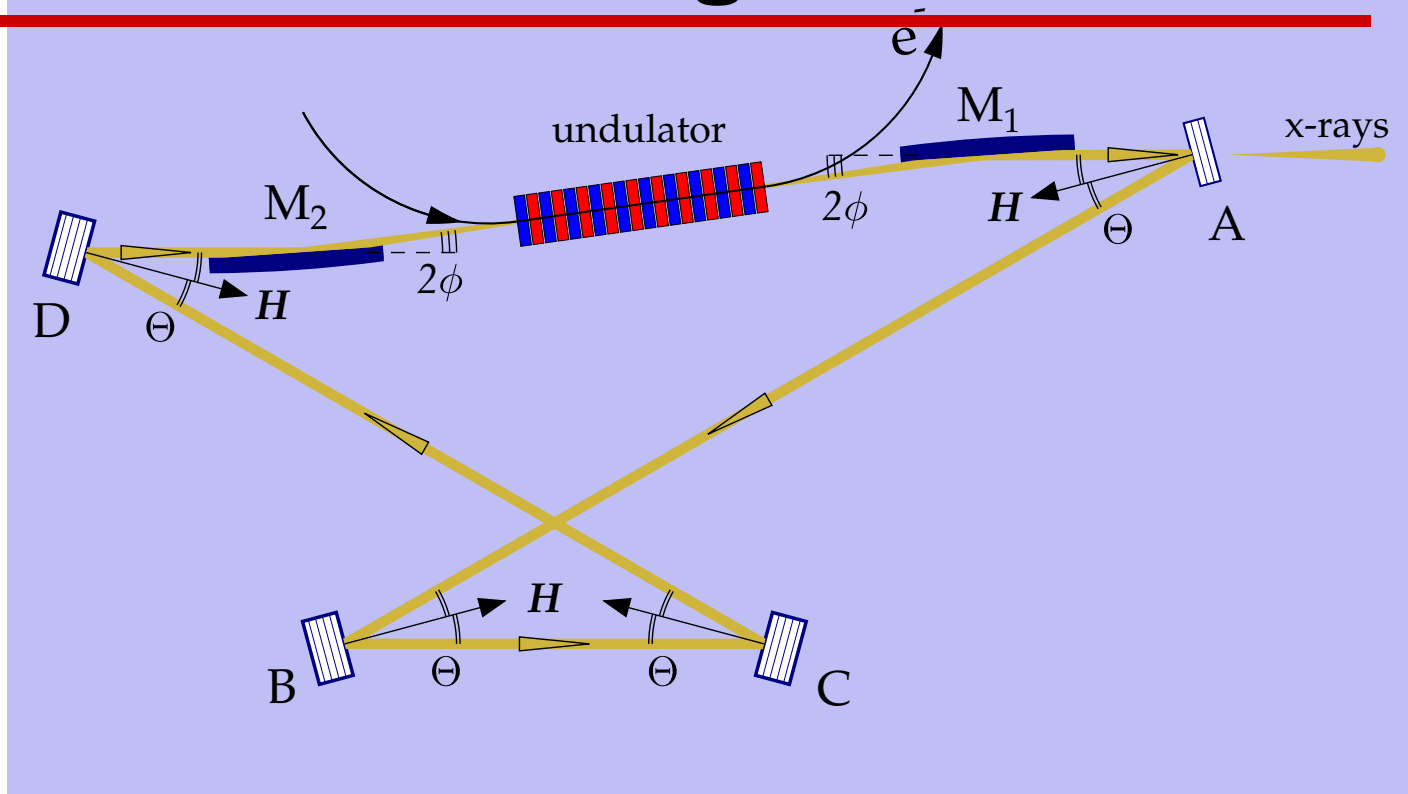


LINDBERG *et al.*

Phys. Rev. ST Accel. Beams **14**, 010701 (2011)



# XFEL Cavity Technical Challenges

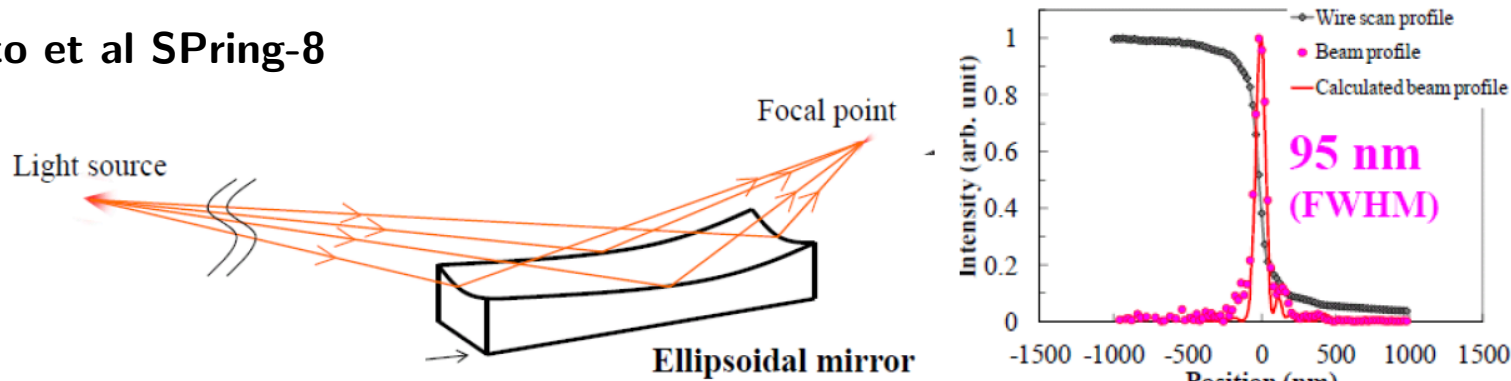


- Efficient ( $> 98\%$ ) & wavefront preserving focusing/collimating optics
- Crystal reflectivity: is the required  $> 98\%$  reflectivity in backscattering feasible?
- Heat load problem: reflection band instability due to thermal variations  $\lesssim 1$  meV.
- Angular stability:  $\delta\theta \lesssim 10$  nrad (rms)
- Spatial stability:  $\delta L \lesssim 3 \mu\text{m}$  (rms)  $\rightarrow \delta L/L \lesssim 3 \times 10^{-8}$
- Radiation resilience to  $4 \text{ kW}/\text{mm}^2$  irradiation.

# Focusing and Collimating Optics

- State-of-the-art x-ray ellipsoidal mirrors may feature close to 99% reflectivity &  $\lesssim 0.1 \mu\text{rad}$  figure error

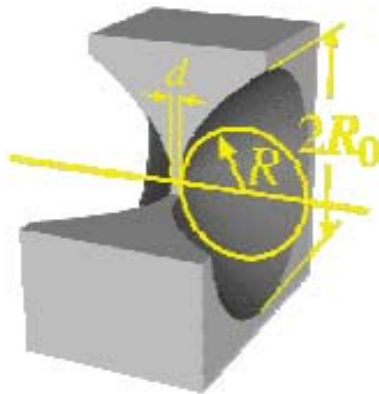
Yumoto et al SPring-8



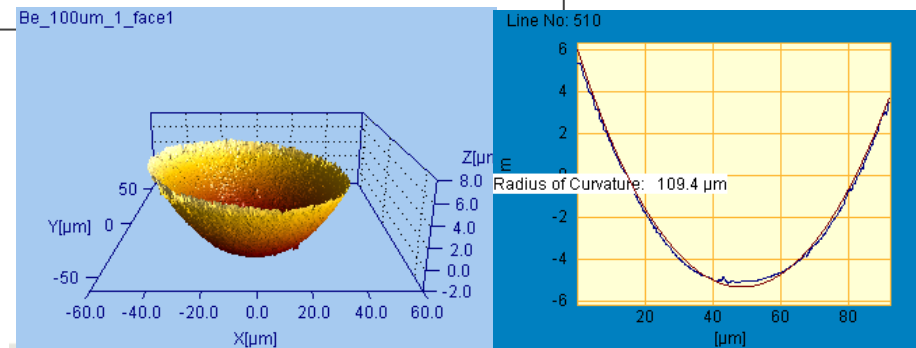
Yumoto-san

- Paraboloidal Be compound refractive lenses (CRL) may feature high transparency  $\simeq 99\%$  for large focal length  $\gtrsim 20 \text{ m}$ .

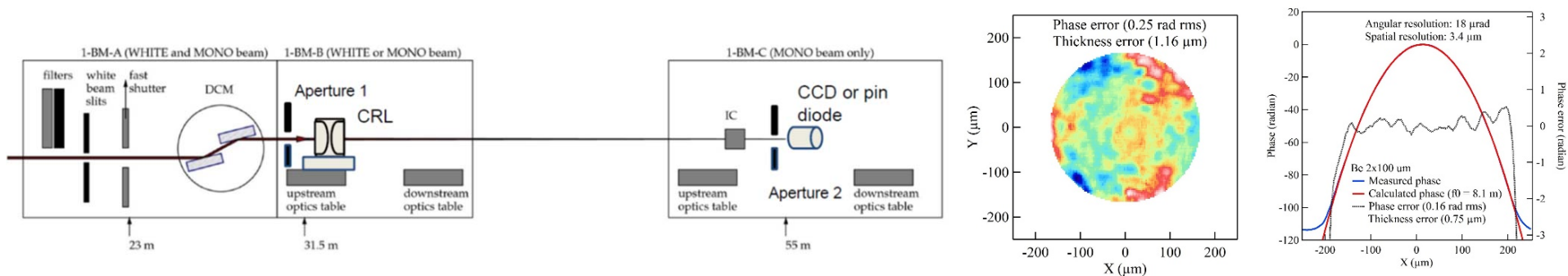
A. Snigirev et al & B. Lengeler et al ESRF



For 14.4 keV,  $f = 21.1 \text{ m}$ ,  $d = 30 \mu\text{m}$ ,  $\sigma_r = 28 \mu\text{m}$ ,  
 Crystalline Be, IF 1 grade:  $Tr = 99.74\%$   
 PS20 E grade (atten. length 60% of IF-1):  $Tr = 99.56\%$



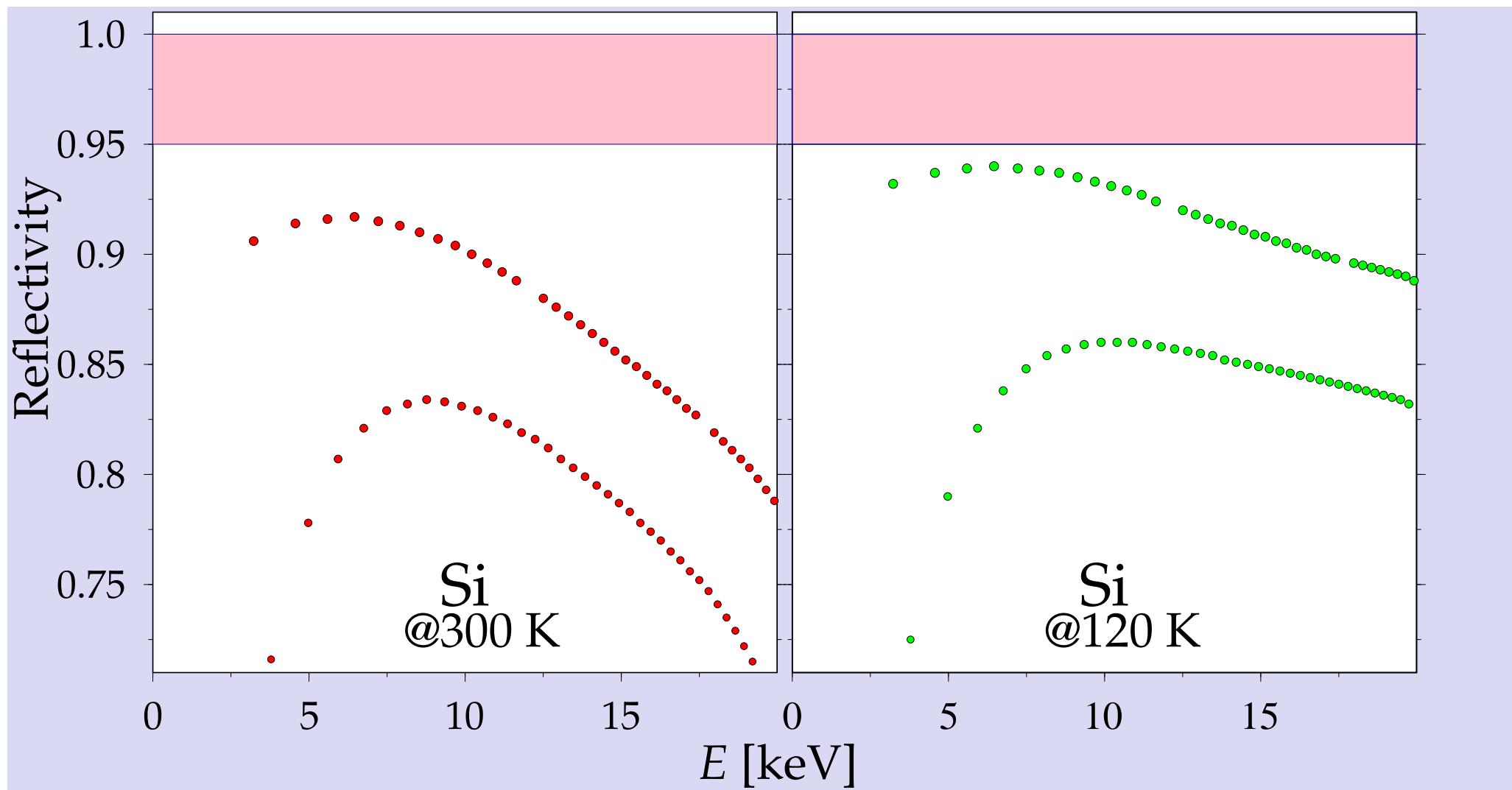
# Be-CRL Focusing & Transparency Test at the APS



- Transmission of a Be-CRL of  $f=50$  m (from Lengeler) was tested to be close to 99%
- Wavefront measurement data shows  $< 1 \mu\text{m}$  surface error
- **Be-CRL endurance under intense x-ray exposure was demonstrated as a by-product of the diamond endurance test (  $25 \mu$  thick Be window exposed to  $3 \text{ kW}/\text{mm}^2$  x-rays)!**

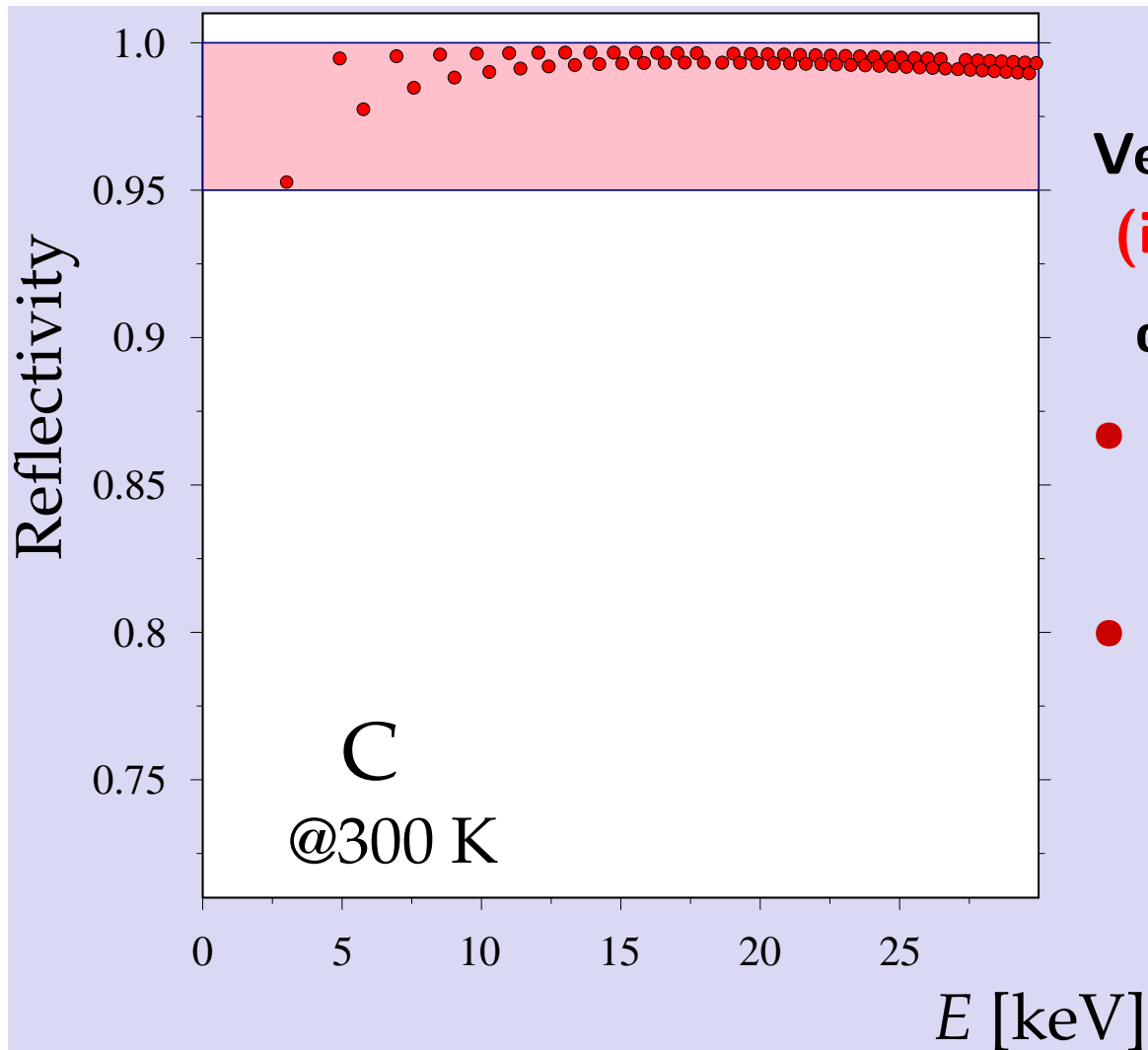
S. Stoupin, J. Kryziwinski, T. Kolodziej, D. Shu, X. Shi, Yu. Shvyd'ko, Kim K-J. (2016) t.b.p

# Reflectivity of Si in Bragg Backscattering





# Theory: Highest Bragg Reflectivity from Diamond



Very high reflectivity  $\gtrsim 98 - 99\%$   
(in theory)

due to:

- High Debye Temperature, and thus high Debye-Waller factor
- Low  $Z$ , low photo absorption

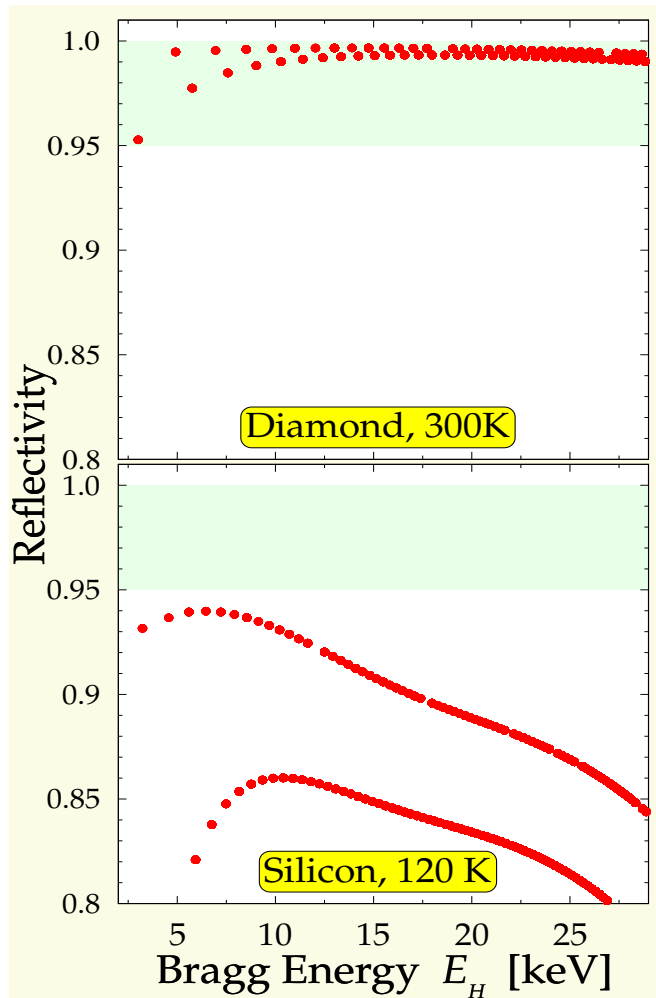


# Diamond: superlative physical properties

Record high reflectivity

for hard x-rays

Theory:  $> 99\%$

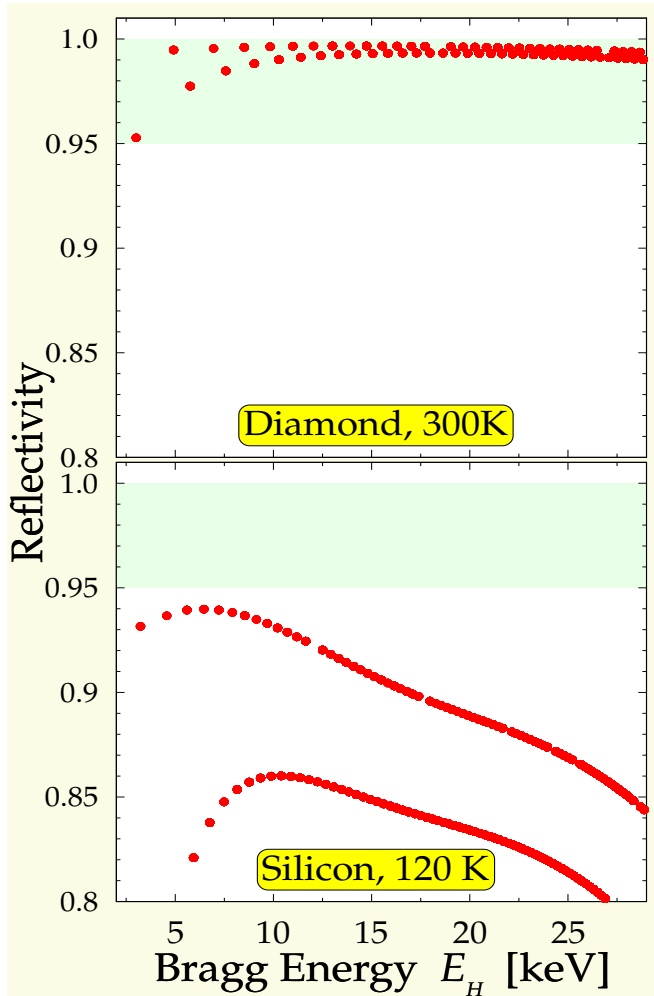


Yu. Shvyd'ko et al Nature Phys. (2010)

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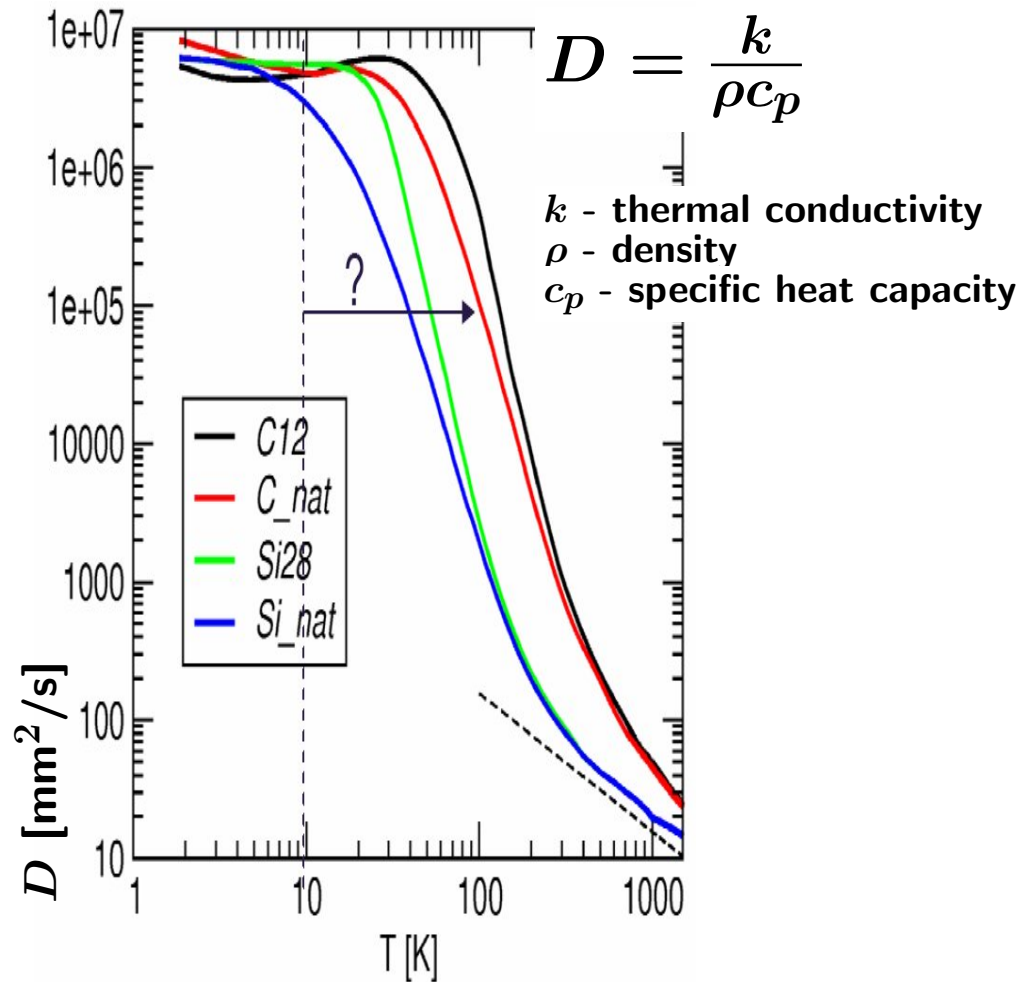
Theory:  $> 99\%$



Yu. Shvyd'ko et al Nature Phys. (2010)

Ultra-high thermal diffusivity  
at low temperatures

$\approx 10^5 \text{ mm}^{-2}\text{s} @ 100 \text{ K}$

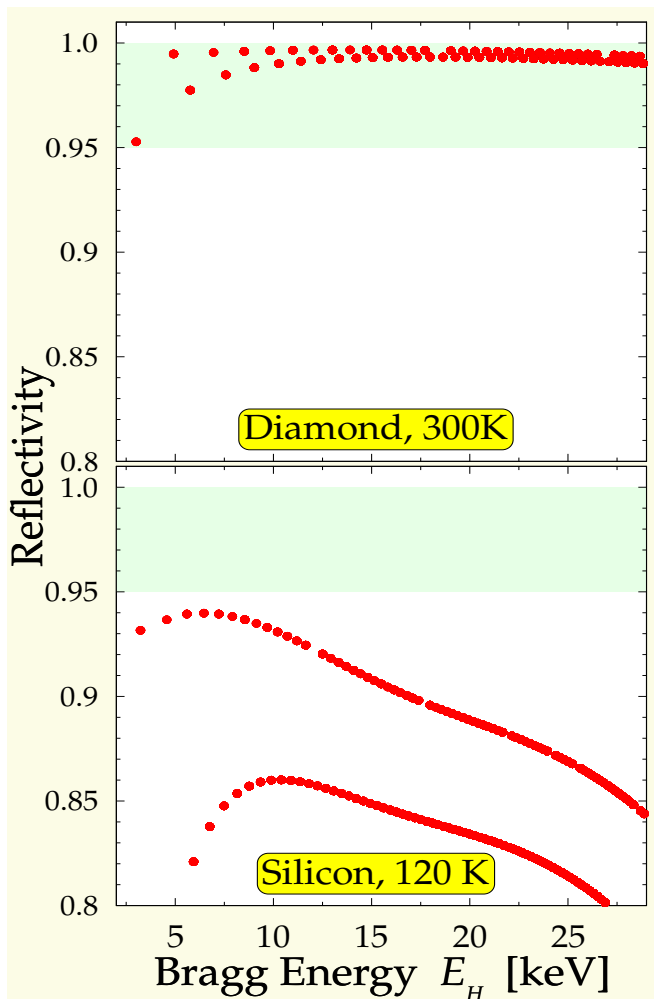


Courtesy of H. Sinn

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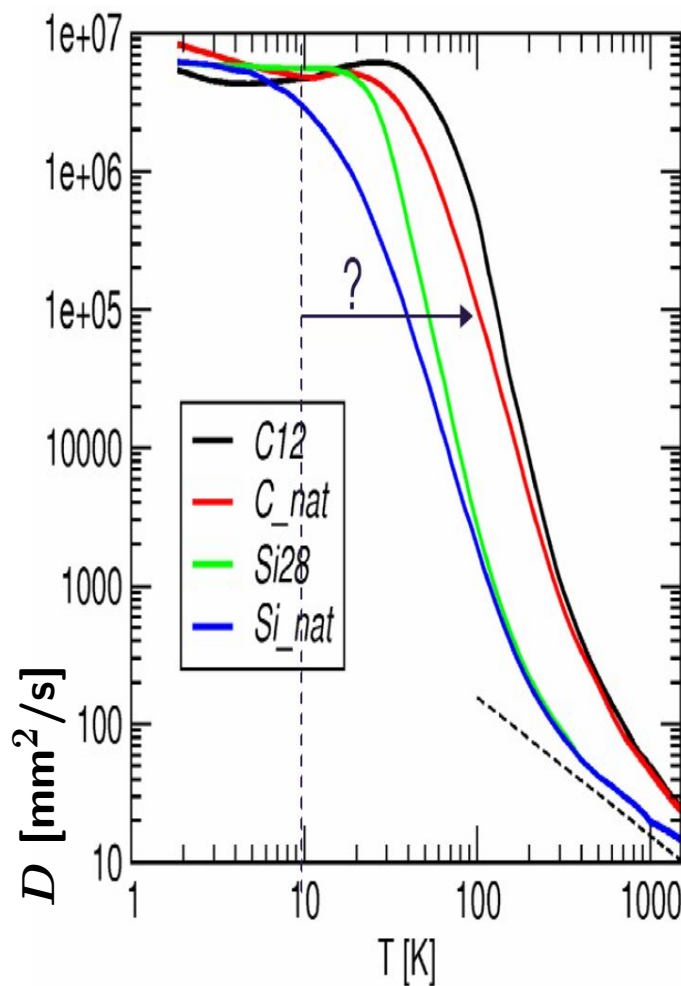
Theory:  $> 99\%$



Yu. Shvyd'ko et al Nature Phys. (2010)

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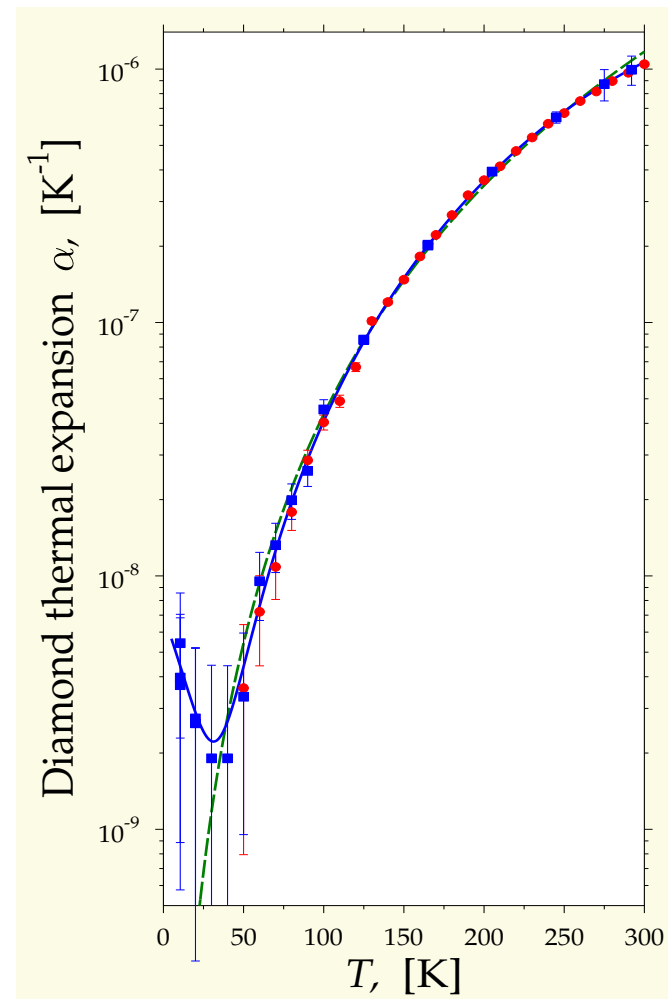
$\approx 10^5 \text{ mm}^{-2}\text{s} @ 100 \text{ K}$



Courtesy of H. Sinn

Ultra-low thermal expansion  
at low temperatures

$\approx 10^{-8} \text{ K}^{-1} @ 100 \text{ K}$



S. Stoupin, Yu. Shvyd'ko PRL (2010)

# Quality and Reflectivity of Diamond Crystals

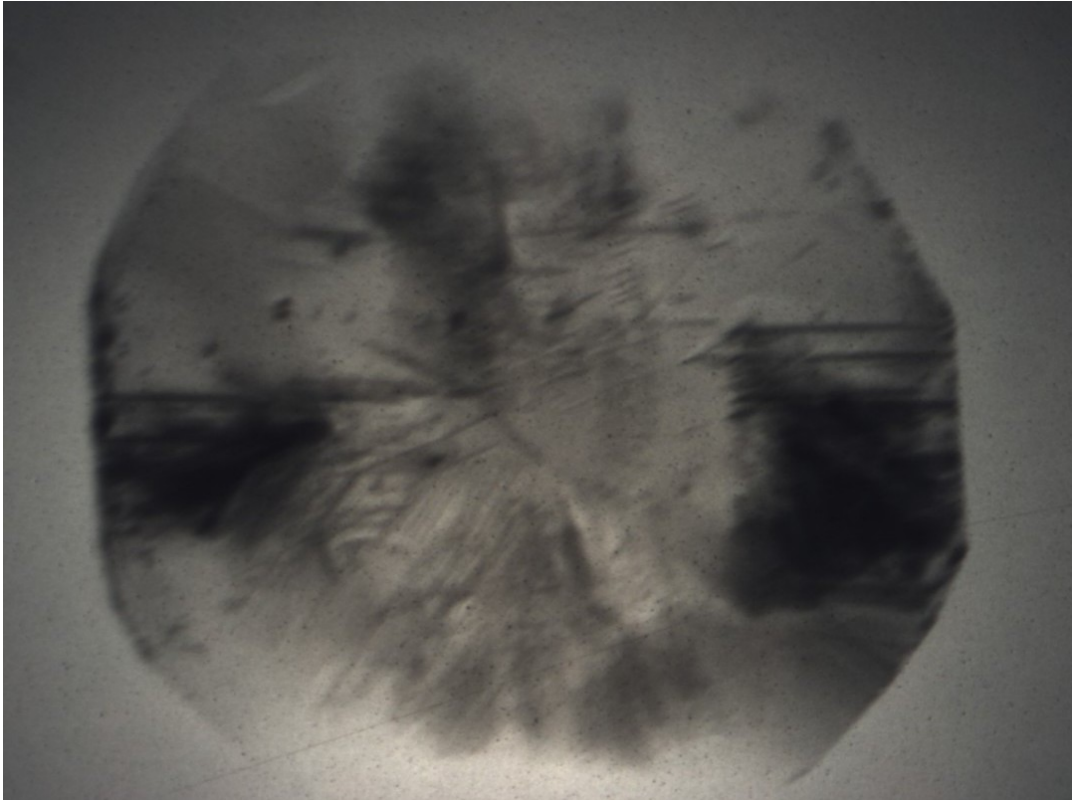
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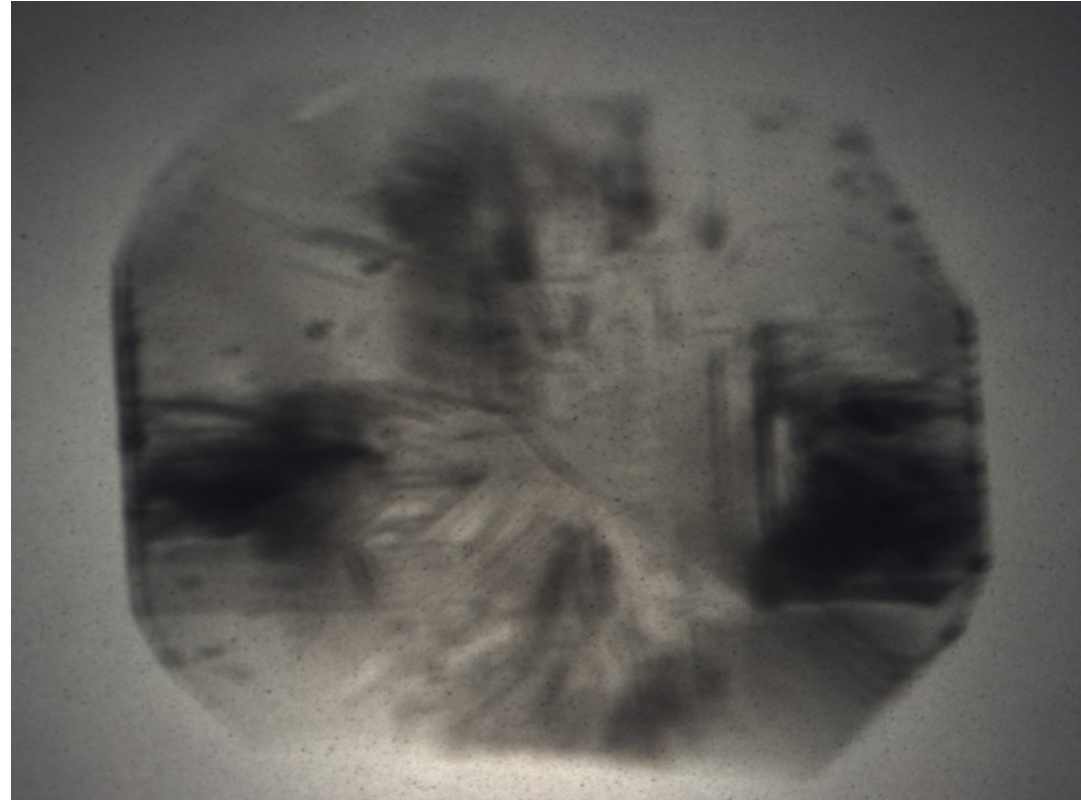
# 20 Years Ago ...

---

## Ila, HTHP, from GE



(f)  $\bar{3}\bar{1}1$



(g)  $\bar{3}11$

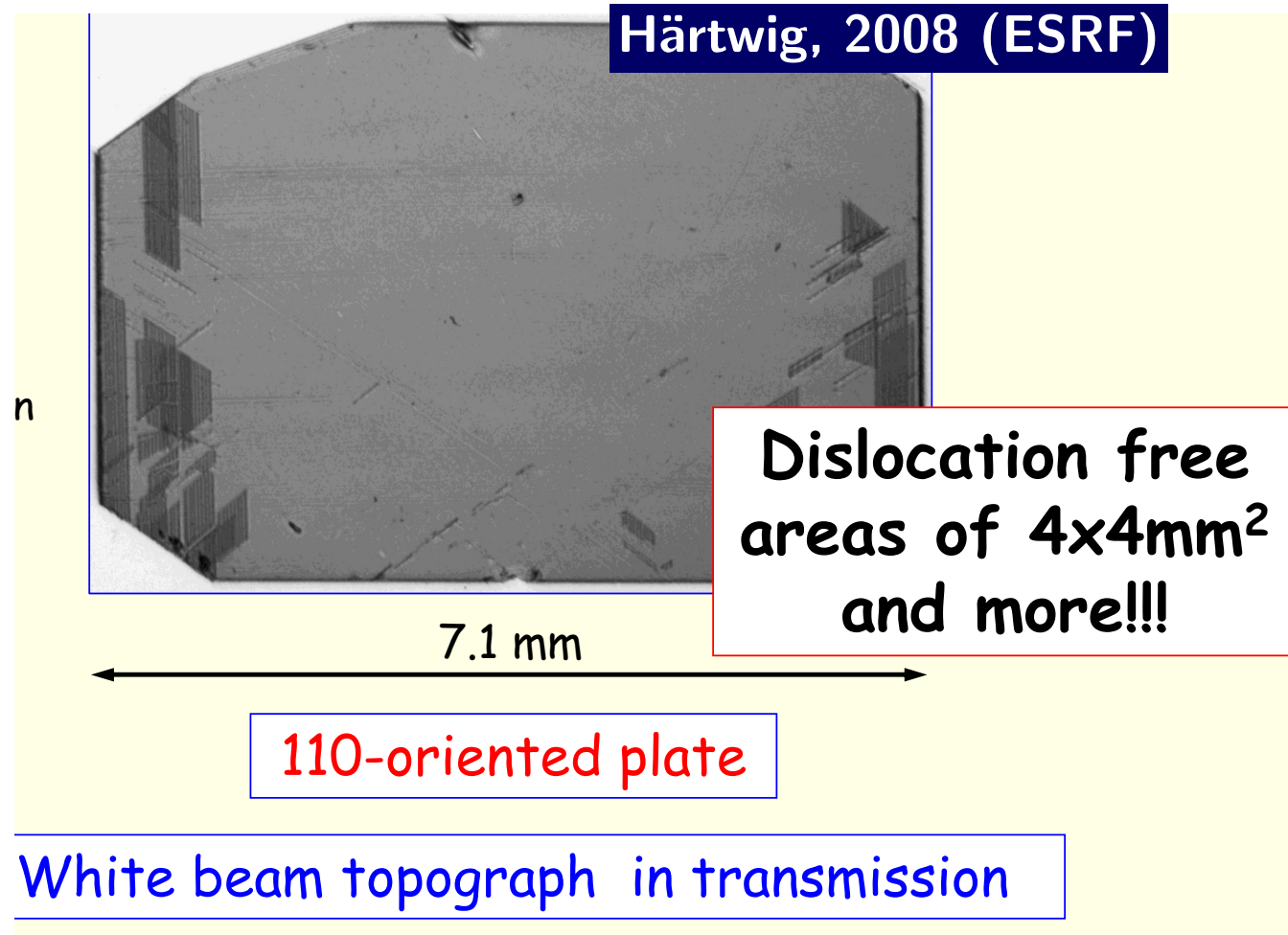
x-ray white beam topography: Stoupin, 2011 (APS)

# Diamond Crystal Quality. State of the Art

Progress in fabrication, characterization, and X-ray optics applications of synthetic high-quality HTHP diamond of type-IIa was substantial in the last two decades:

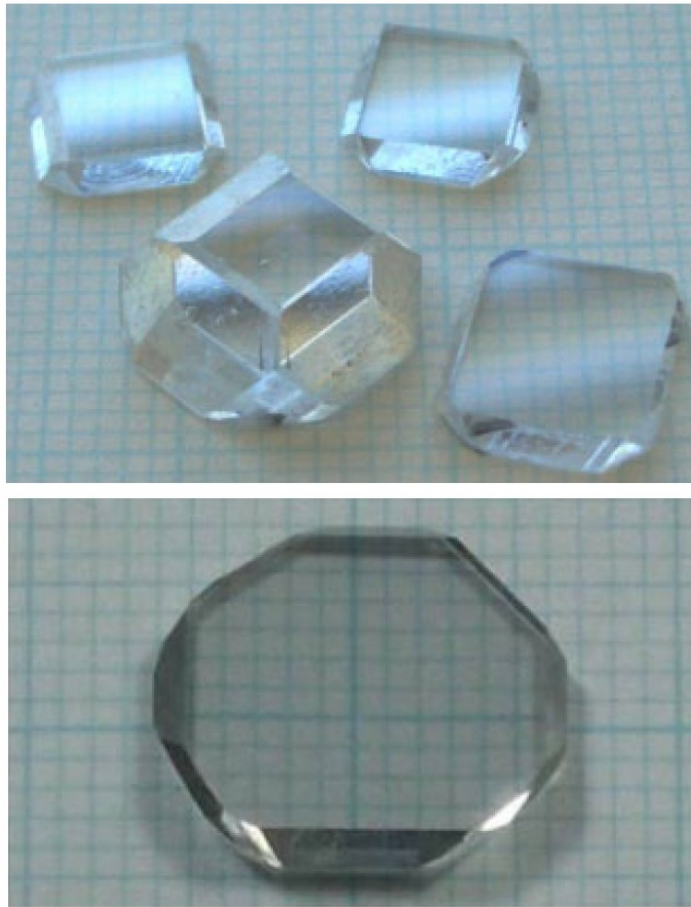
Pal'yanov et al. (1990), Berman et al. (1993), Freund (1995), Sumiya and Satoh (1996), Fernandez et al. (1997), Sellschop et al. (2000), Sumiya et al. (2000), Zhong et al. (2007), Yabashi et al. (2007), Burns et al. (2009), Polyakov et al. (2011).

Crystals with  $> 4 \times 4 \text{ mm}^2$  defect-free areas Burns et al. (2009), Polyakov et al. (2011) is the state of the art.

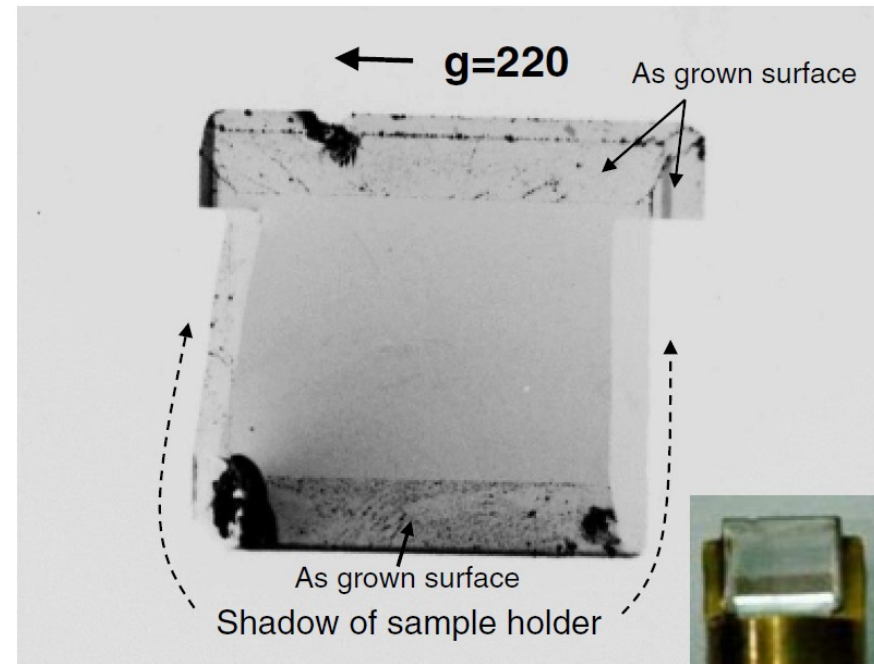




# High Quality Diamonds from Sumitomo



**Fig. 3.** (Color online) Large synthetic type IIa diamond crystals. Bottom: the largest diamond plate prepared from a large crystal of 12 mm diameter.



**Fig. 5.** (Color online) Transmission X-ray topograph of (001) diamond plate shown in Fig. 4(a) ( $7.5 \times 6 \times 0.7 \text{ mm}^3$ ) cut from the upper region of a large synthetic type IIa diamond crystal. Bottom right shows the diamond plate attached to the sample holder for topography measurement.

Sumiya & Tamasaku JJAP 51 (2012) 090102

Courtesy of Kenji Tamasaku

# Isotope-Pure Diamonds

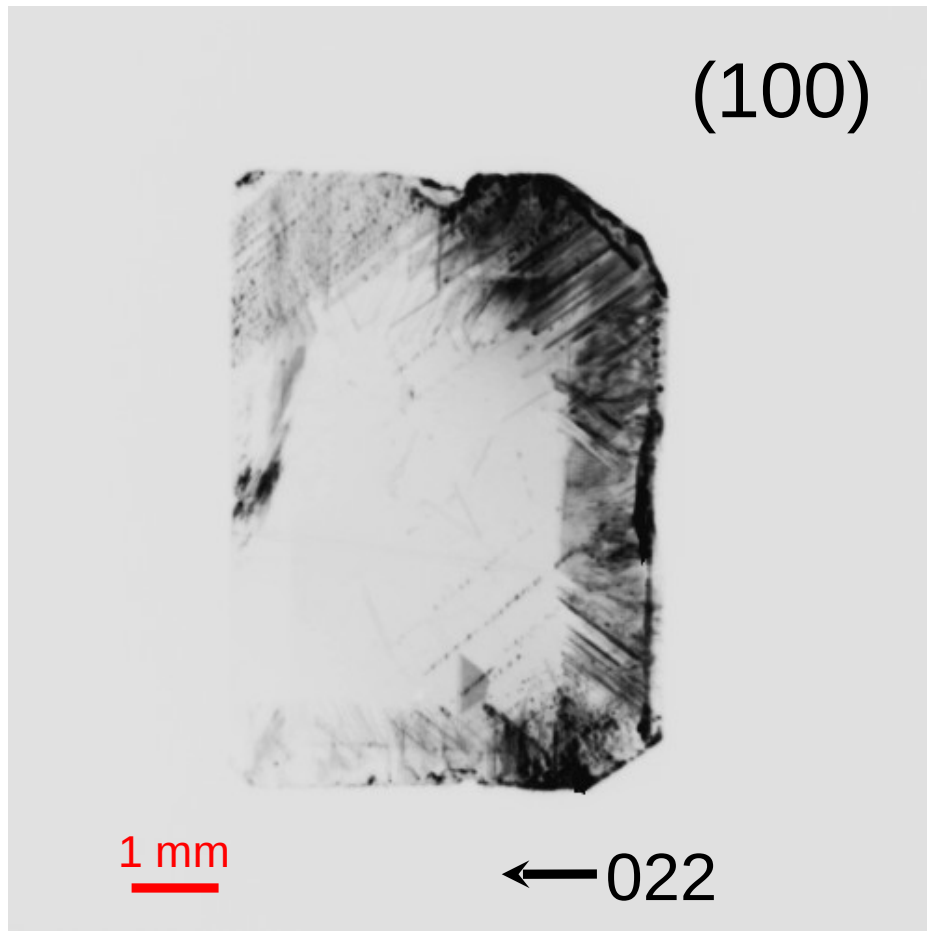
$^{12}\text{C}$  99.999%



$^{12}\text{C}$ : 98.9%

$^{13}\text{C}$ : 1.1%

(100)



1 mm

← 022

Thermal  
conductivity  
x3(?) of NatC



For ultra-high  
heat-load optics

Transmission topograph  
Si331(b=20.9) - C220 @14.55 keV

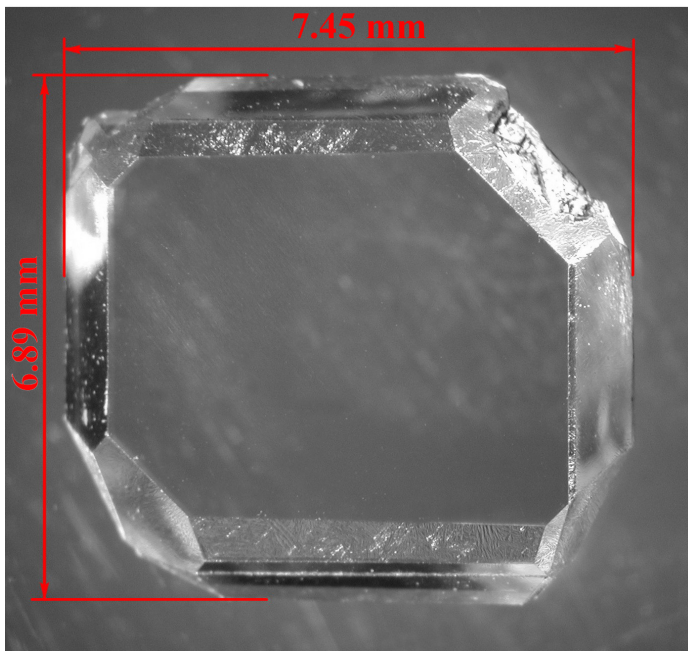
H. Sumiya, K. Harano, K. Tamasaku, unpublished

Courtesy of Kenji Tamasaku

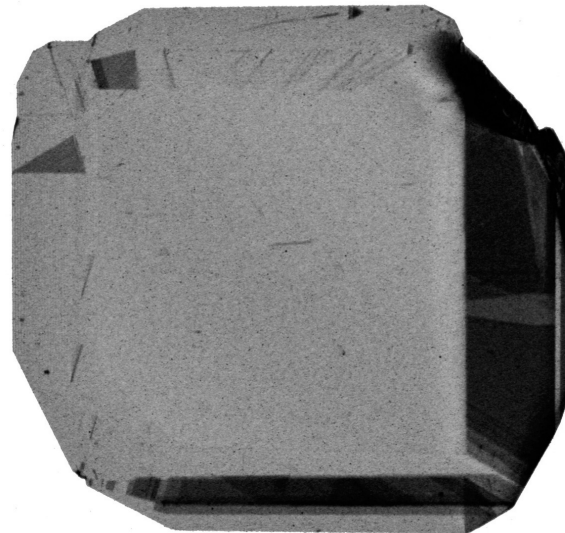


# Type-IIa, HTHP Diamond from TISNCRM (I)

Visible light image

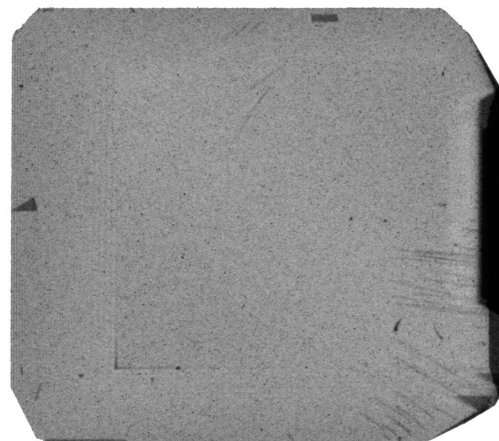
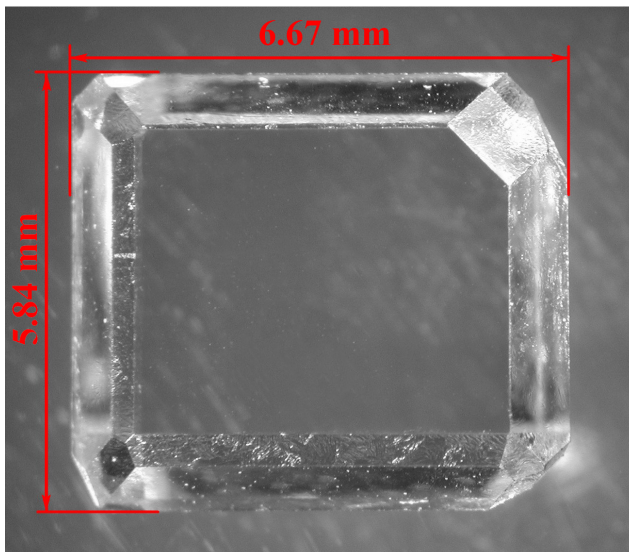


X-ray Lang topography in transmission



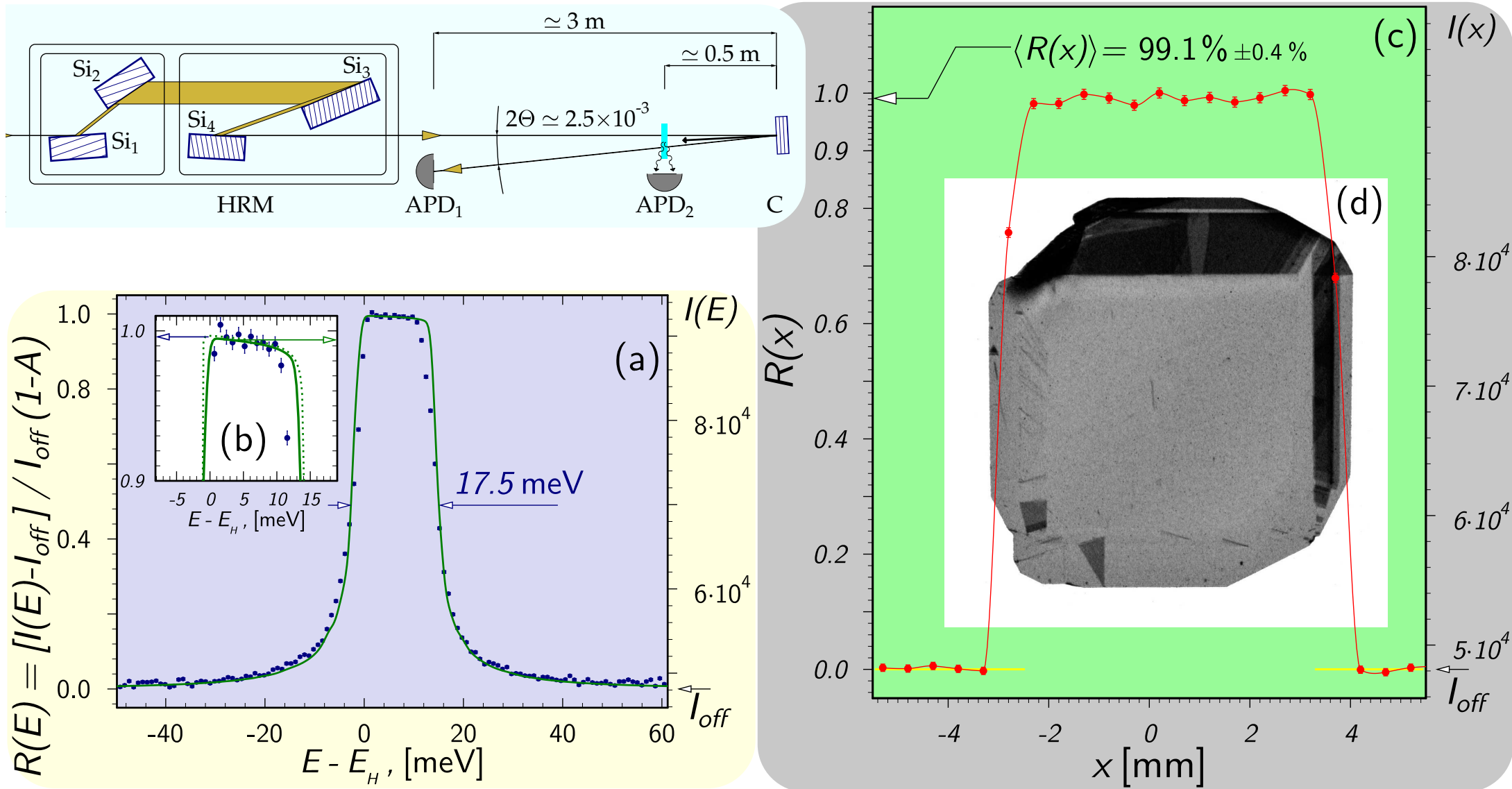
**Blank, Terentyev, et al.**

Technological Institute for Superhard and New Carbon Materials (TISNCRM)  
Troitsk, Russia



Preselected diamond plates:  
(001) orientation, 1mm thick  
dislocation & stacking fault free  
areas  $> 4 \times 4 \text{ mm}^2$  and more

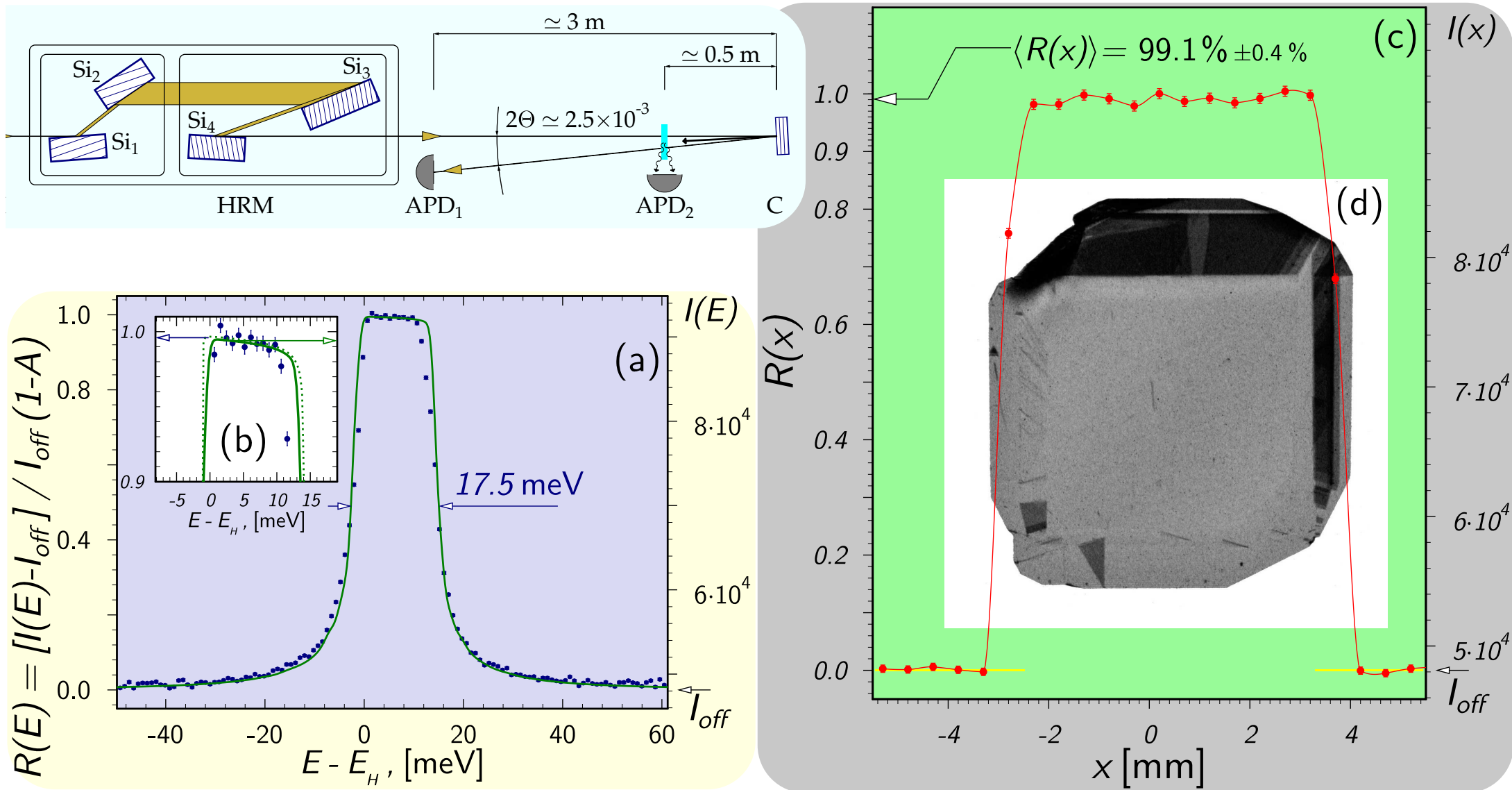
# Diamond Reflectivity Studies: C(008) @ 14.3 keV



Shvyd'ko, Stoupin, Blank, Terentyev, Nature Photonics 5 (2011) 539



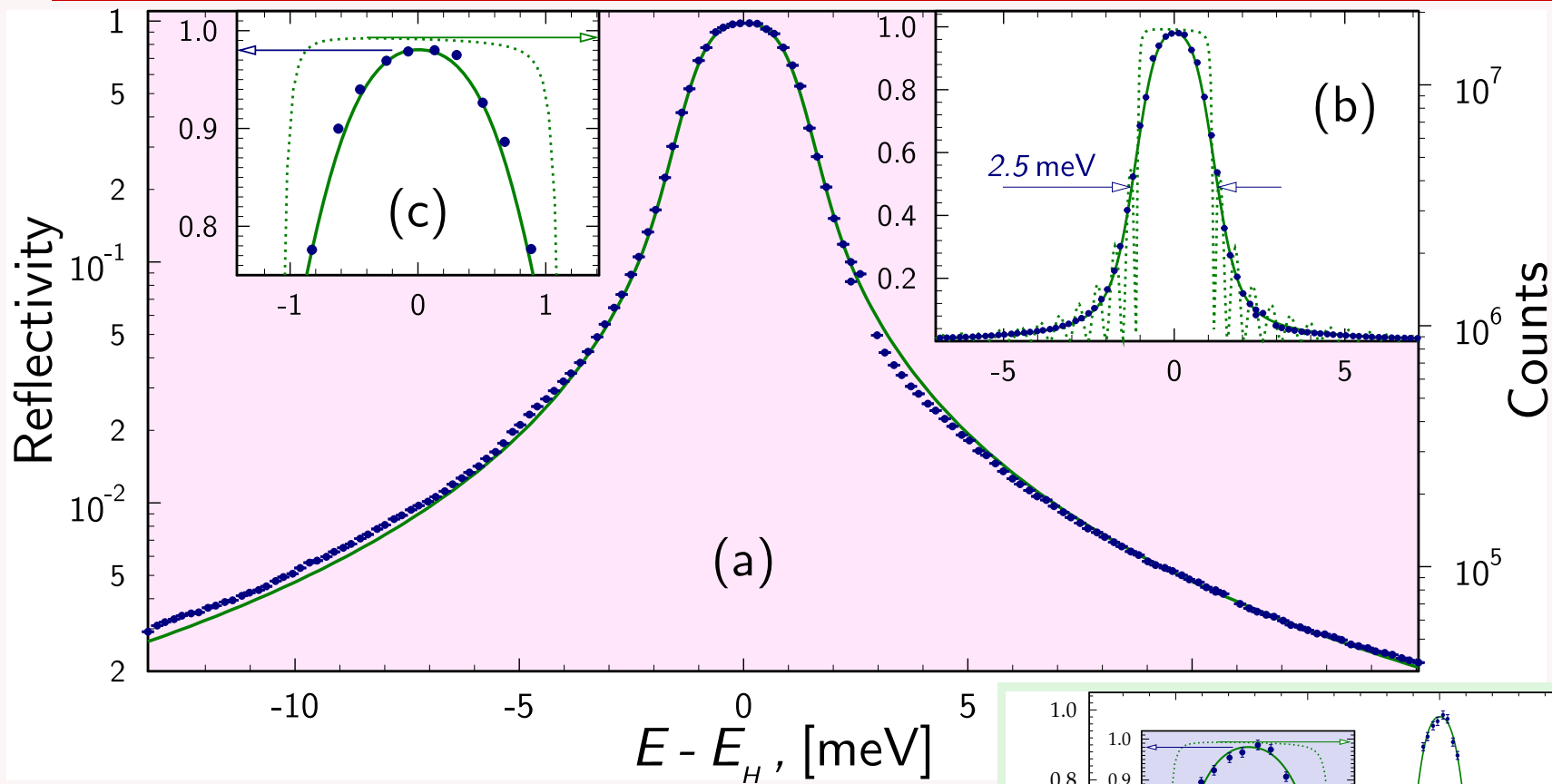
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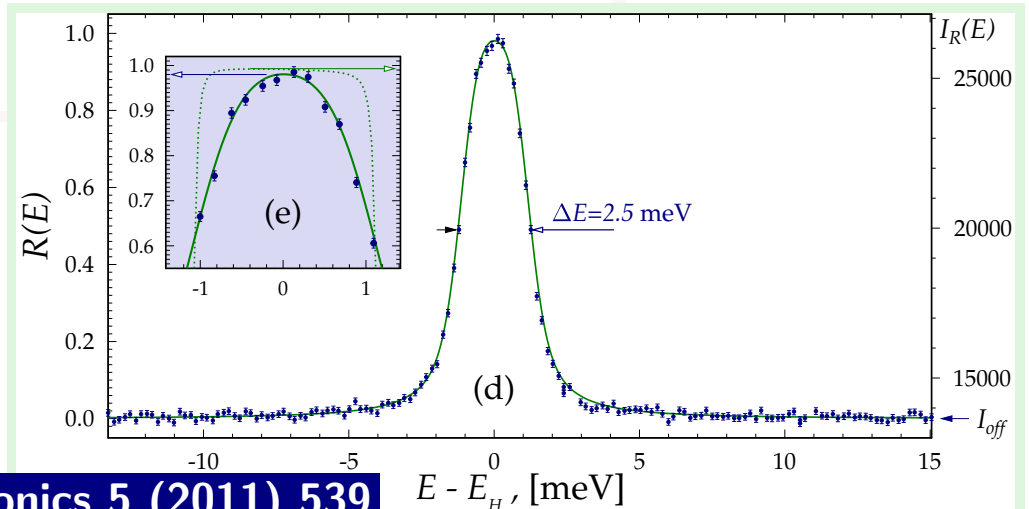
Shvyd'ko, Stoupin, Blank, Terentyev, Nature Photonics 5 (2011) 539

$\approx 99\%$  reflectivity and close to theoretical performance.

# meV-Bandwidth: C(13 3 3) @ E=23.7 keV



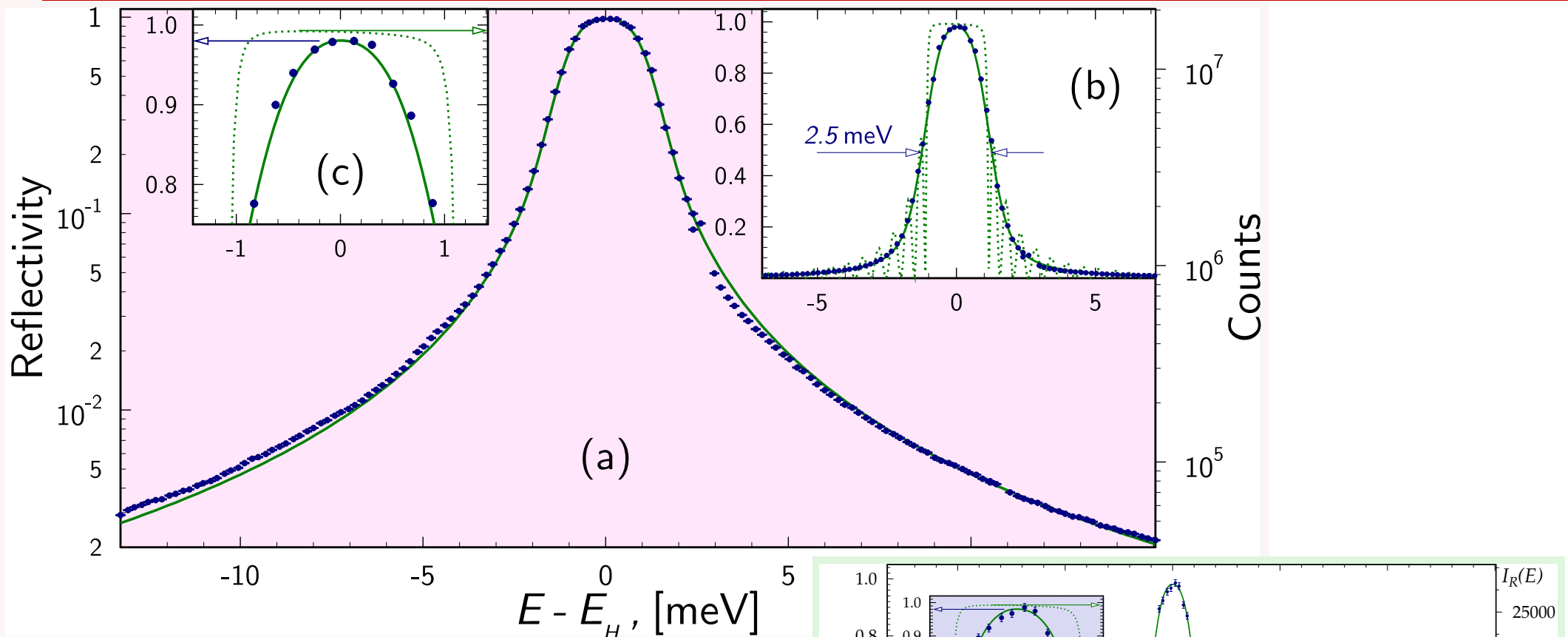
Measured 98%  $\Rightarrow$  99%  
with instrumental function taken into account



Shvyd'ko, Stoupin, Blank, Terentyev, Nature Photonics 5 (2011) 539

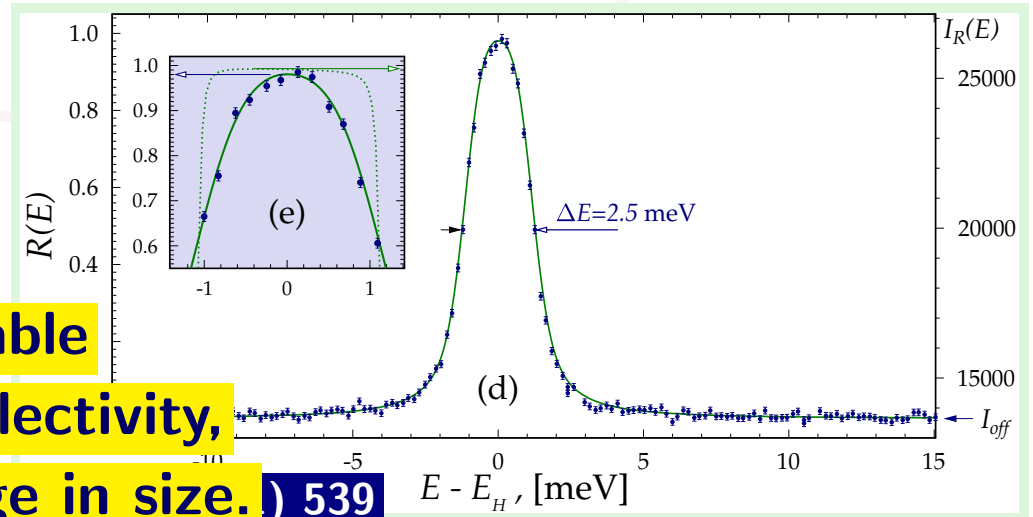


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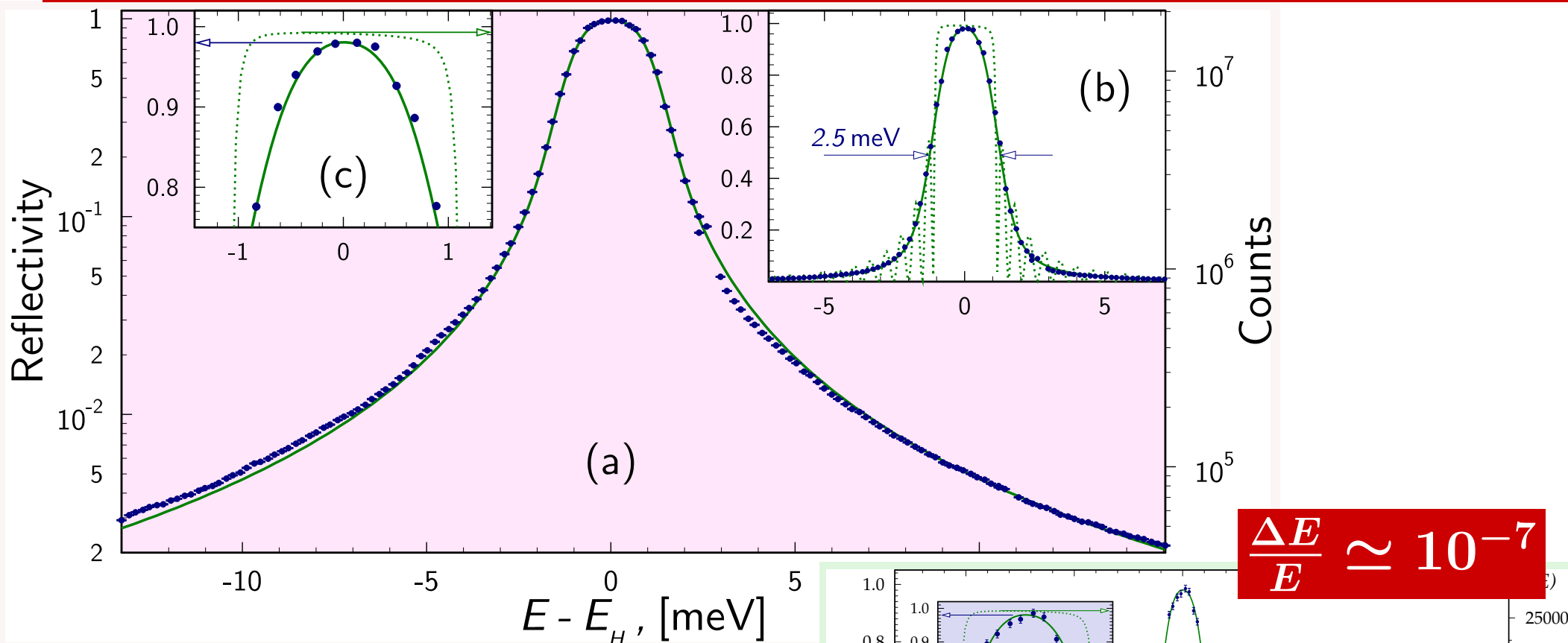


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Synthetic diamond crystals are available showing almost theoretically high reflectivity, small bandwidth, and sufficiently large in size. ) 539

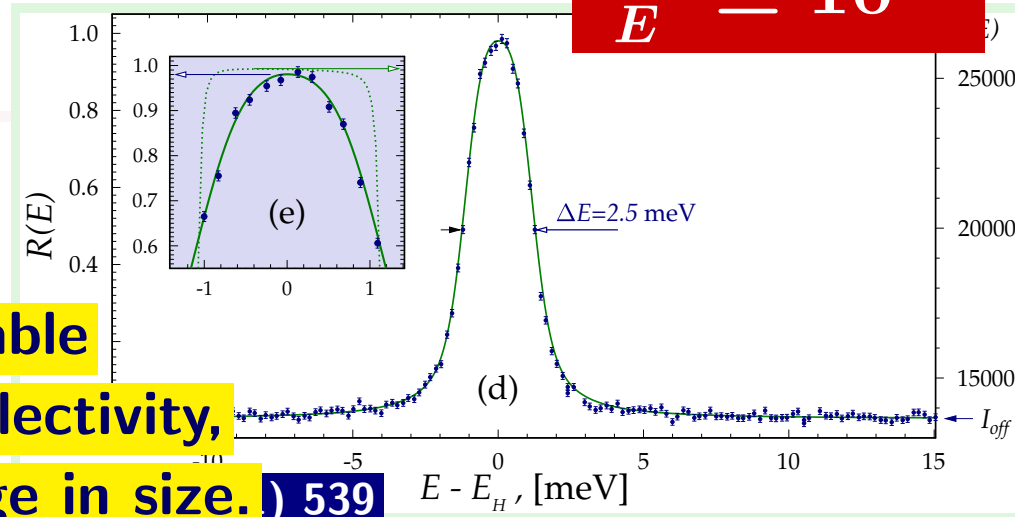


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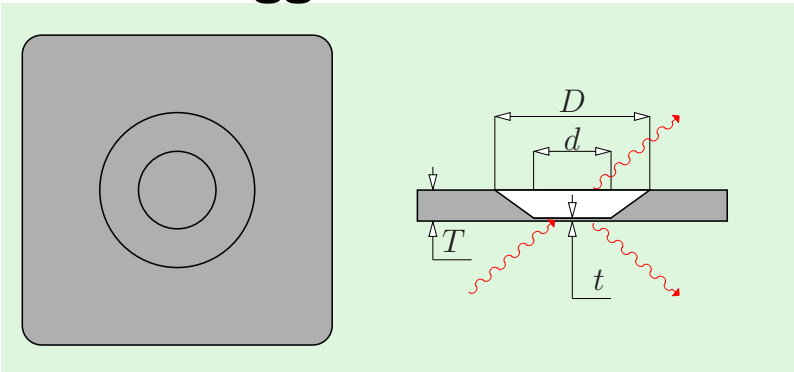
Measured 98%  $\implies$  99%  
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Synthetic diamond crystals are available showing almost theoretically high reflectivity, small bandwidth, and sufficiently large in size. ) 539



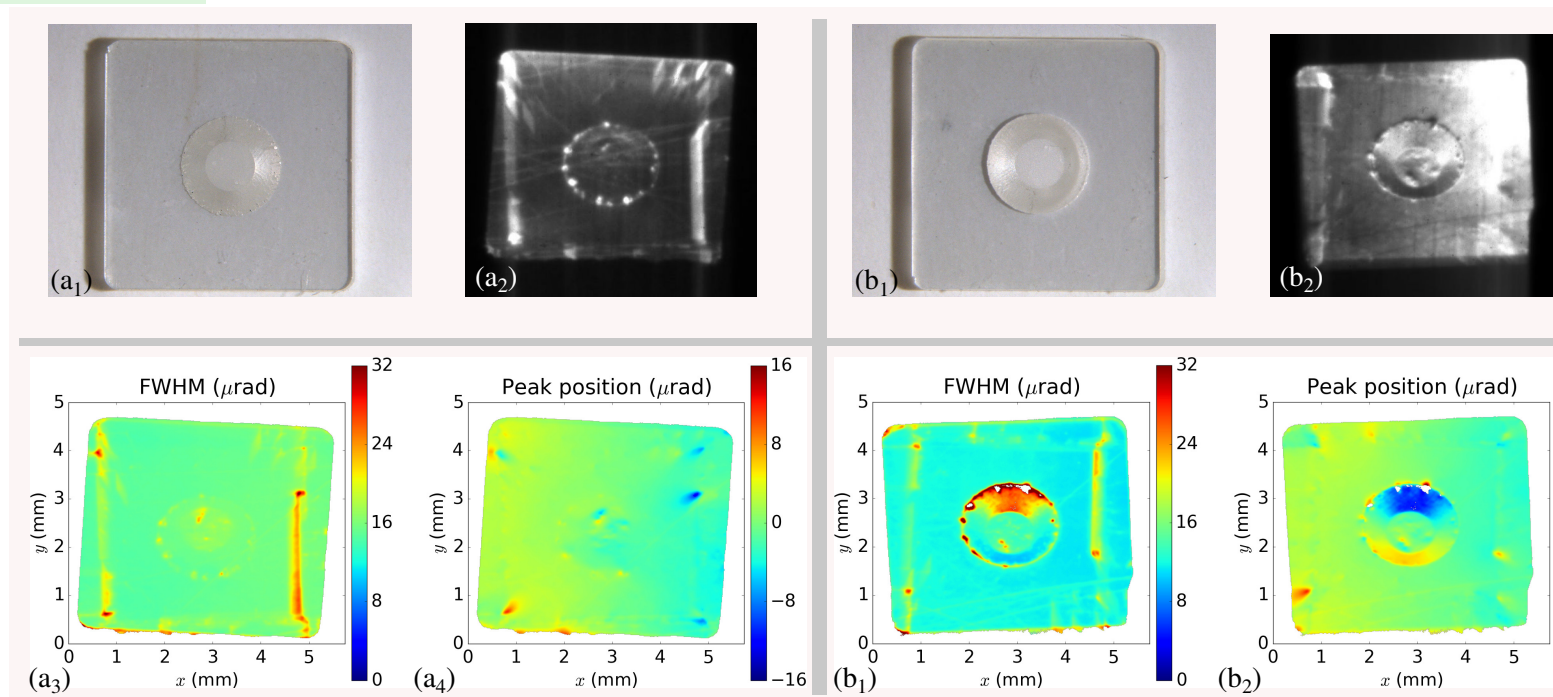
# Outcoupling through Thin Diamond Crystals

A few tens of microns thick perfect diamond single crystals, properly functioning under Bragg diffraction conditions are required for outcoupling of x-rays.



Drumhead crystals, monolithic crystal structures comprised of a thin membrane furnished with a surrounding solid collar, are a solution ensuring mechanically stable strain-free mounting of the membranes with efficient thermal transport.

Almost flawless diamond drum-head crystal with a  $25\ \mu\text{m}$  thin membrane in the (100) orientation manufactured by picosecond laser milling.



Kolodziej, Vodnala, Terentyaev, Blank & Shvyd'ko (2016) J. Appl. Cryst. 49

# Heat Load Problem

---





# Heat Load Problem

---

Temperature gradient  $\delta T \Rightarrow$  r.c. energy spread  $\delta E/E = \beta\delta T$ .

**Requirement:**  $\delta E \lesssim 1$  meV, when the next pulse arrives.

Incident power  $\simeq 50$   $\mu\text{J}/\text{pulse}$ .

Absorbed power:  $\simeq 1$   $\mu\text{J}/\text{pulse}$  (2%).

Footprint:  $\simeq 100 \times 100$   $\mu\text{m}^2$

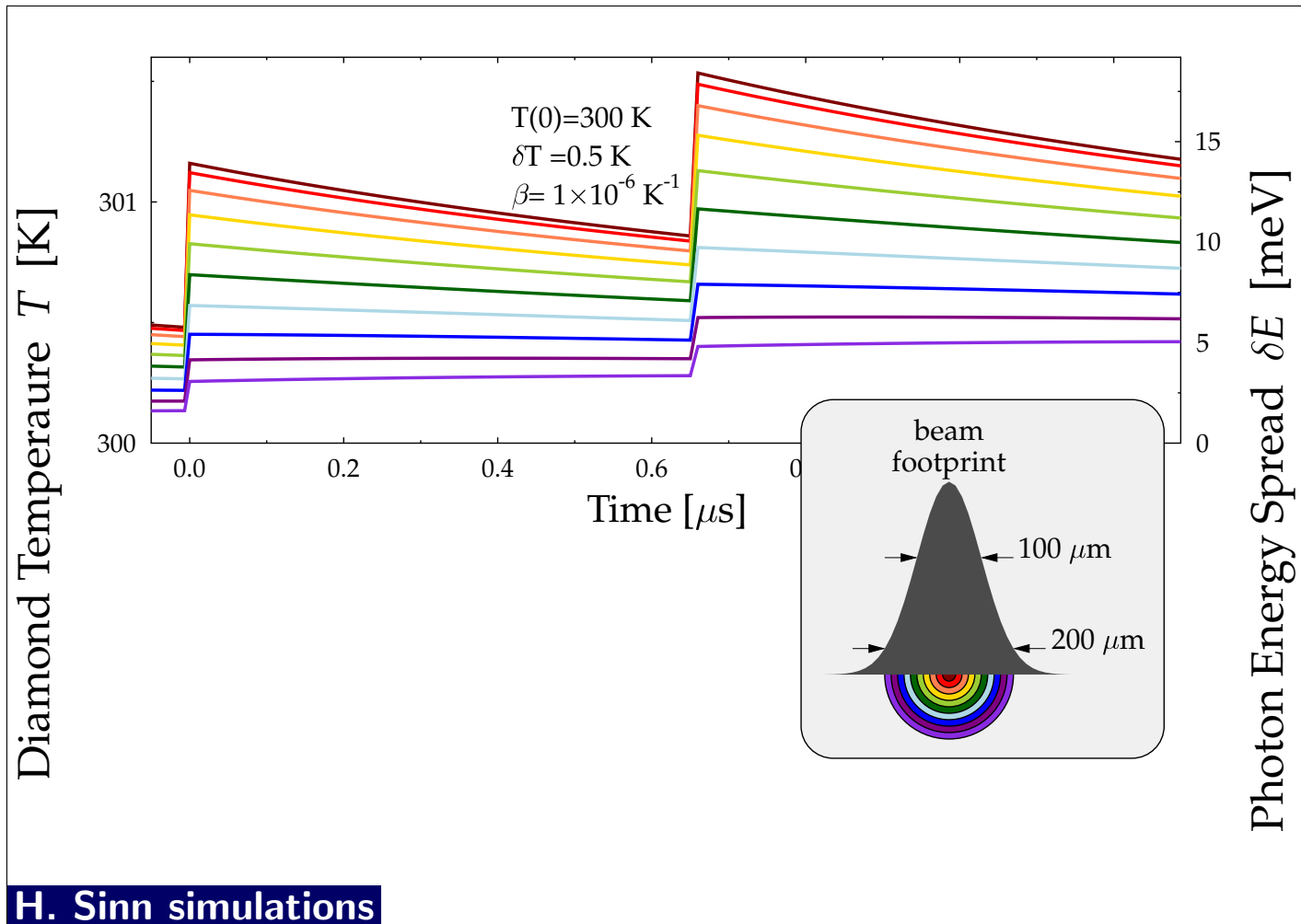
**Is it a problem?**



# Heat Load Problem

Temperature gradient  $\delta T \Rightarrow$  r.c. energy spread  $\delta E/E = \beta \delta T$ .

**Requirement:**  $\delta E \lesssim 1$  meV, when the next pulse arrives.

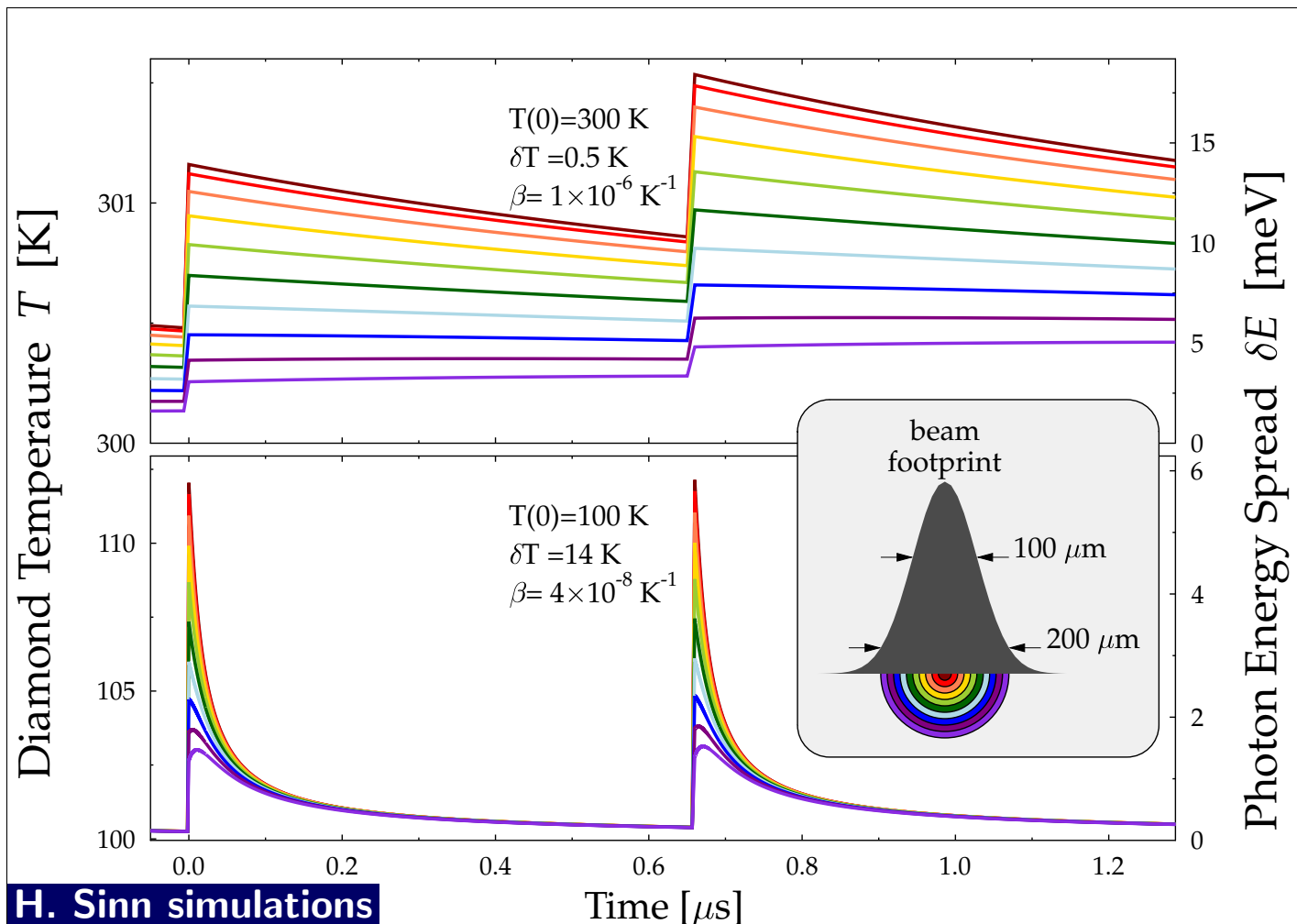


- Big temperature jump  $\delta T$  after the x-ray pulse arrival.
- $T=300$ K: Big temperature spread by the arrival of

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H. Sinn simulations

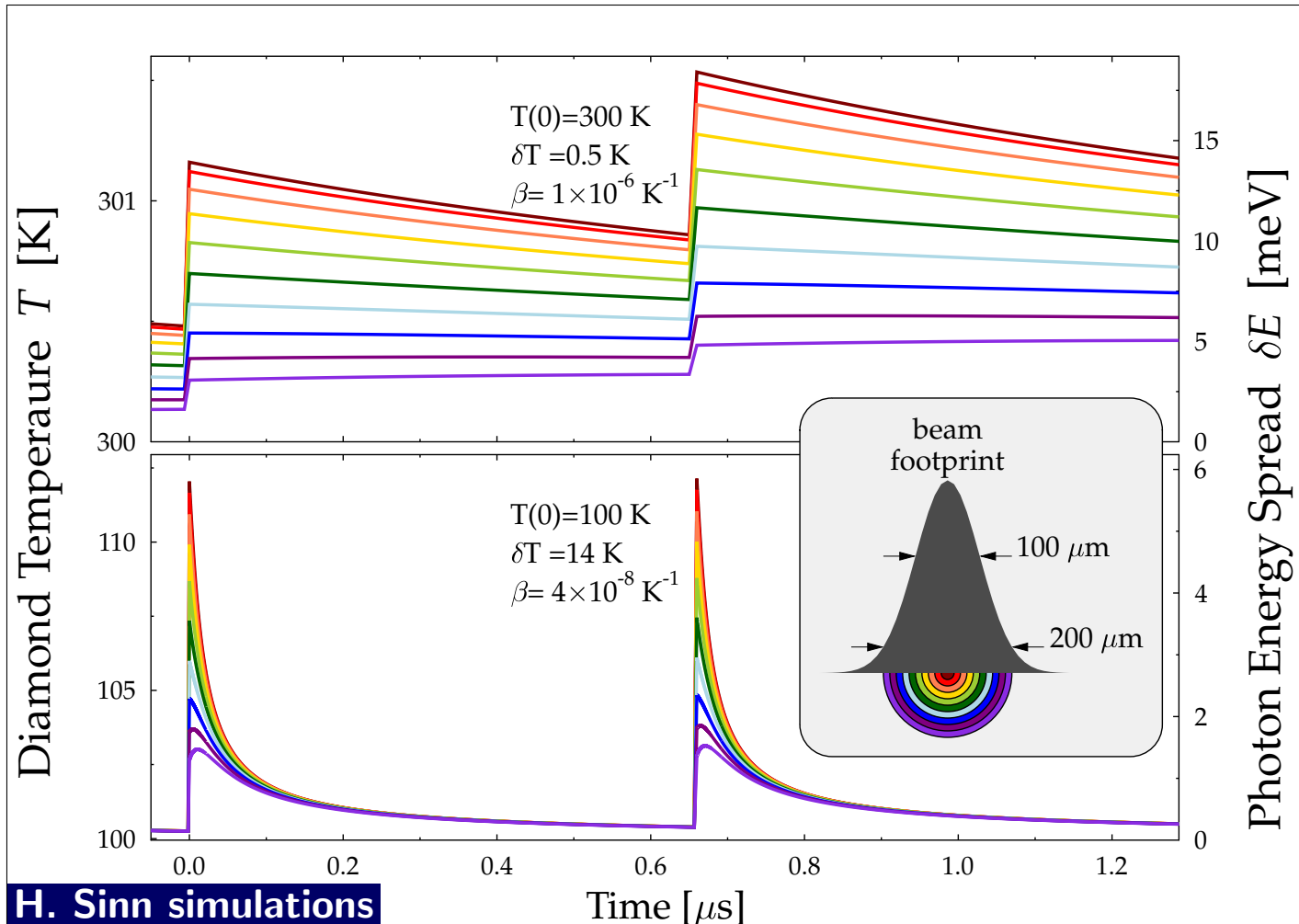
- Big temperature jump  $\delta T$  after the x-ray pulse arrival.
- T=300K: Big temperature spread by the arrival of the next x-ray pulse.
- T=100K: Negligible temperature spread by the arrival of the next x-ray pulse.



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H. Sinn simulations

**Solution:** Maintain diamond at  $T < 100$  K!

- Big temperature jump  $\delta T$  after the x-ray pulse arrival.
- T=300K: Big temperature spread by the arrival of the next x-ray pulse.
- T=100K: Negligible temperature spread by the arrival of the next x-ray pulse.
- Reasons:
  1. High temperature diffusivity  $\mathcal{D}$
  2. Low temperature expansion  $\beta$



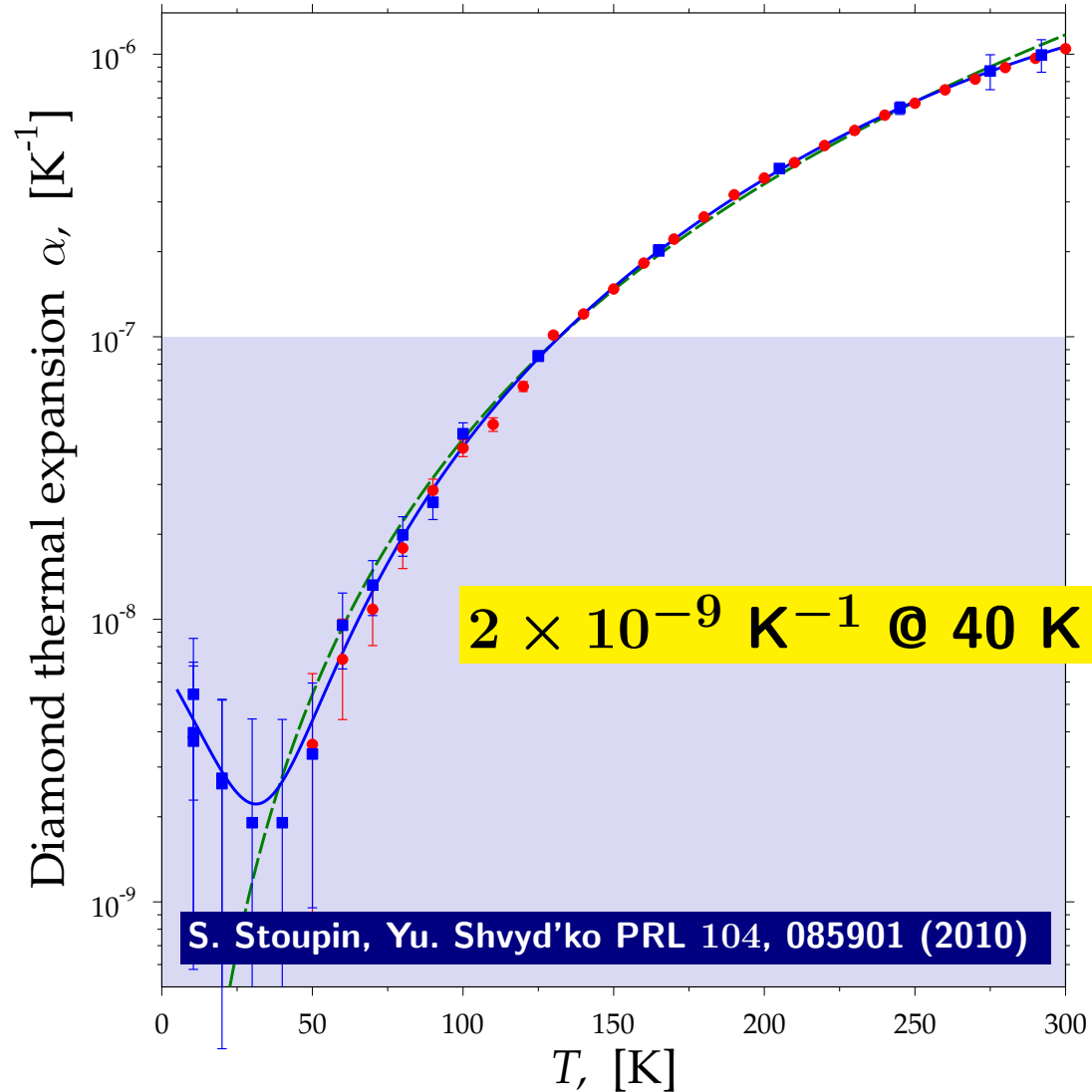
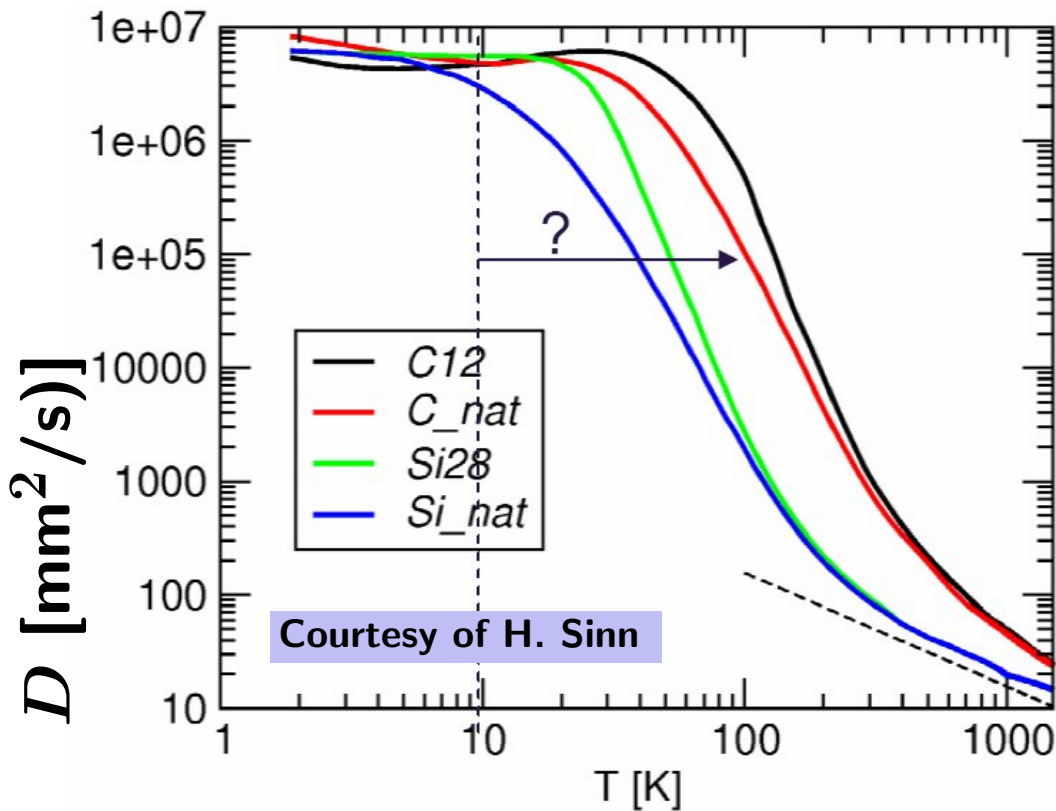
# Superb thermo-mechanical properties of diamond

Ultra-high thermal diffusivity at low temperatures

Ultra-low thermal expansion at low temperatures

$$D = \frac{k}{\rho c_p}$$

$k$  - thermal conductivity  
 $\rho$  - density  
 $c_p$  - specific heat capacity



# Angular & Spatial Stability

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Required angular stability:  $\delta\theta \lesssim 10 \text{ nrad (rms)}$

Required spatial stability:  $\delta L \lesssim 3 \text{ }\mu\text{m (rms)} \Rightarrow \delta L/L \simeq 3 \times 10^{-8} \text{ (} L = 100 \text{ m)}$

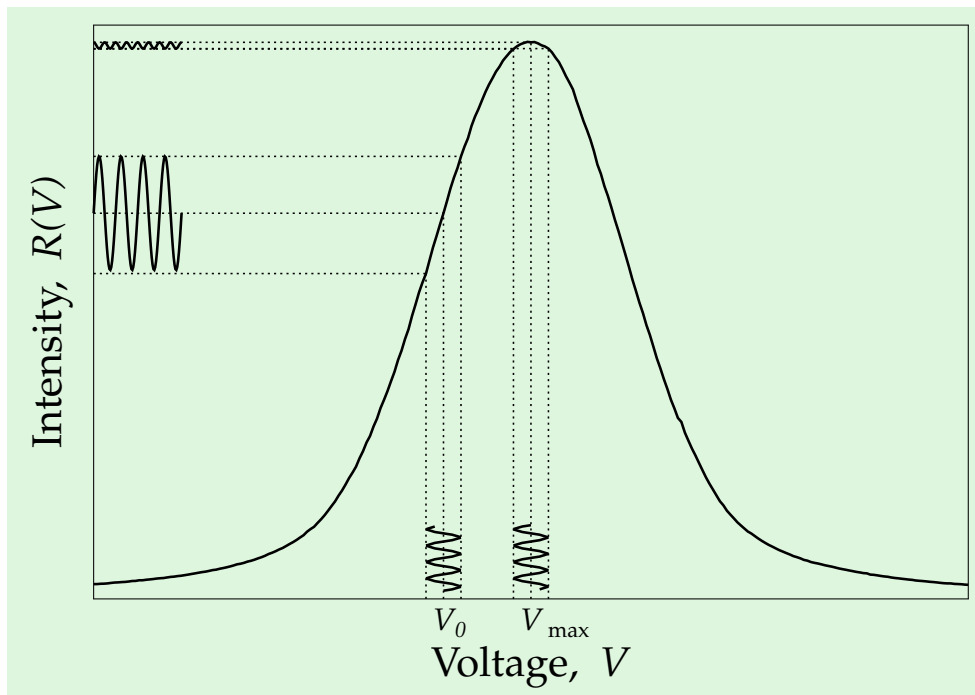


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**Solution:** Null-detection hardware feedback. (LIGO prototype)



**X-ray intensity:** linear response to small angular oscillations is proportional to angular deviation from the maximum of the rocking curve.

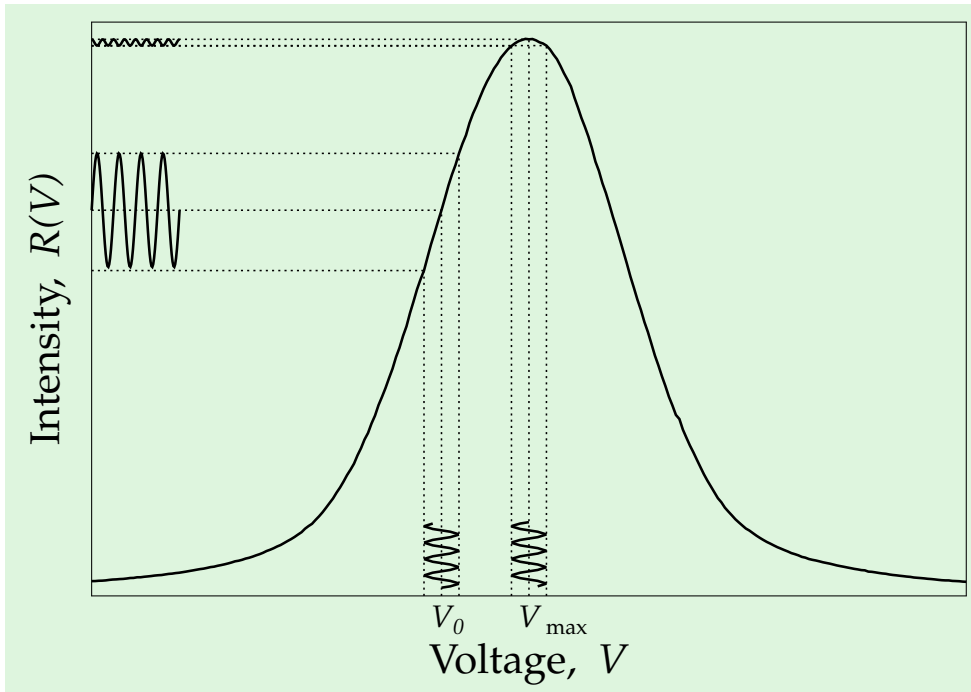


# Angular & Spatial Stability

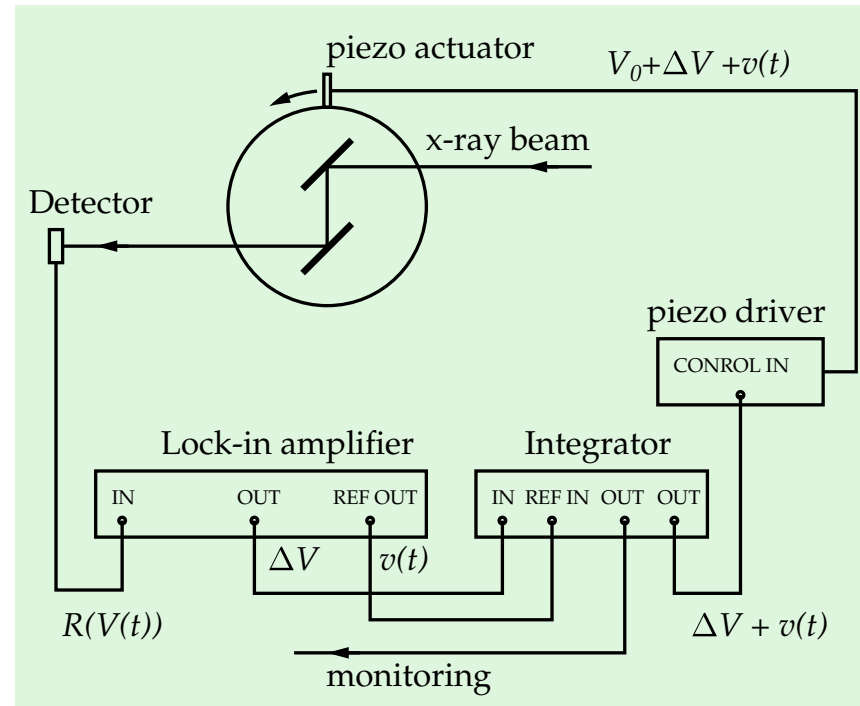
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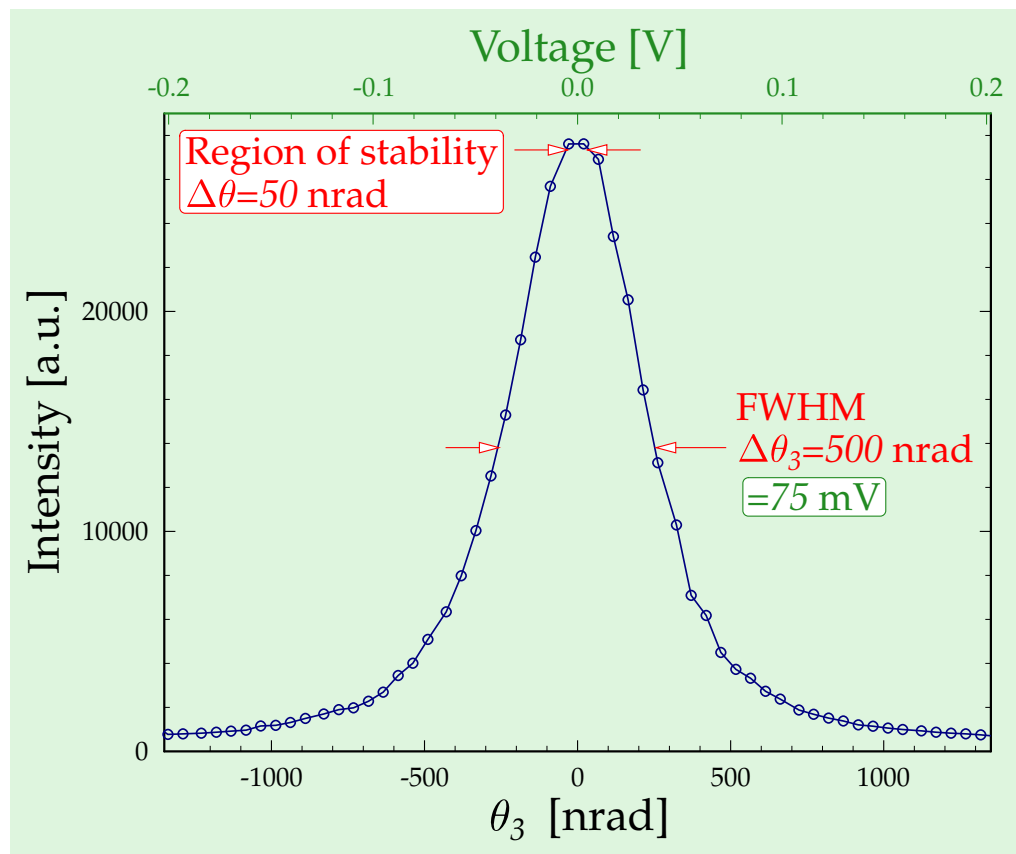
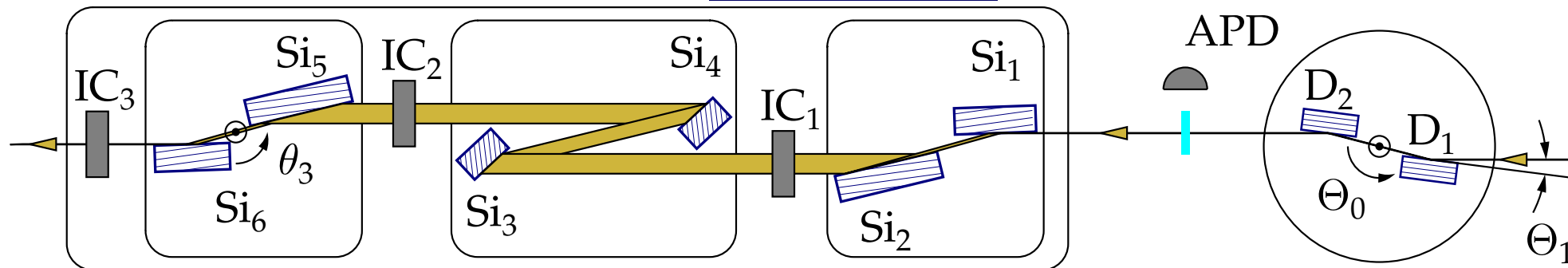


**Feedback:** correction signal is extracted using lock-in amplification.

# HERIX Monochromator Stability Region

HRM T. Toellner, D. Shu

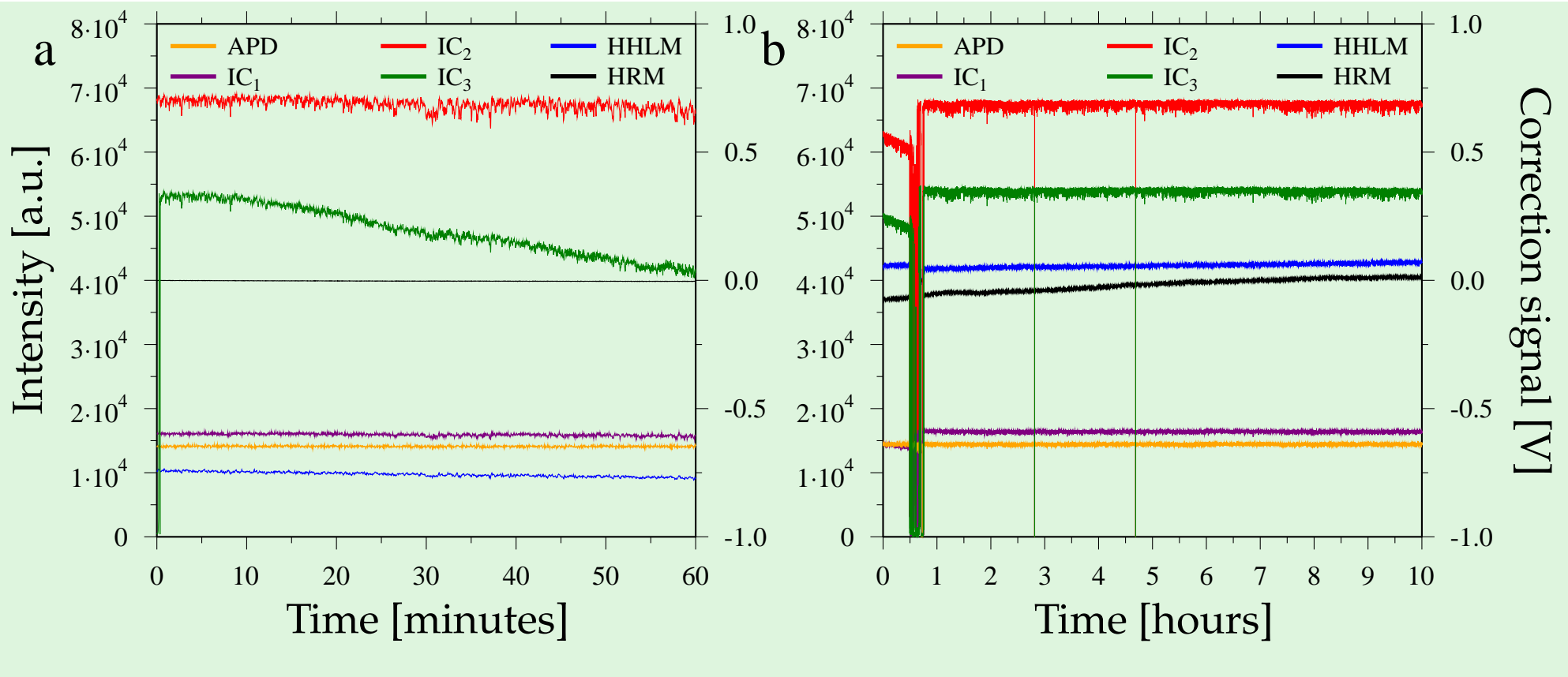
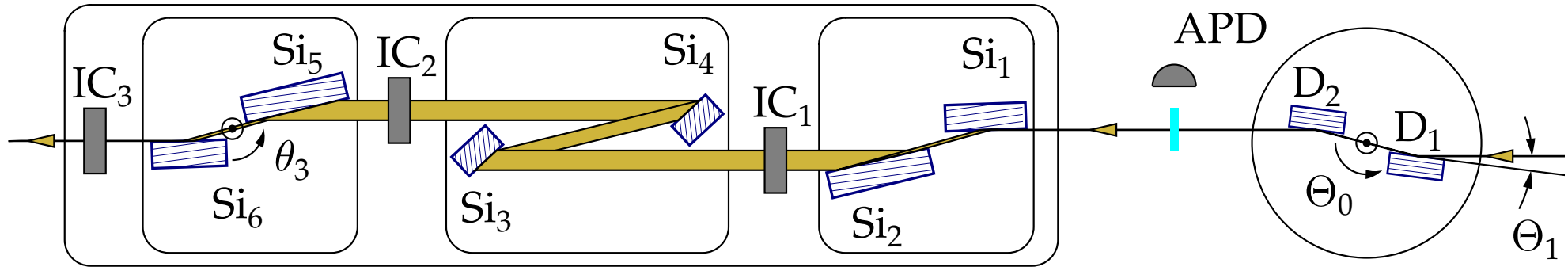
HHLM



# HERIX Monochromator Stabilization

HRM T. Toellner, D. Shu

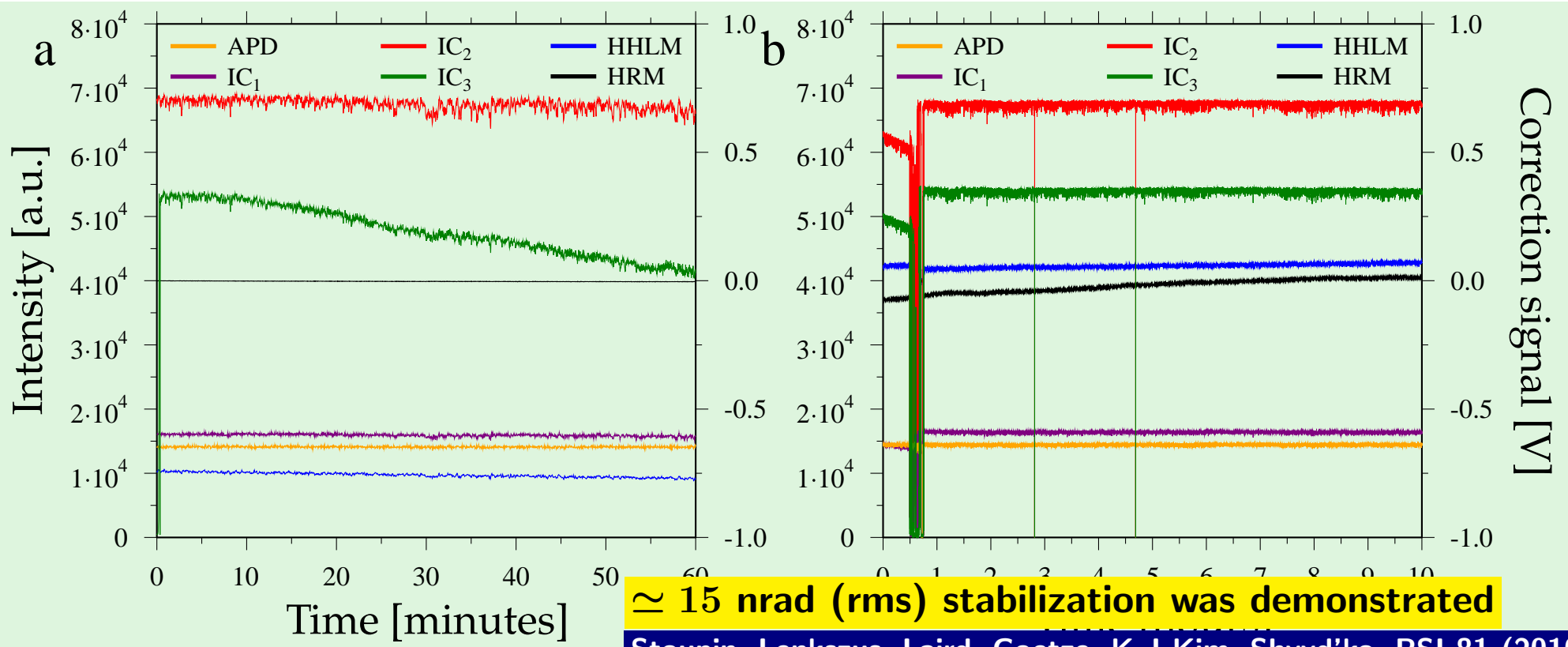
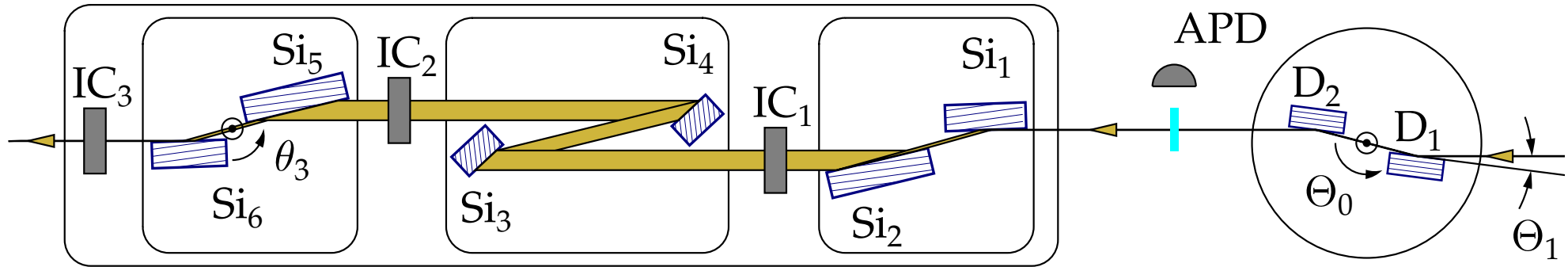
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HHLM



# Resilience of Diamond to Radiation Damage

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XFEL generates:

$50\mu\text{J}/\text{pulse}$  @  $12\text{ keV}$  with  $\simeq 1\text{ MHz}$  rep. rate

Footprint:  $A = 1.6 \times 10^{-2}\text{ mm}^2$  (rms)

Flux density  $\simeq 2 \times 10^{18}\text{ ph/s/mm}^2 \simeq 4\text{ kW/mm}^2$

Time to ionize carbon atom with 100% probability:  $T \simeq 250\text{ s}$  Robin Santra

Can this produce irreversible changes in the perfect crystal lattice structure?



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Time to ionize carbon atom with 100% probability:  $T \simeq 250$  s **Robin Santra**

APS undulators generate:

Flux density  $\simeq 5 \times 10^{15}$  ph/s/mm<sup>2</sup>  $\simeq$  0.15 kW/mm<sup>2</sup>

Time to ionize carbon atom with 100% probability:  $T' \simeq 10^5$  s  $\simeq$  1 day



Graphitization of the surface layer of the diamond crystal is observed after several days of operations. Though, **no significant degradation in the performance of the high-heat-load monochromator is observed after a year of operations.**



# Diamond under $3.5 \text{ kW/mm}^2$ load survives

J. Als-Nielsen, A. K. Freund, et al, NIM, B94, 348-350 (1994).

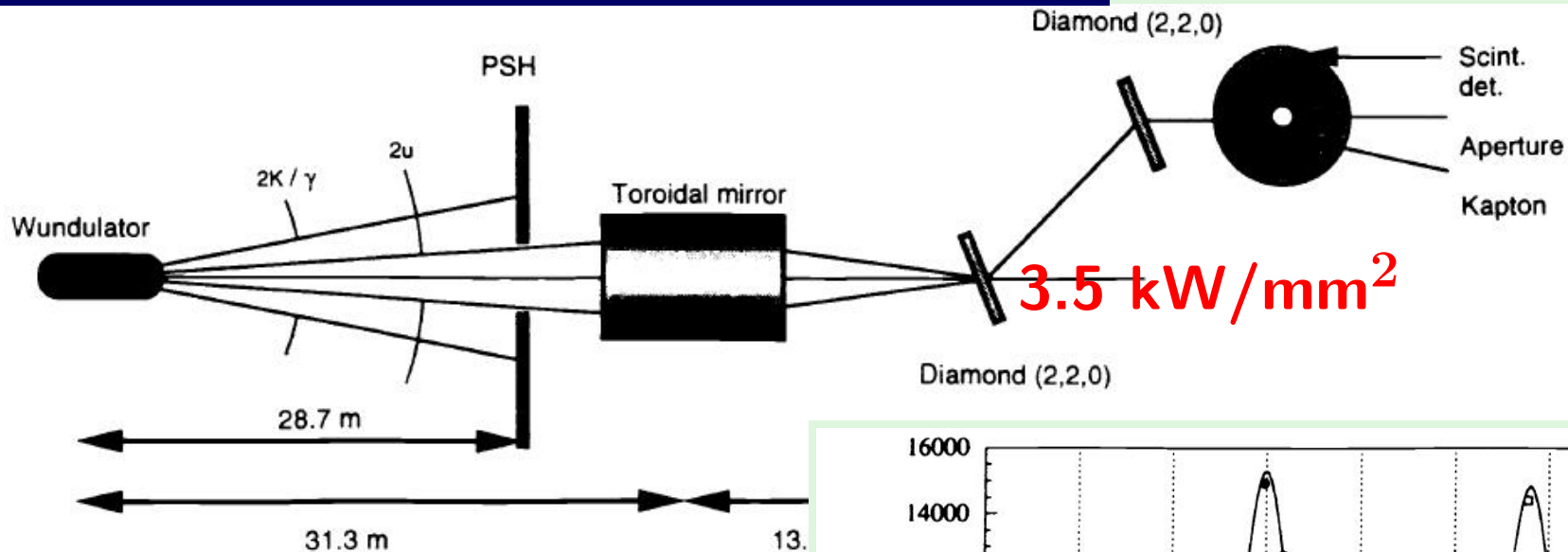


Fig. 2 Schematic diagram of the experimental setup

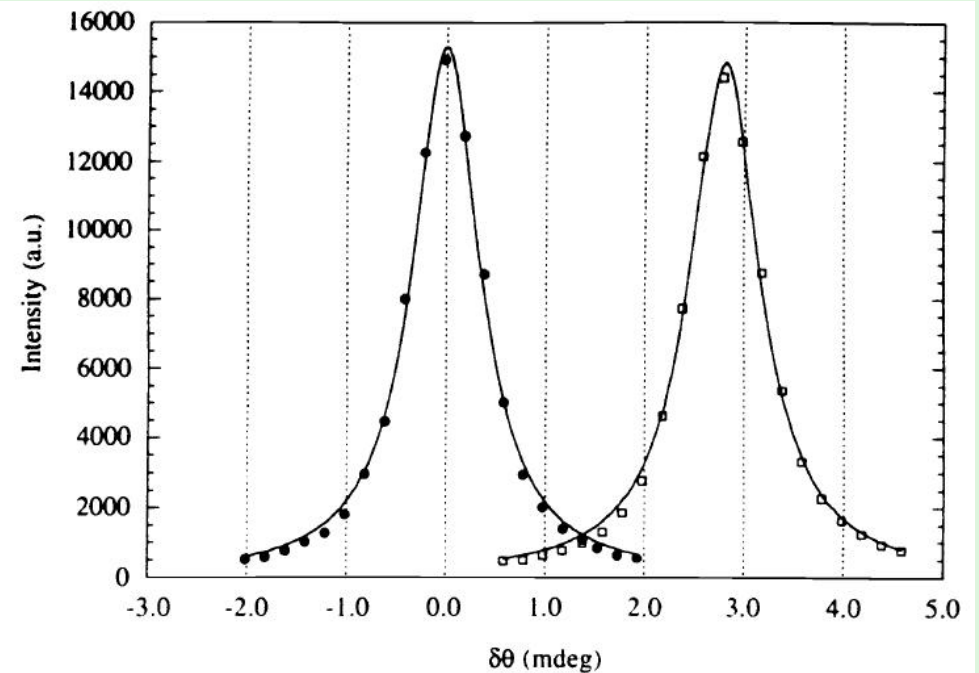
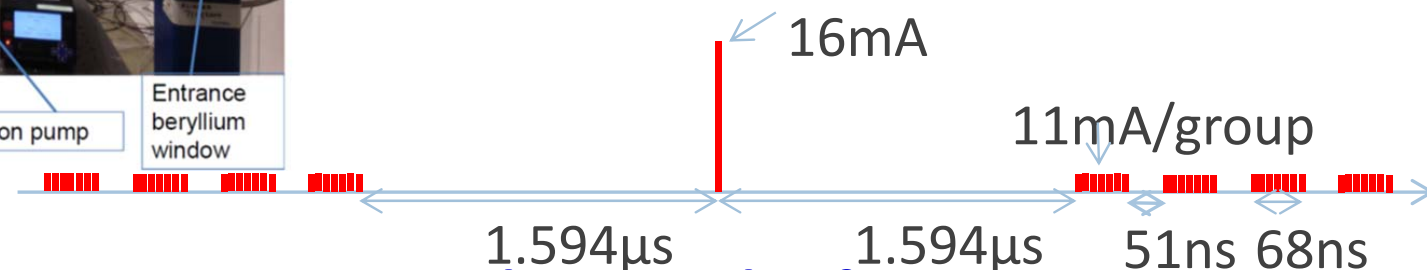
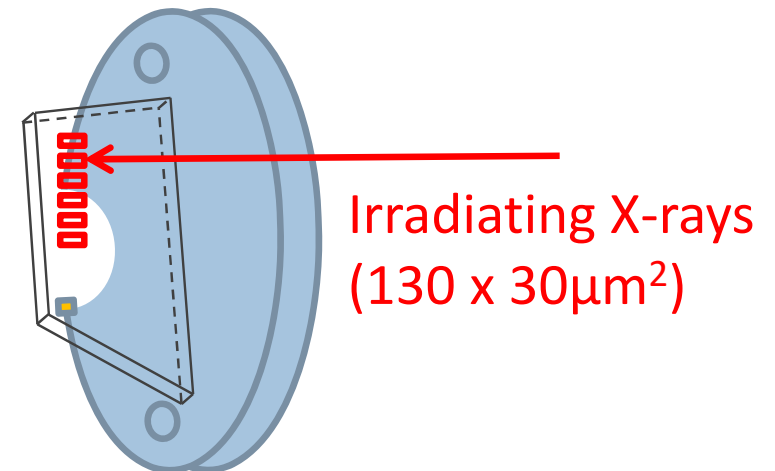
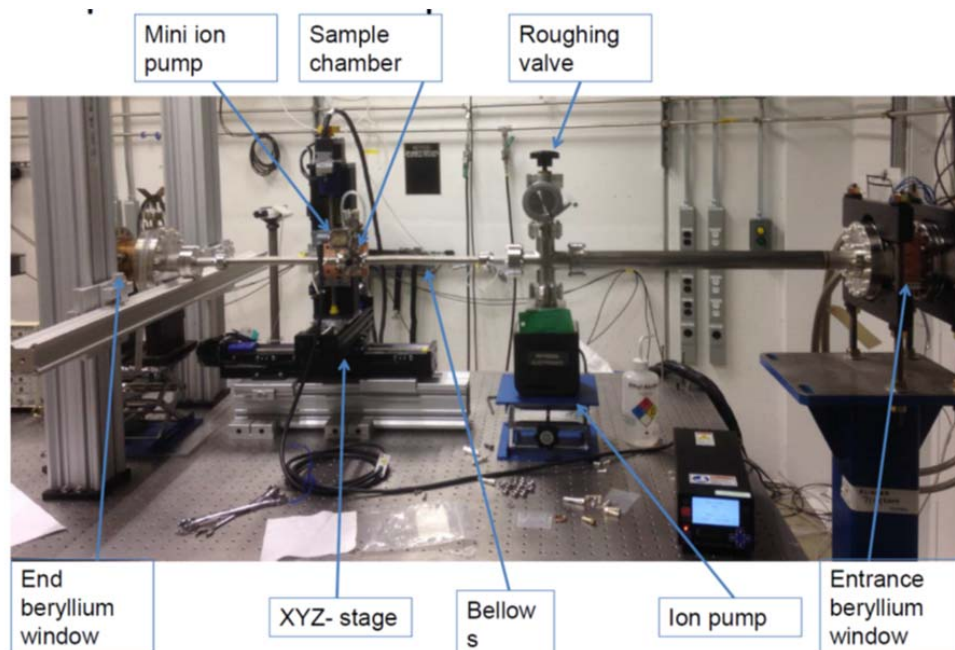


Fig. 3 Rocking curves of the analyzer at low and high power levels. The full lines are least squares fitted Lorentzians.<sup>26</sup>

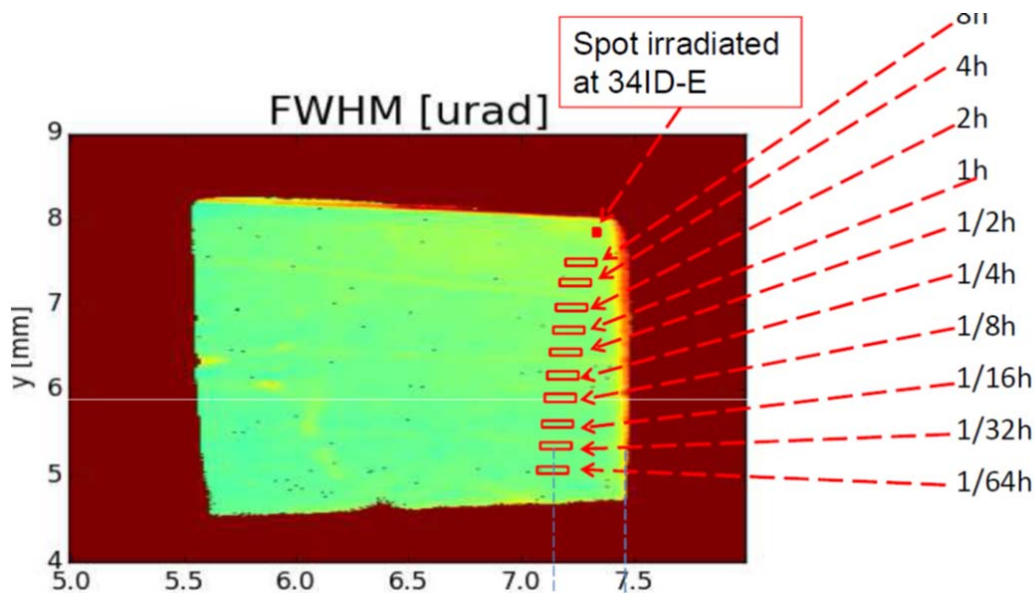
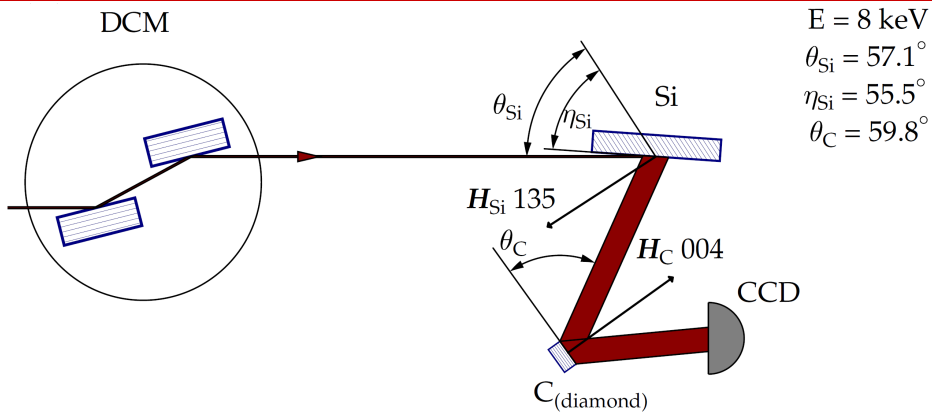
# APS Experiments on Resilience of Diamond Exposed to High-Power-Density X-rays

- 34 ID-E: 4 kW/mm<sup>2</sup> in 0.5x0.5 μm<sup>2</sup>
- 35 ID-B: 8 kW/mm<sup>2</sup> in 120x30 μm<sup>2</sup> spot (~XFELO)
- Raman characterization (of surface contamination)
- Double crystal topography at 1BM-B

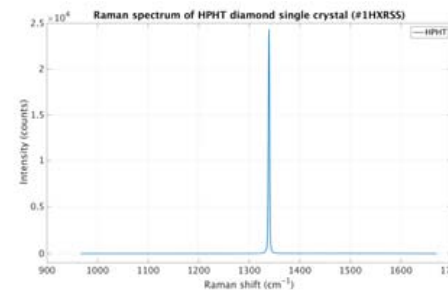


T. Kolodziej, K.-J.Kim, Deming Shu, S. Stoupin, V. Blank, S. Terentev, Yu. Shvyd'ko, et al

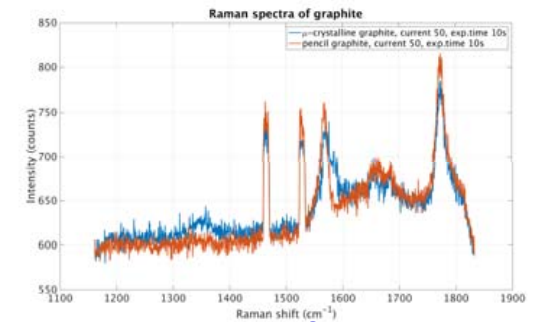
# No Structural Changes are Observed with Medium Resolution ( $10^{-6}$ ) X-ray Topography



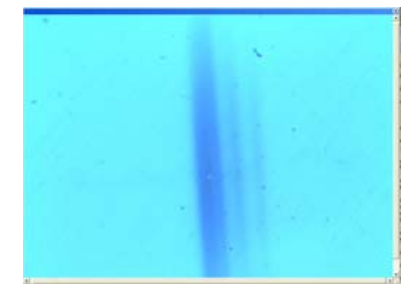
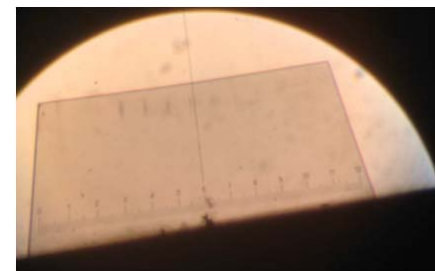
## Raman spectra



HPHT diamond



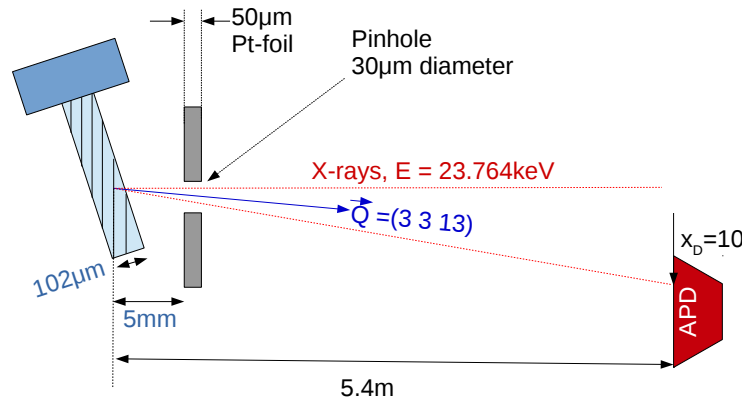
graphite



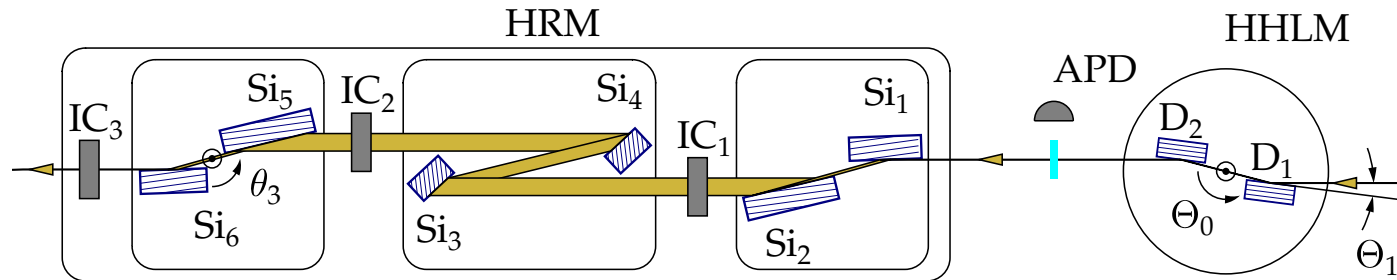
Optical microscope images

# Bragg-Reflection Curve Shifts are Observed with High-Resolution ( $10^{-8}$ ) X-ray Diffraction

Experiment scheme

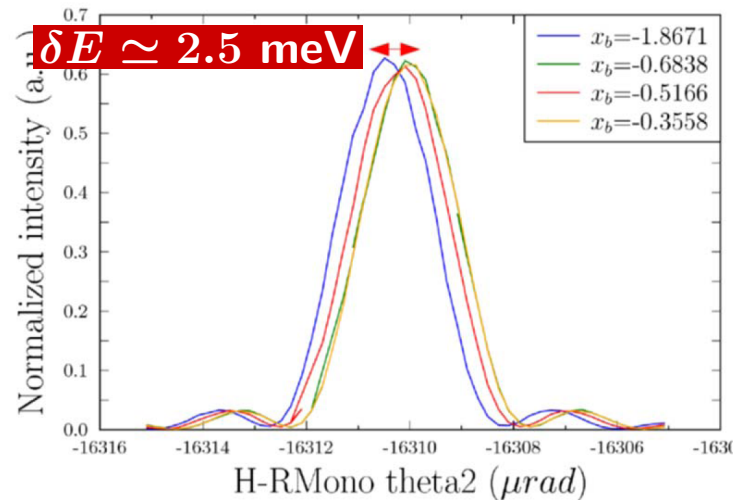
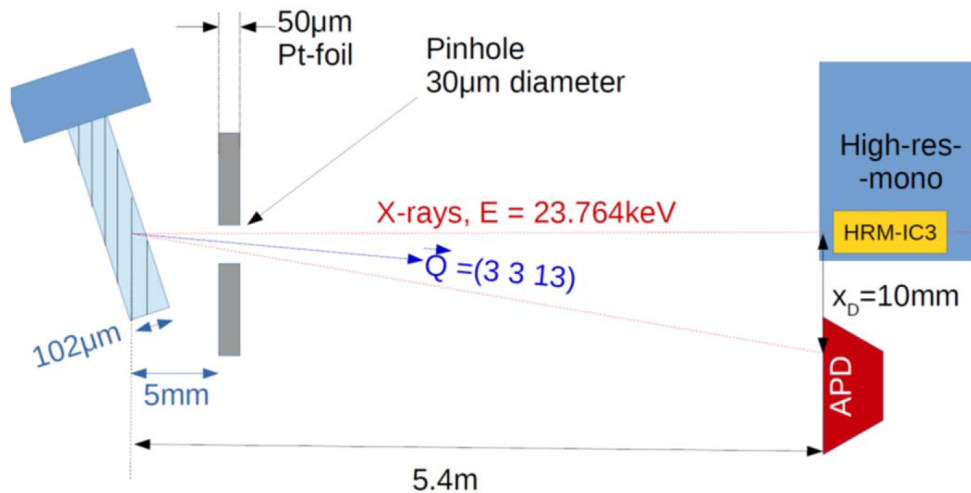


$E = 23.76 \text{ keV}, \Delta E = 1 \text{ meV}$



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# Bragg-Reflection Curve Shifts are Observed with High-Resolution ( $10^{-8}$ ) X-ray Diffraction



- $\simeq 1$  meV shifts of the Bragg-reflection curves are observed in the irradiated areas.
- Reflectivity and width are not changed!!!
- Presumably, it is a bulk effect of the irradiation (crystal swells).
- Uncritical if the Bragg reflection widths are  $\gtrsim 10$  meV, as typical for  $E \lesssim 15-17$  keV.
- Could be compensated by the angular correction of the crystal.

T. Kolodziej, K.-J.Kim, D. Shu, A. Said, V. Blank, S. Terentev, Yu. Shvyd'ko, et al

# Conclusions and Outlook

---

Yet no show-stoppers for XFEL cavities were detected:

- Quality of diamond crystals: ✓  
theoretical  $\simeq 99\%$  reflectivity is achievable.
- Focusing and collimating optics suitable for XFEL cavities are available. ✓
- Heat load problem: ✓  
simulations indicate that Bragg reflection region variations can be  $\lesssim 1$  meV.
- Angular stability: ✓  
 $\delta\theta \simeq 15$  nrad (rms) can be achieved  
(multi-axis stabilization tests are required)
- Resilience to radiation damage: ✓ with an accuracy of  $> 10^{-7}$ .  
(refined studies are required)





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**Thank you for your attention**

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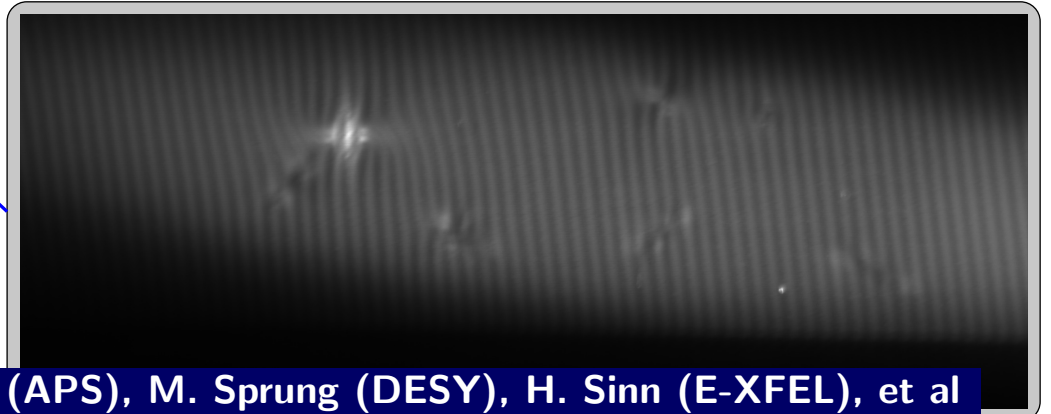
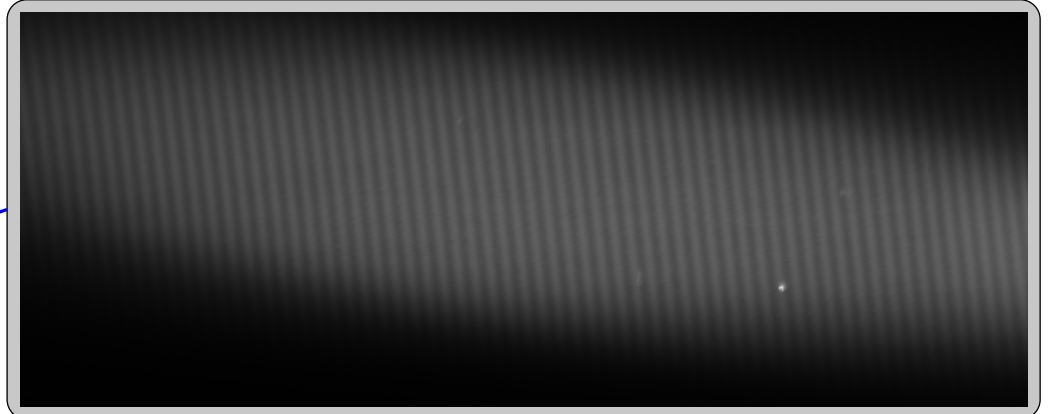
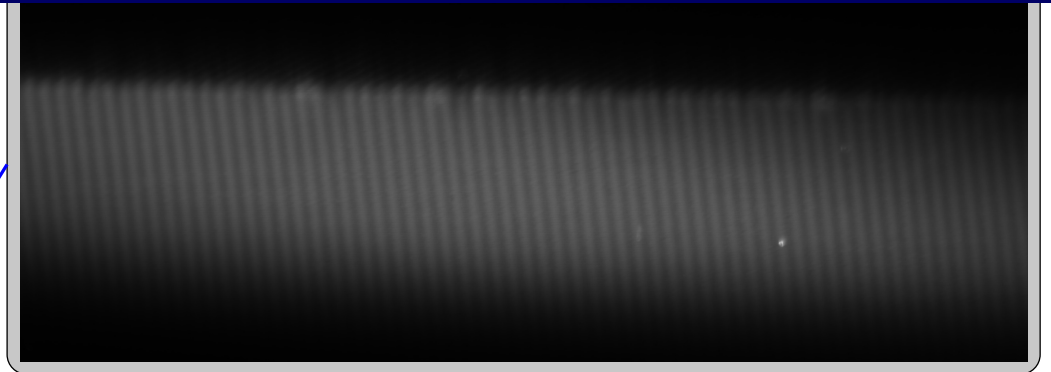
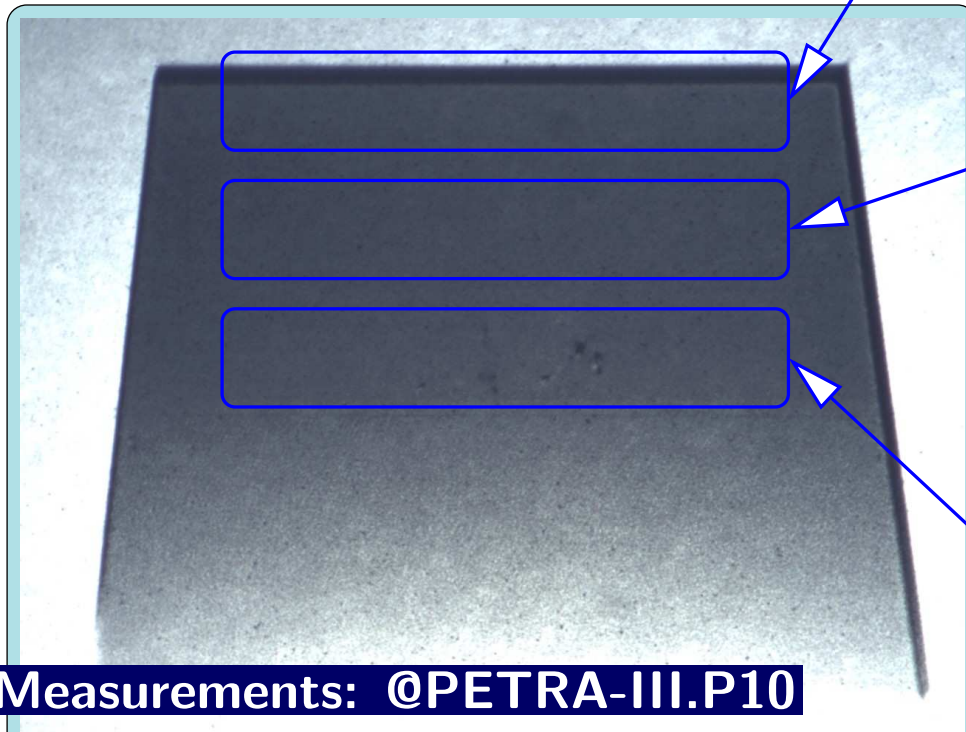
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# Wavefront upon Bragg Reflection C(004)

Moire fringes with x-ray Talbot interferometer



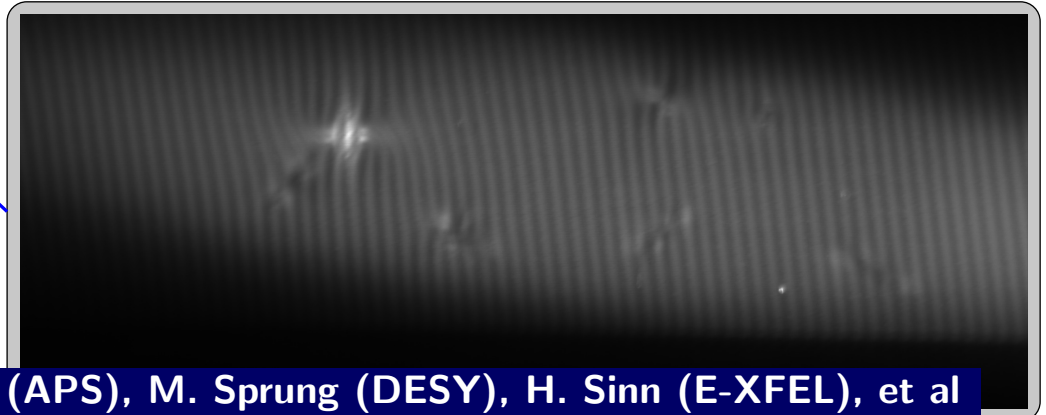
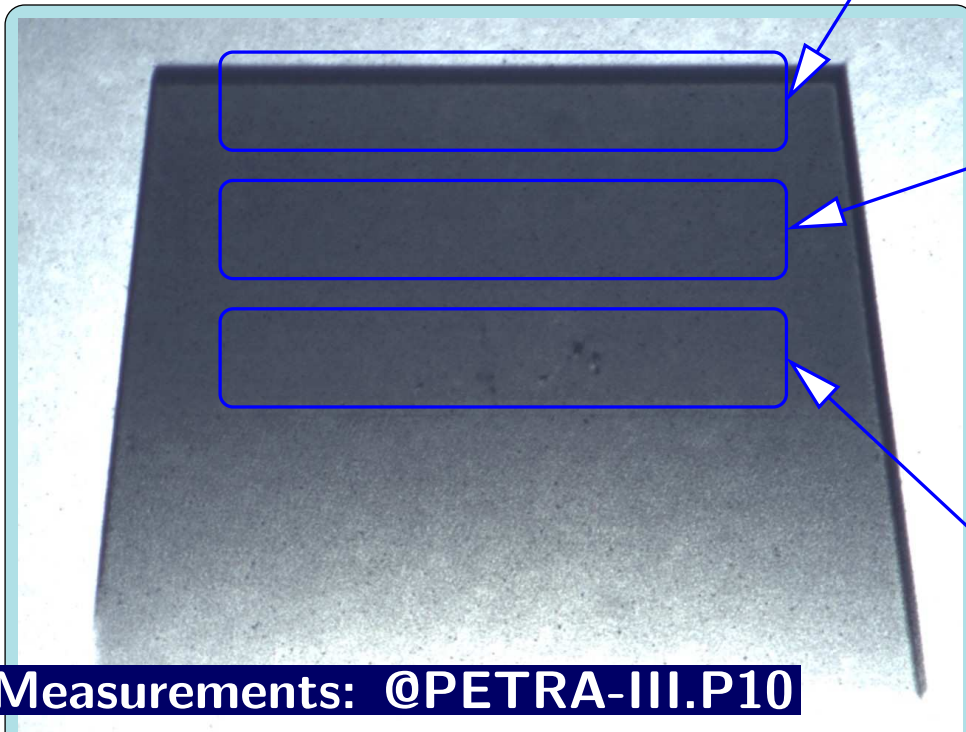
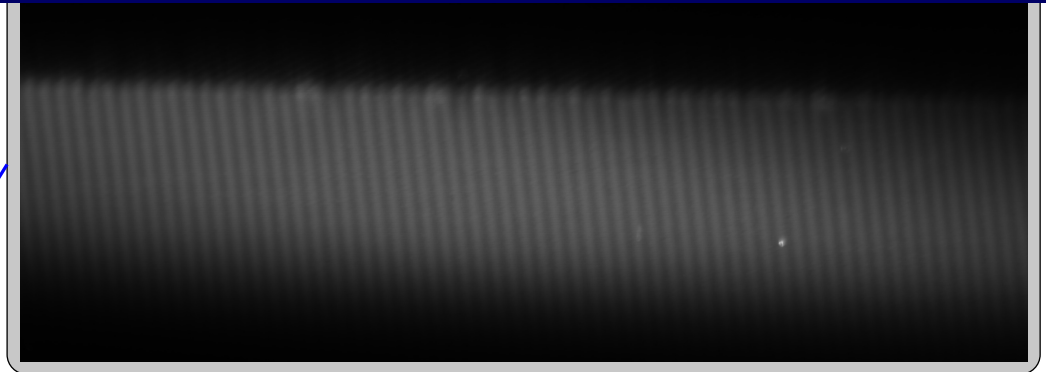
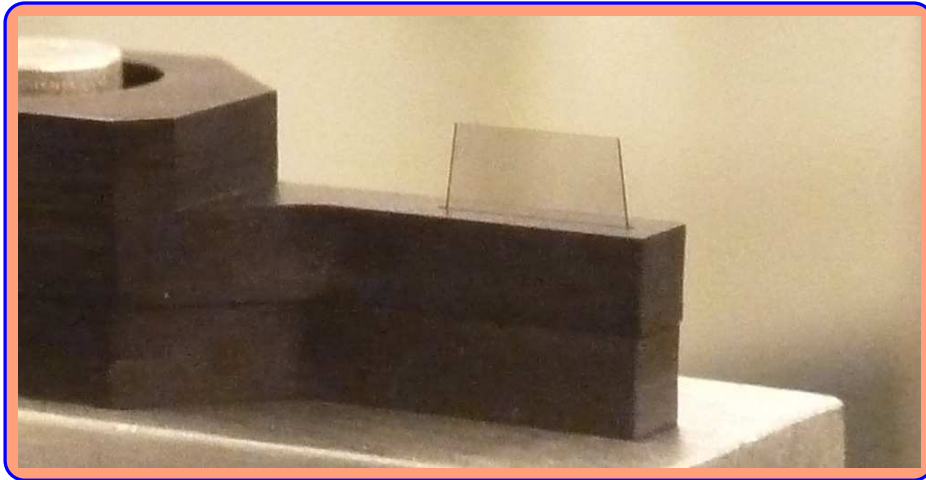
Measurements: @PETRA-III.P10

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