



## Deep Dive into Physics of E300

What will it take to make experiments more like simulations?

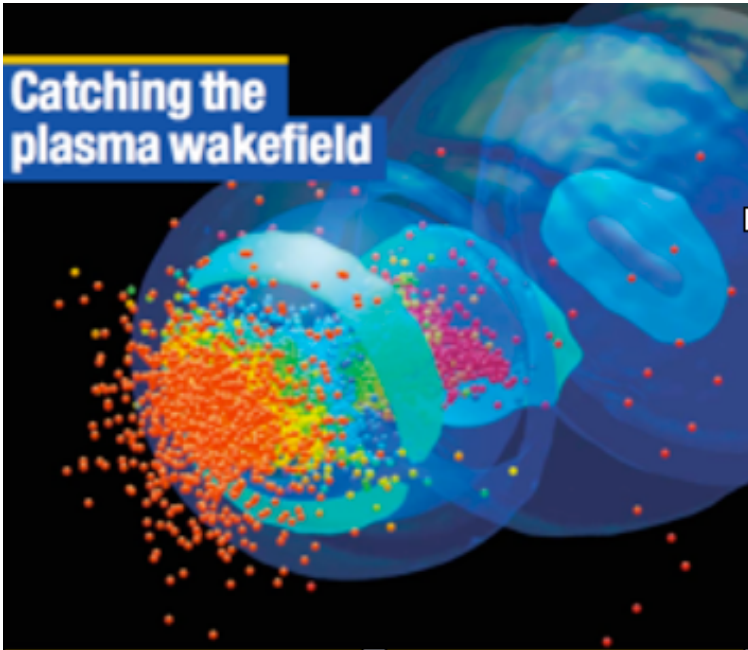
Minimize centroid displacements and pre and post bunch tails

**Chan Joshi**

**UCLA**

On behalf of E300 collaboration


White, Hogan (SLAC), Fujii, Zhang, Zhao, Zan, Marsh, An (UCLA)



Stony Brook



## Plasma wakefield acceleration experiment at FACET II

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Plenary talk given at LPAW 2017, Jeju, Korea



# E300 Goals closely match the strategic goals of the DOE-HEP AA R&D Roadmap for PWFA

- Main Goals are as follows:
  - 1) A net energy transfer efficiency of 40% from the drive to the trailing bunch-pump deplete the drive bunch
  - 2) Minimize the energy growth of the trailing bunch- understand the factors that cause increase of energy spread
  - 3) Minimize the emittance growth- understand the factors that cause emittance growth- beam mismatch, alignment error between the drive and trailing bunches
  - 4) Conserve the charge of the trailing bunch

Near Ideal beam Matching and Loading leads to  
little emittance growth (Li plasma oven specified)  
QuickPic Simulation with matching ramps but no He buffer

**Drive Beam:**  $E = 10 \text{ GeV}$ ,  $I_{\text{peak}}=15 \text{ kA}$

$\beta = 89.61 \text{ cm}$ ,  $\alpha = 0.0653$ ,  
 $\sigma_r = 21.17 \text{ }\mu\text{m}$ ,  $\sigma_z = 12.77 \text{ }\mu\text{m}$ ,  
 $N = 1.0 \times 10^{10}$  (1.6 nC),  
 $\epsilon_N = 10 \text{ }\mu\text{m}$

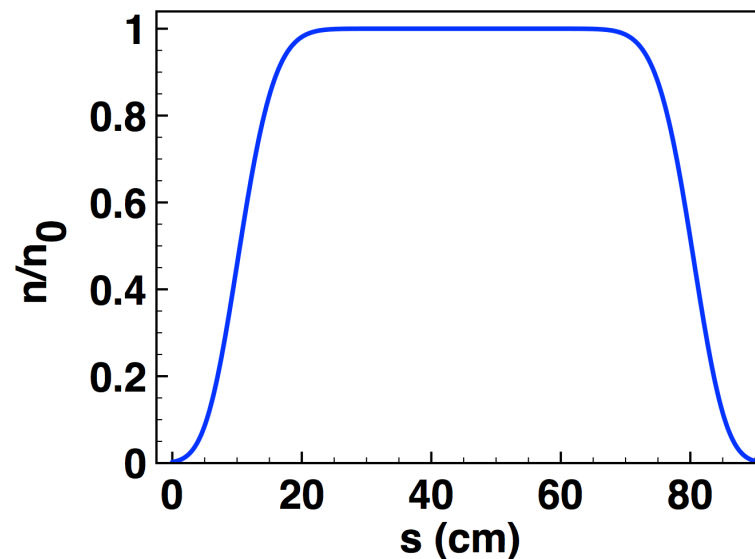
**Trailing Beam:**  $E = 10 \text{ GeV}$ ,  $I_{\text{peak}}=9 \text{ kA}$

$\beta = 89.61 \text{ cm}$ ,  $\alpha = 0.0653$ ,  
 $\sigma_r = 21.17 \text{ }\mu\text{m}$ ,  $\sigma_z = 6.38 \text{ }\mu\text{m}$ ,  
 $N = 0.3 \times 10^{10}$  (0.48 nC),  
 $\epsilon_N = 10 \text{ }\mu\text{m}$

**Distance between two bunches:** 150  
 $\mu\text{m}$

**Plasma Density:**  $4.0 \times 10^{16} \text{ cm}^{-3}$  (with  
ramps)

Plasma Density Profile

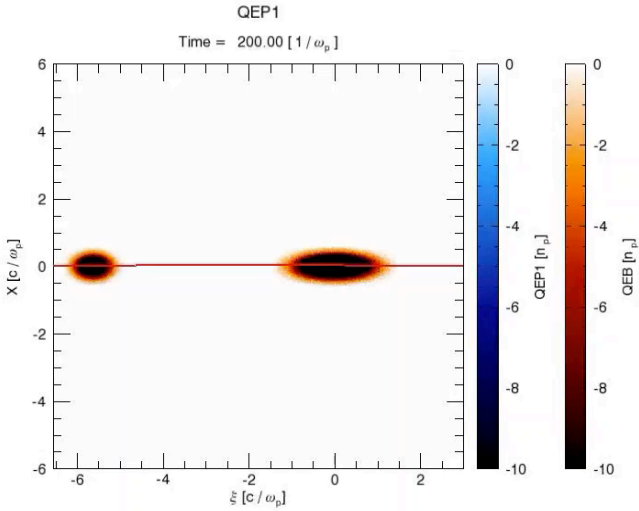


Ref.: C. Joshi et al PPCF Jan 2018

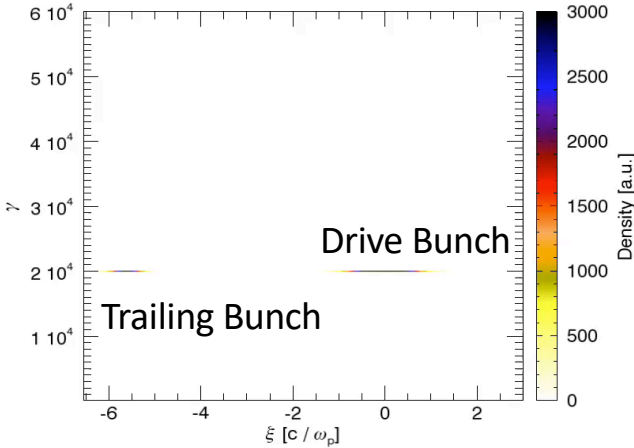
# Beam and Plasma Density; Energy evolution and spot-size and emittance

## Preformed plasma

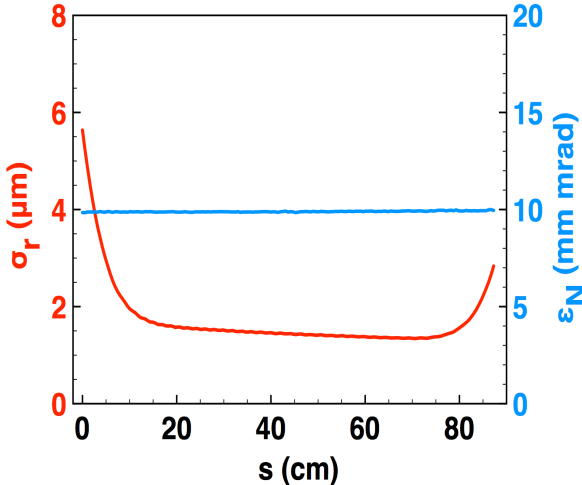
Plasma and beam density with on-axis Ez line out



Beam Energy

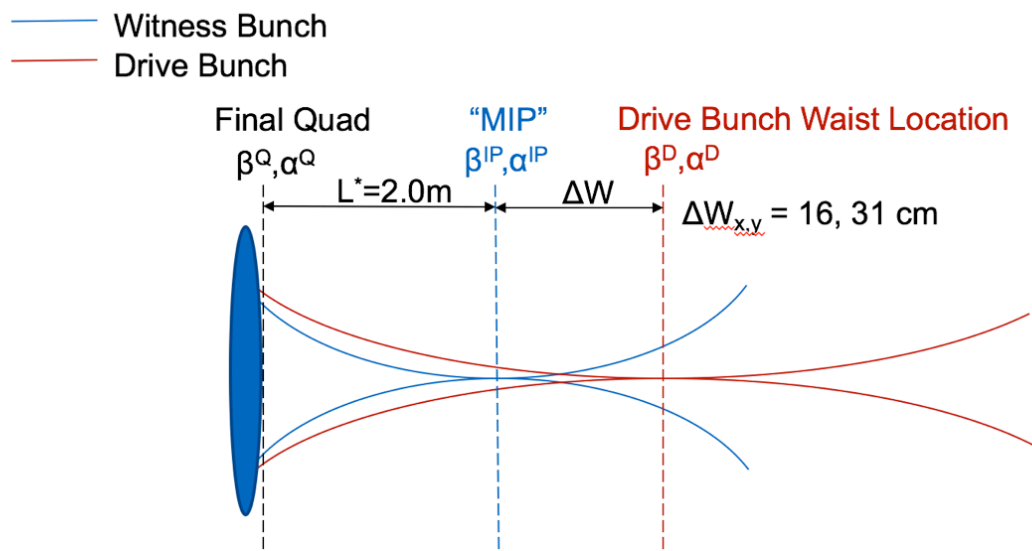


Projected beam spot size and emittance



# Final focus and IP as of June 2018

(for a 3 $\mu$ m emittance we will likely ionize He in the ramps)



	$\alpha^o [x,y]$	$\beta^o [x,y]$	$\alpha^{IP} [x,y]$	$\beta^{IP} [x,y]$	$\alpha^D [x,y]$	$\beta^D [x,y]$
Witness	40, 40	80, 80 m	0.0, 0.0	5.0, 5.0 cm	-3.1, -5.8	53, 185 cm
Drive	59, 12	127, 27 m	4.2, 1.6	70, 70 cm	0.0, 0.0	3.7, 19 cm

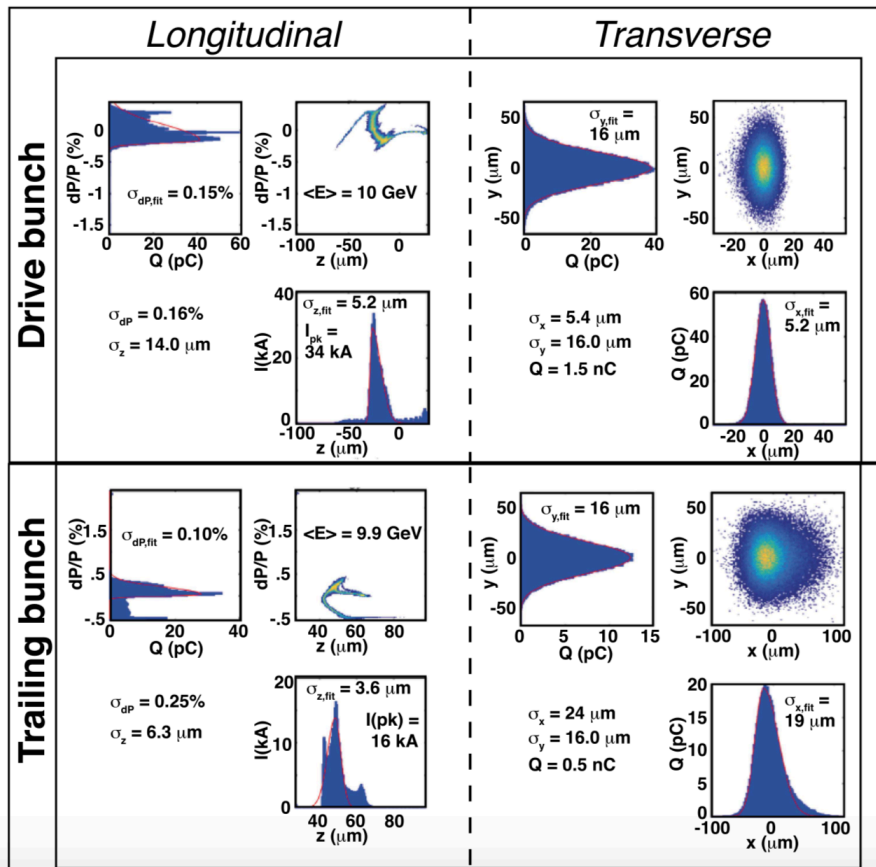
**Figure 3.1:** Final Focus at FACET II experimental area. Courtesy of Glenn White (SLAC).

# E300 Beam Parameters (C. Joshi PPCF 2018)



Plasma Phys. Control. Fusion 60 (2018) 034001

C Joshi et



**Drive Beam:**  $E = 10.0 \text{ GeV}$ ,  
 $N = 1.0 \times 10^{10}$  (1.6 nC),  
 $\beta_x = 70.0 \text{ cm}$ ,  $\alpha_{x,y} = 4.2$ ,  $1.6 \beta_y = 70.0 \text{ cm}$ ,  
 $\beta_x^* = 3.8 \text{ cm}$ ,  $\beta_y^* = 19 \text{ cm}$ ,  $\sigma_z = 5.2 \mu\text{m}$ ,  
 $\sigma_{z,r.m.s.} = 14.0 \mu\text{m}$ ,  
 $\epsilon N_x = 3.4 \mu\text{m}$ ,  $\epsilon N_y = 3.0 \mu\text{m}$   
 $I_{\text{peak}} = 34 \text{ kA}$

**Trailing Beam:**  $E = 9.9 \text{ GeV}$ ,  
 $N = 3.125 \times 10^9$  (0.5 nC),  
 $\beta = 5.0 \text{ cm}$ ,  $\alpha = 0$ ,  
 $\beta^* = 5.0 \text{ cm}$ ,  $s = 0 \text{ cm}$ ,  $\sigma_z = 3.6 \mu\text{m}$ ,  
 $\sigma_{z,r.m.s.} = 6.3 \mu\text{m}$ ,  
 $I_{\text{peak}} = 16 \text{ kA}$

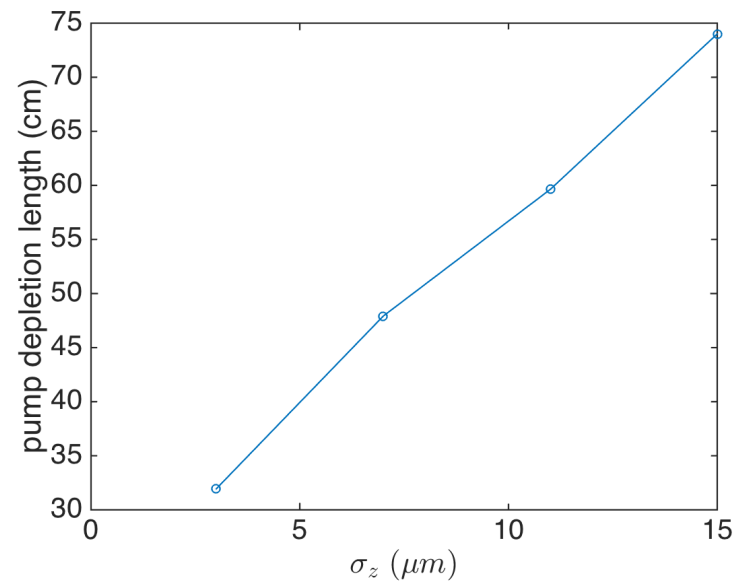
$\epsilon N = 3.15 \mu\text{m}$

**Bunch Separation:** 150  $\mu\text{m}$

Charge asymmetry in x, beam tails were ignored

# Pump depletion length

For all the  $\sigma_z$  tried the wake was generated over a distance limited by pump depletion and not by beam head erosion.



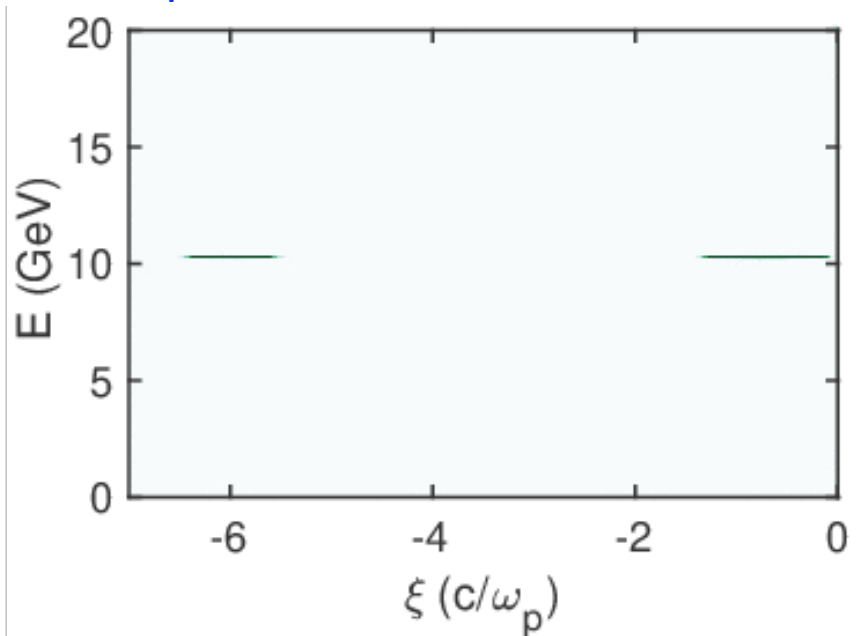


# Pre-ionized vs. beam-ionized plasma (realistic ramps)

Energy gain:

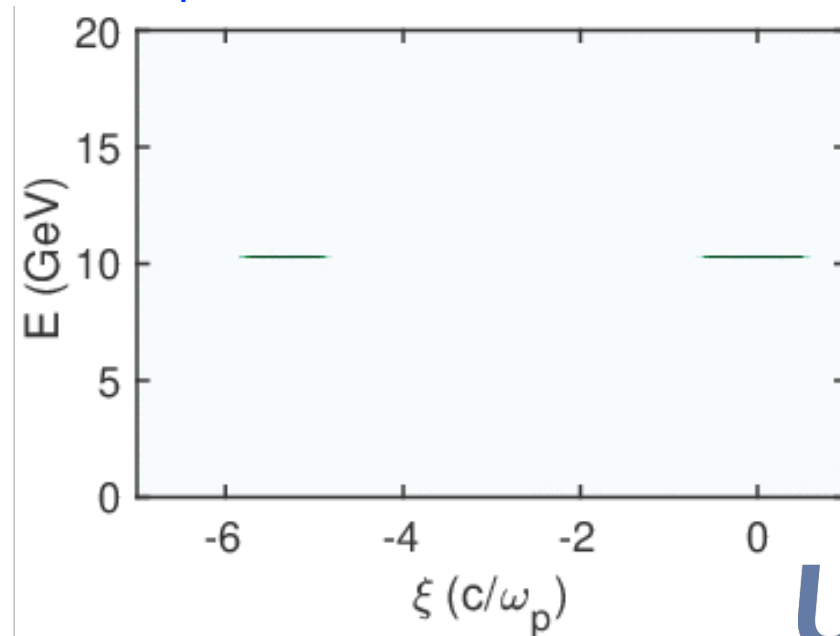
pre-ionized plasma

$E_f = 18 \text{ GeV}$ ,  $\Delta E/E = 0.9\%$



beam-ionized plasma

$E_f = 17 \text{ GeV}$ ,  $\Delta E/E = 1.0\%$

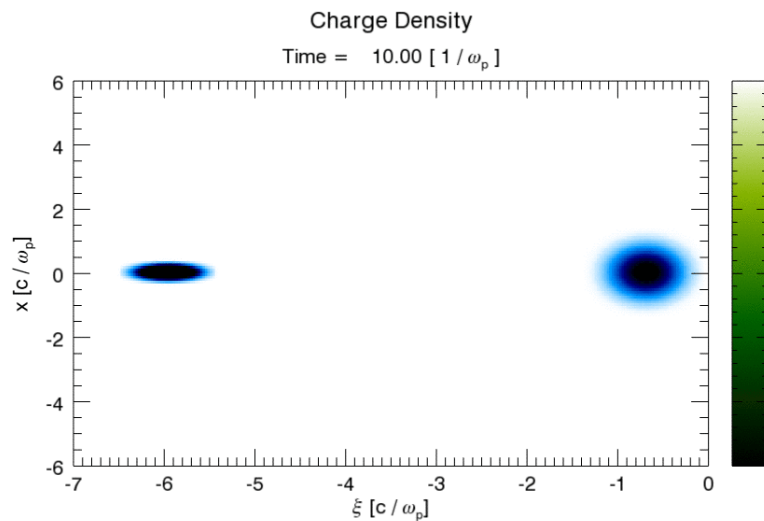


# Pre-ionized vs. beam-ionized plasma (realistic ramps)

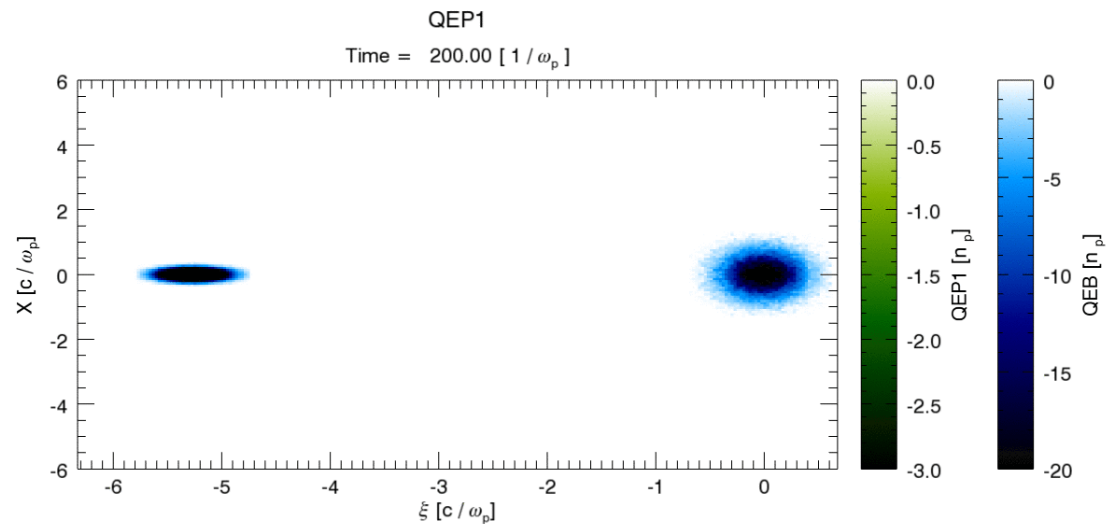
only trailing bunch is matched

Using Glen's parameters C.Joshi et al PPCF 2018

pre-ionized plasma



beam-ionized plasma

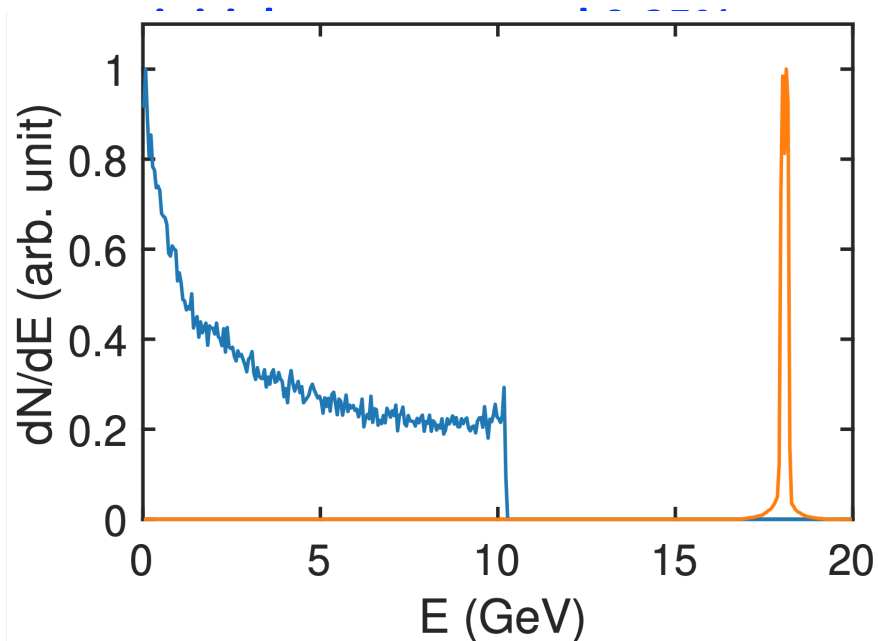


# Pre-ionized vs. beam-ionized plasma (realistic ramps)

Final energy spectrum:

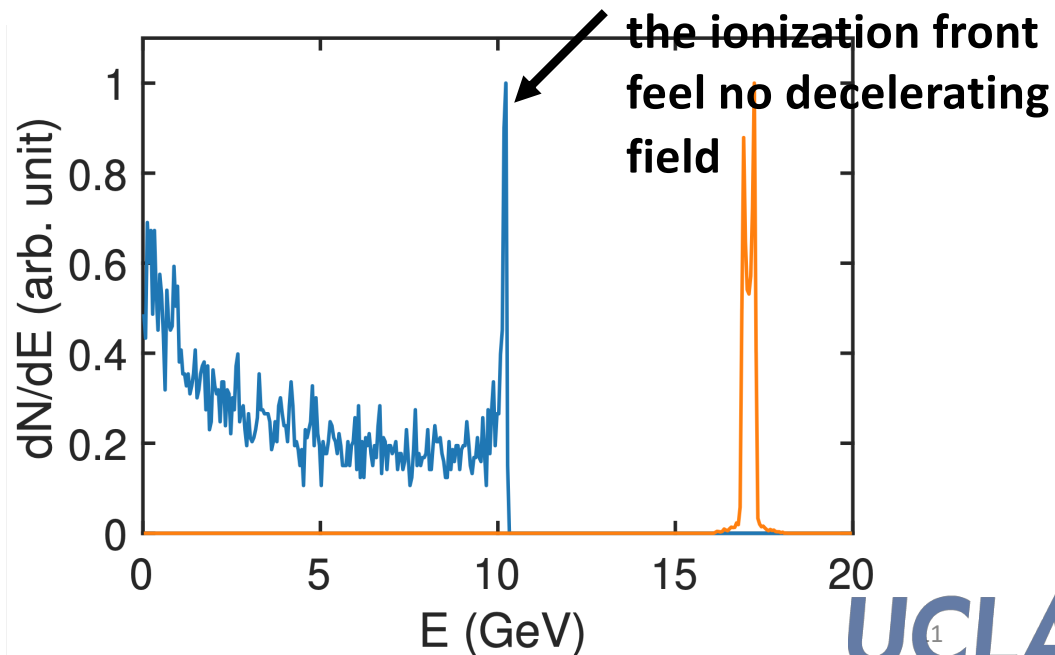
pre-ionized plasma

$E_f = 18 \text{ GeV}$ ,  $\Delta E/E = 0.9\%$



beam-ionized plasma

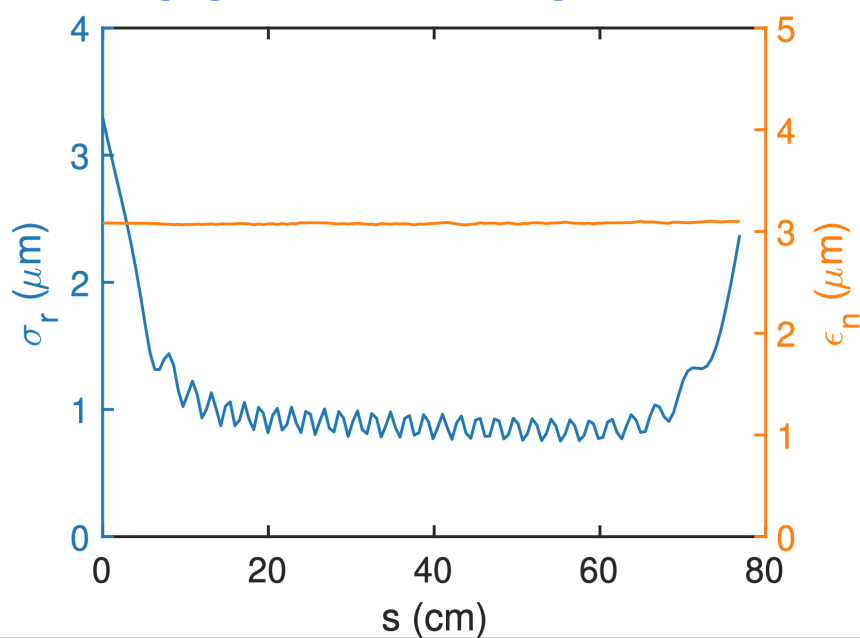
$E_f = 17 \text{ GeV}$ ,  $\Delta E/E = 1.0\%$



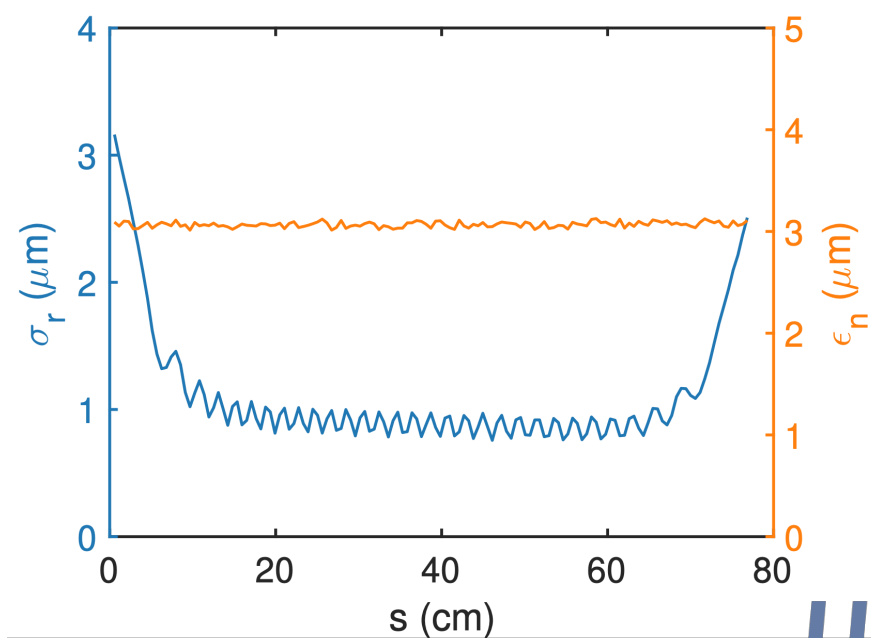
# Pre-ionized vs. beam-ionized plasma (realistic ramps)

Beam size and emittance evolution:

pre-ionized plasma  
negligible emittance growth

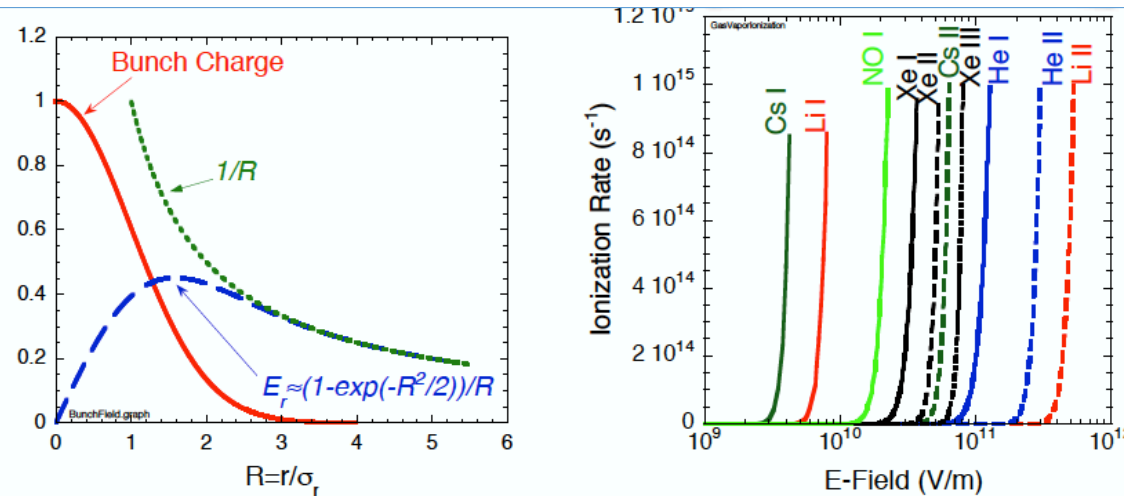


beam-ionized plasma  
negligible emittance growth



Ionization of He may beam load the wake/ alter density ramp: need to do full 3D OSIRIS simulations

$$E_r^{\max} = 10.4 \left[ \frac{\text{GV}}{\text{m}} \right] \frac{N}{10^{10}} \frac{10}{\sigma_r[\mu\text{m}]} \frac{50}{\sigma_z[\mu\text{m}]}, (\sigma_r)^2_{\text{matched}} = \epsilon_n (c/\omega_p) (2/\gamma)^{1/2}$$



90 GeV/m, 300 GeV/m to avoid full ionization of He and He<sup>1+</sup> resp.

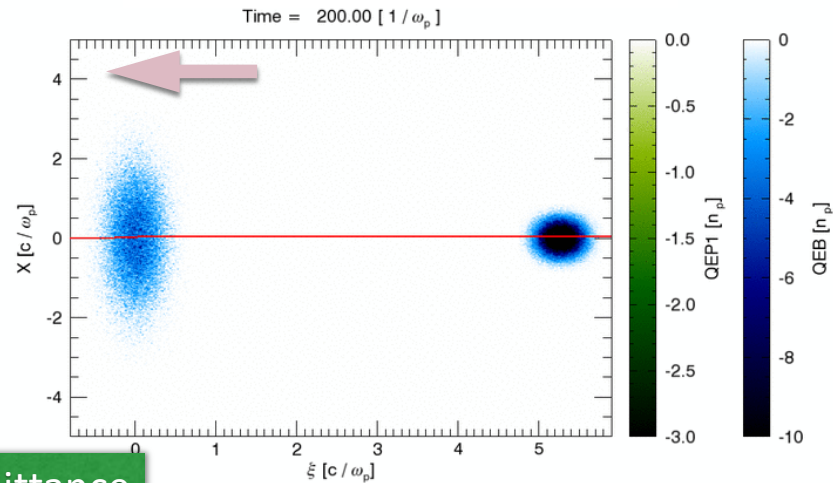
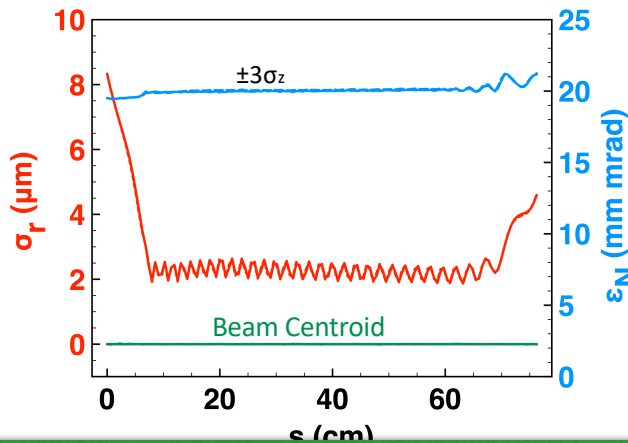
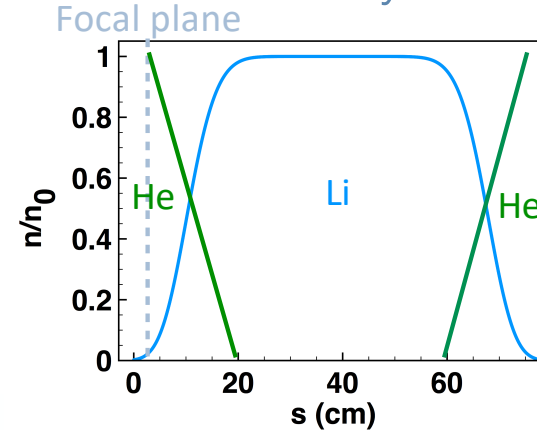
This is why the smallest emittance we can transport without measurable growth is ~20um, in Li plasma, Need some way of spoiling the emittance of the trailing bunch to 20 um.

# Two-Bunch PWFA with He ramps : OSIRIS

**Drive Beam:**  $E = 10 \text{ GeV}$ ,  $N = 1.0 \times 10^{10}$  (1.6 nC),  
 $\beta_x = 0.9960 \text{ m}$ ,  $\alpha_x = 5.1291$ ,  $\beta_y = 57.66 \text{ cm}$ ,  $\alpha_y = 1.4480$ ,  
 $\beta^*_x = 3.8 \text{ cm}$ ,  $\beta^*_y = 19 \text{ cm}$ ,  $\sigma_z = 6.4 \text{ }\mu\text{m}$ ,  
 $\epsilon_{Nx} = 20.0 \text{ }\mu\text{m}$ ,  $\epsilon_{Ny} = 20.0 \text{ }\mu\text{m}$

**Trailing Beam:**  $E = 10 \text{ GeV}$ ,  $N = 3.125 \times 10^9$  (0.5 nC),  
 $\beta = 7.30 \text{ cm}$ ,  $\alpha = 0.6784$ ,  
 $\beta^* = 5.0 \text{ cm}$ ,  $s = 3.39 \text{ cm}$ ,  $\sigma_z = 5.0 \text{ }\mu\text{m}$ ,  
 $\epsilon_N = 20.0 \text{ }\mu\text{m}$   
**Distance between two bunches:** 150  $\mu\text{m}$   
**Plasma Density:**  $3.5 \times 10^{16} \text{ cm}^{-3}$  (with ramps)

## Plasma Density Profile



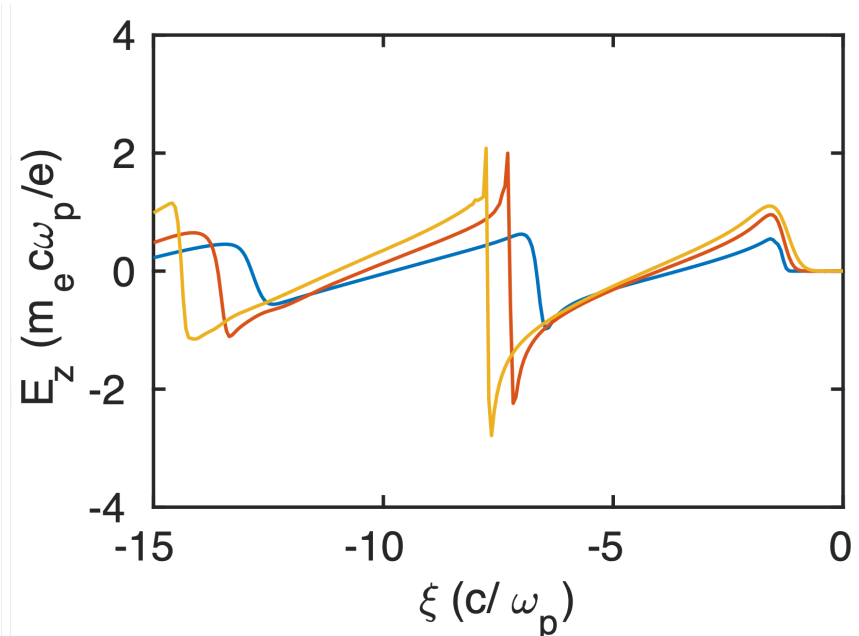
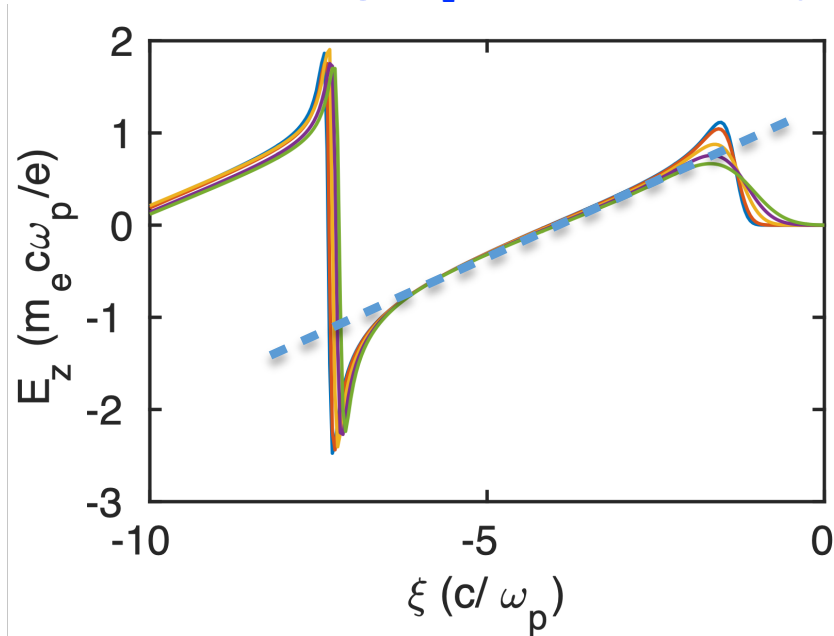
The projected beam spot size and emittance

What happens if drive beam pulsewidth or charge varies?

$E_+/E_-$  for fixed charge

$E_+/E_-$  for fixed current

- $Q=1.2$  nC, change  $\sigma_z$  [4, 5, 8, 11, 14]  $\mu\text{m}$

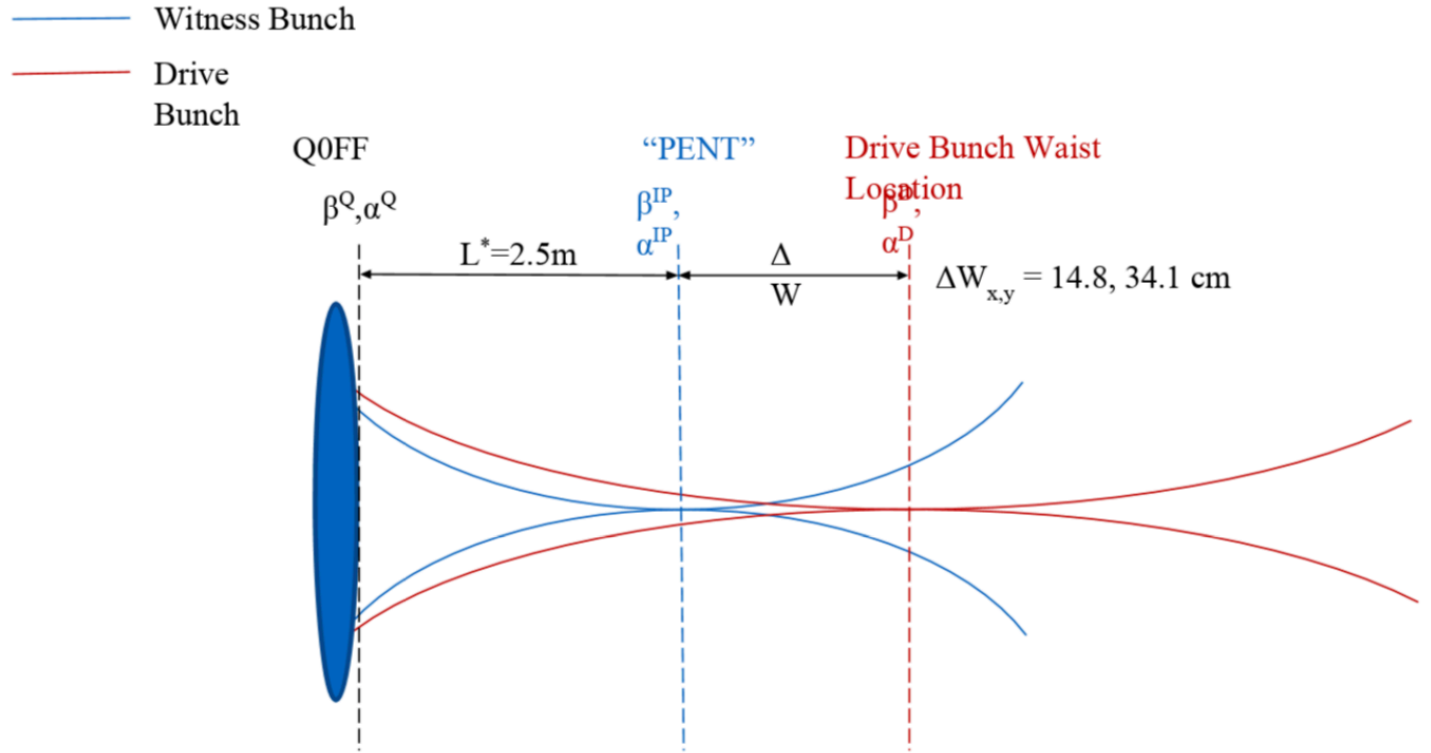


Neither position nor amplitude of  $E_{z-}$  changes (dotted straight line)  
 Beam loading will not be affected if position and current profile of  
 The trailing bunch remains the same.

As long as the separation between bunches remains  
 constant. Only efficiency will change.

# IP Waist Locations for Drive and Witness Bunch

@ July meeting  
**SLAC**



	$\alpha^Q [x,y]$	$\beta^Q [x,y]$	$\alpha^{IP} [x,y]$	$\beta^{IP} [x,y]$	$\alpha^D [x,y]$	$\beta^D [x,y]$
Witness	54, 56	148, 148 m	0.1, 0.7	5.0, 7.0 cm	-2.9, -6.4	46, 203 cm
Drive	32, 19	91, 59 m	1.7, 2.2	33, 33 cm	0.0, 0.0	8.9, 16 cm



July 2018

## Beam @ Sector 20 IP (PENT) – 2 Bunch (Longitudinal)

Bunch separation still 150  $\mu\text{m}$

### Drive beam

$I_{\text{peak}} = 68 \text{ KA}$

$\sigma_z = 1.7 \text{ um(peak)}$

$\sigma_z \text{ (rms)} = 31 \text{ um}$

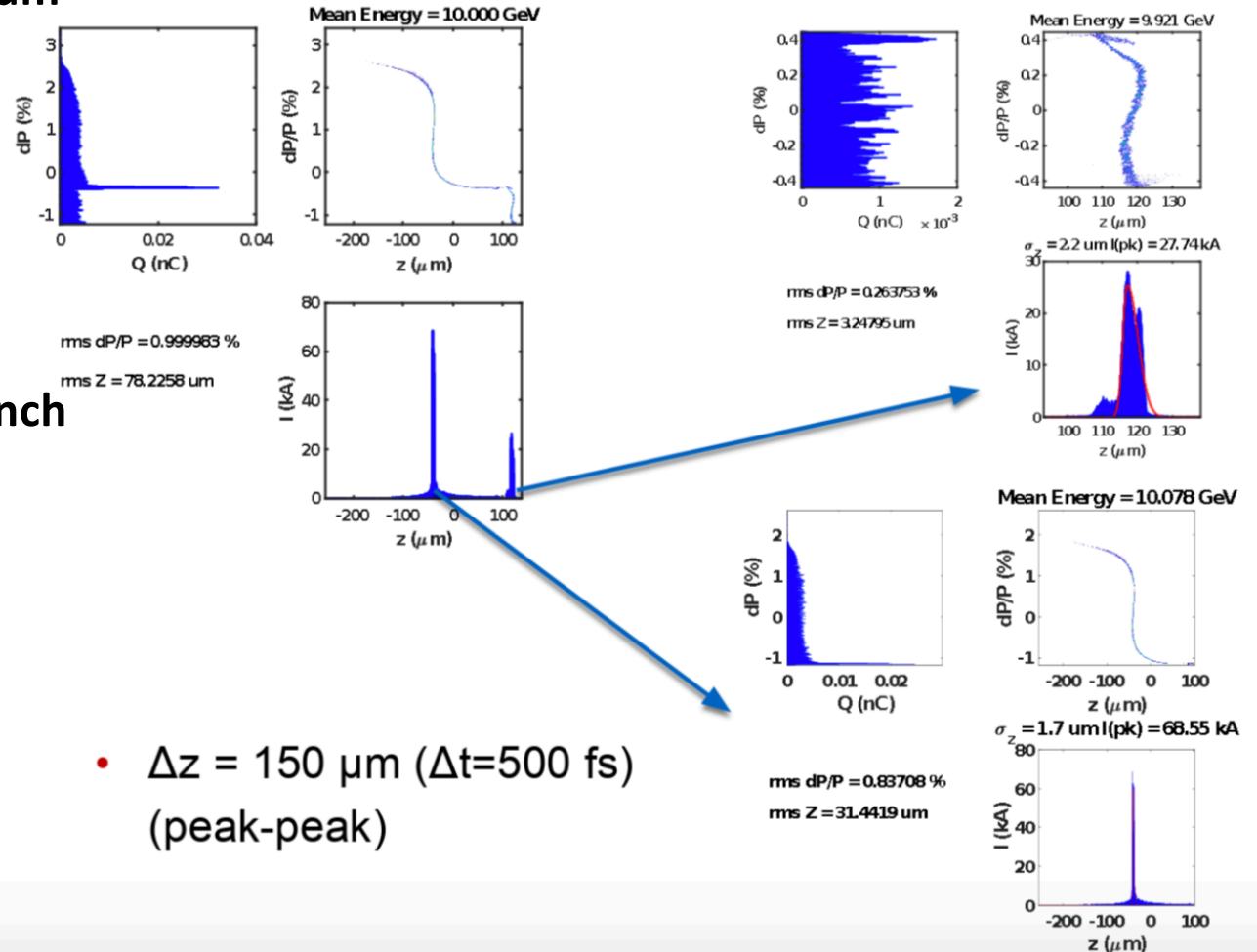
Drive bunch has a long tail  
that connects the drive bunch  
to the trailing bunch

### Witness Beam

$I_{\text{peak}} = 27.7 \text{ KA}$

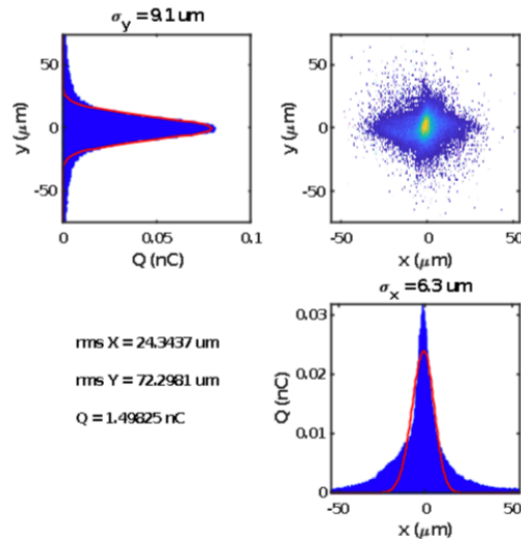
$\sigma_z = 2.2 \text{ um peak}$

$\sigma_z \text{ (rms)} = 3.24 \text{ um}$

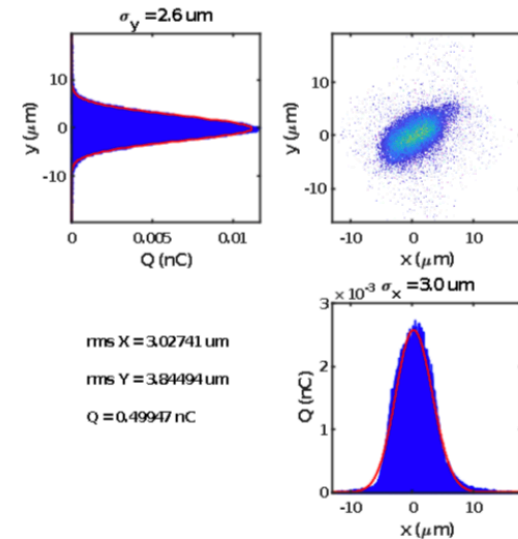


# Beam @ Sector 20 IP (PENT) – 2 Bunch (Transverse)

Drive Bunch and Witness beam  
Parameters were very different



Drive Bunch



Witness Bunch

- $\gamma\epsilon_{x,y} = 35.0, 115 \mu\text{m-rad}$
- $\gamma\epsilon_{x,y} (90\%) = 20.6, 37.1 \mu\text{m-rad}$

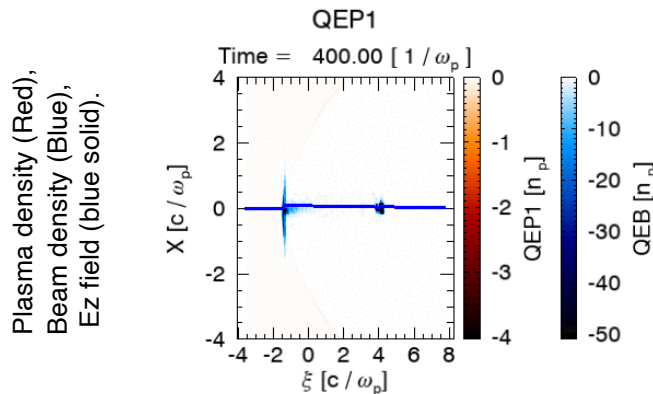
- $\gamma\epsilon_{x,y} = 3.7, 4.1 \mu\text{m-rad}$
- $\gamma\epsilon_{x,y} (90\%) = 3.2, 2.6 \mu\text{m-rad}$

# Can we Load Electron 6D Phase Space into QuickPIC?

- When we use Gaussian fits to the data in QuickPIC we use 40 million particles and quiet-start.
  - When theory group does hosing simulations to compare theory with simulations they use 1-10 B electrons.
  - We find that when the drive and the trailing bunches are aligned there is no hosing and emittance can be preserved for the trailing bunch even with 40 M particles.
  - If the bunches are misaligned hosing still happens. But this too may be overestimated.
- 
- Initially  $10^5$  macroparticles – so each macroparticle represents  $10^5$  real particles.
  - This leads to a tremendous noise source for hosing.
  - We asked for 10 x more macroparticles. Outcome is not much better-hosing is a bit delayed but still occurs.

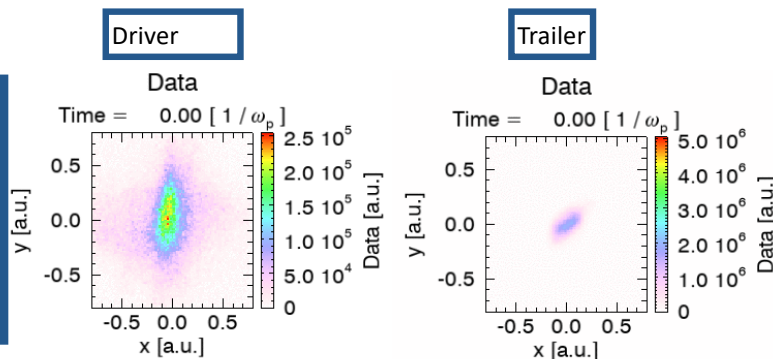
# Instead of using Gaussian fits to beam phase space use the actual 6D phase space

Overview



Used  $10^5$  particles for drive and trailing beam each  
 Preformed plasma w/ up-ramp :  $n_0=3.5 \times 10^{16} \text{ cm}^{-3}$   
 $\rightarrow c/\omega_p=28.4 \text{ um}$ .  
 Propagated for around  $10,800 * c/\omega_p \sim 30.6 \text{ cm}$   
 Box size  $400 \times 400 \times 320 \text{ um}$ , divided by  $2^8$

Transverse (X-Y) phase



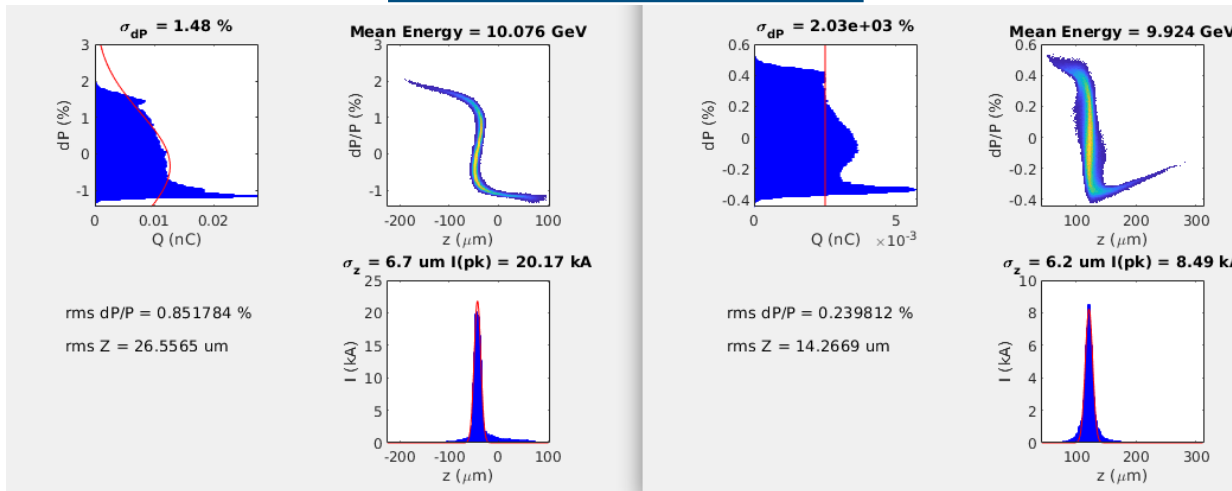
## Observations :

1. Overloaded  $E_z$  leads to large energy spread. Bunch is too short
2. Macro particles might be insufficient as the beam data is noisy. (see later)
3. Extreme Hosing which almost certainly is exacerbated.

Asked for  $10^6$  particles to reduce numerical noise, longer bunch length

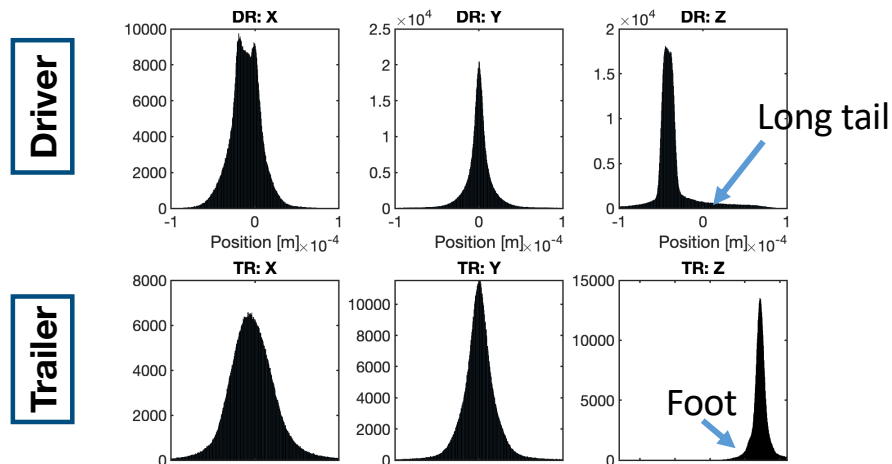
## Distribution Ver. 3

### Z and Pz provided by Glen

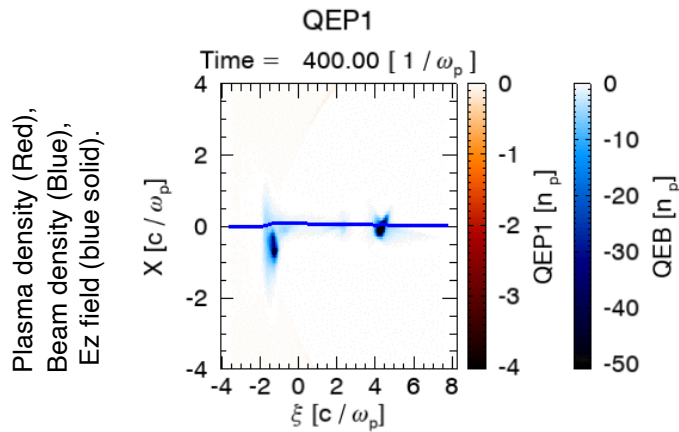


Driver has two peaks in x  
 Driver is off axis relative to Witness  
 Driver has 100 μm long pre and post low current tails.

### Spatial histogram regenerated (Hiroki)

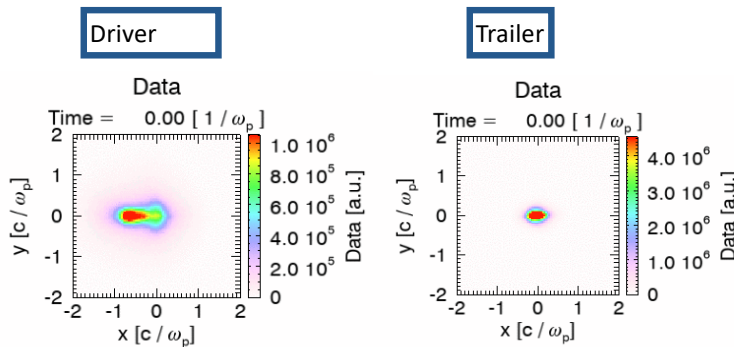


## Overview



Used  $10^6$  particles for drive and trailing beam each  
 Preformed plasma w/ up-ramp :  
 $n_0 = 3.5 \times 10^{16} \text{ cm}^{-3} \rightarrow c/\omega_p = 28.4 \text{ } \mu\text{m}$ .  
 10-90 % ramp length:  $L = 10 \text{ cm}$   
 Box size  $400 \times 400 \times 320 \text{ } \mu\text{m}$ , divided by  $2^8$   
 grids respectively

## Transverse (X-Y) phase

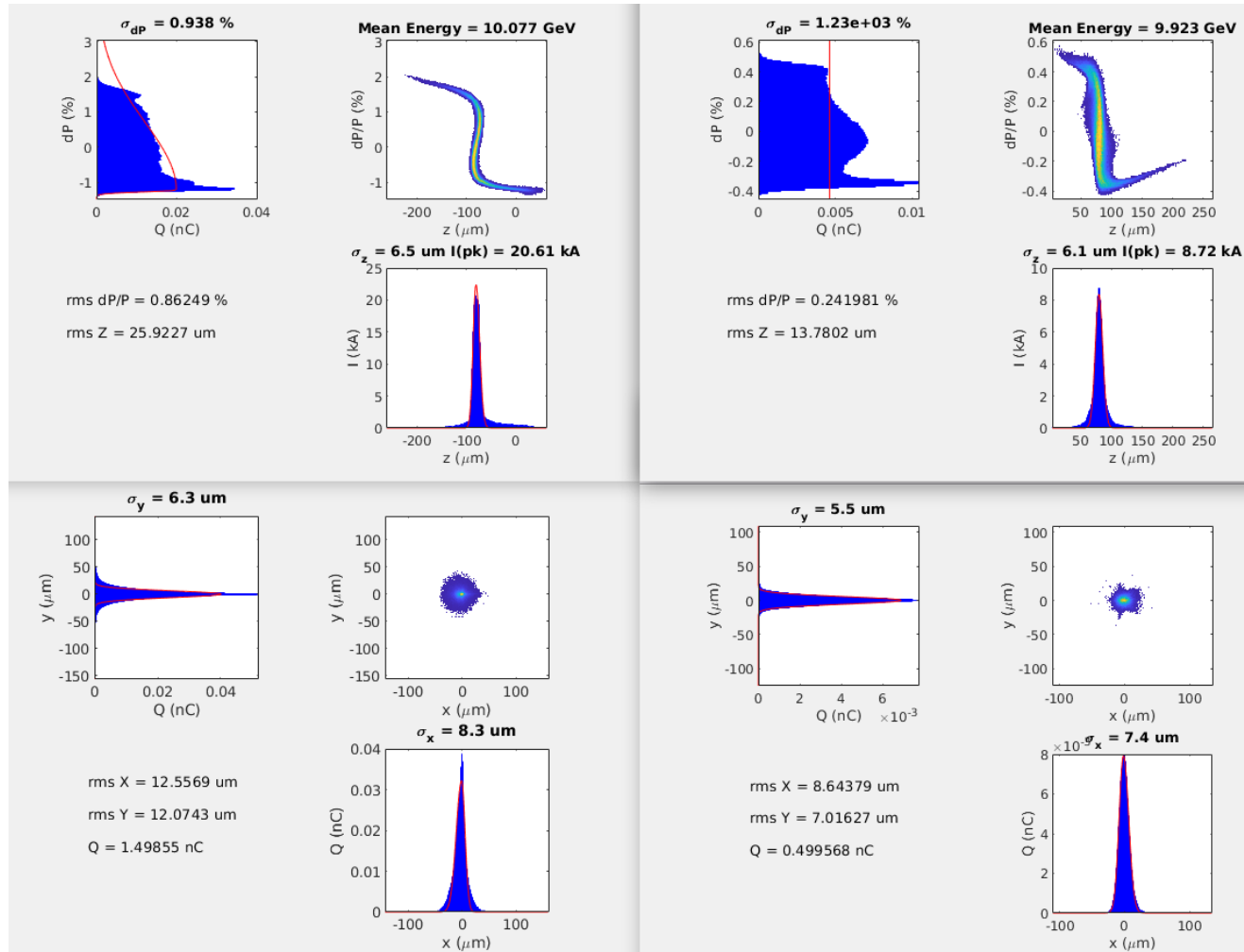


→ Asked for singly peaked distribution, compare aligned results

- Observations :
1. Ez flatter than before
  2. Beam center off axis leads to hosing
  3. Unbalanced Bi-modal distribution of the drive beam causes oscillations about the off-axis center

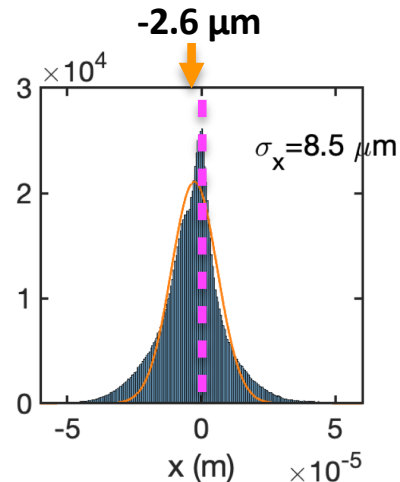
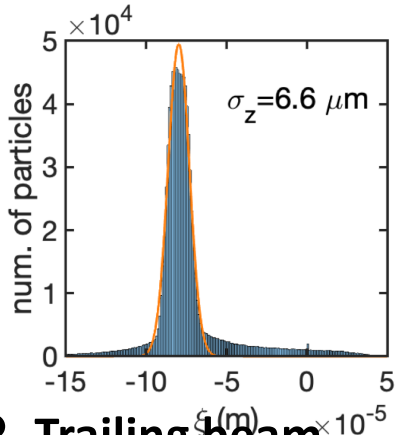
# Distribution Ver. 4

spatial histogram provided by Glen

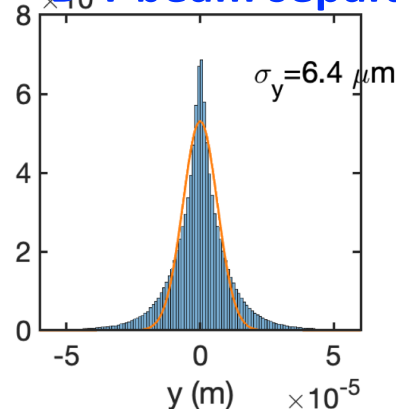


# Glen's latest parameters (Oct 17th 2019)

- **Drive beam**

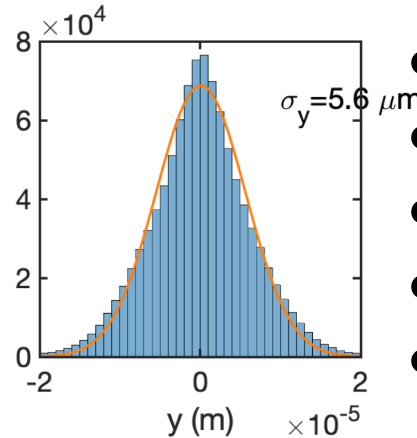
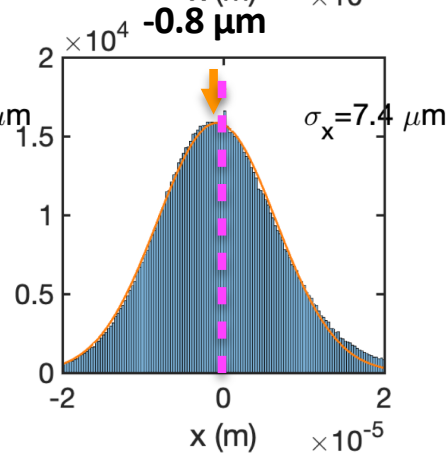
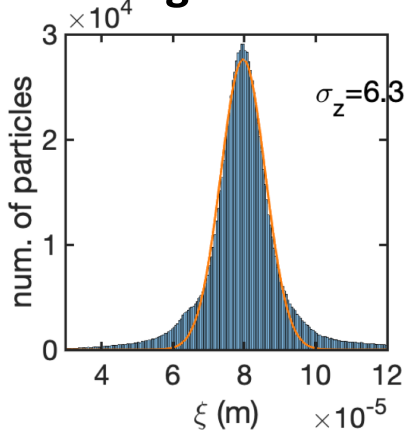


- There is a  $\sim 2 \mu\text{m}$  misalignment in the x direction
- D-T beam separation:  $160 \mu\text{m}$  (too large-low eff.)



- $\epsilon_{nx} = 20.4 \mu\text{m}$ ,  $\epsilon_{ny} = 41.7 \mu\text{m}$
- $\epsilon_{nx}(90\%) = 11.4 \mu\text{m}$
- $\epsilon_{ny}(90\%) = 9.9 \mu\text{m}$
- Charge in the rms peak:  $1.2 \text{ nC}$
- Peak current:  $21.8 \text{ kA}$

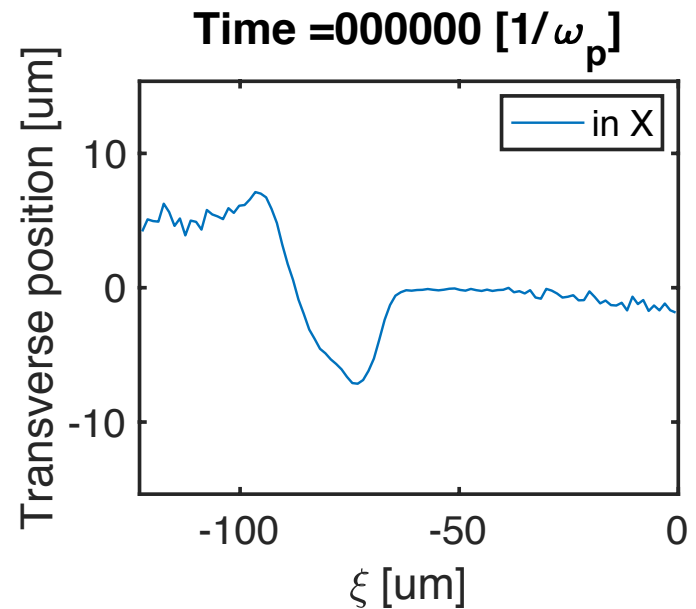
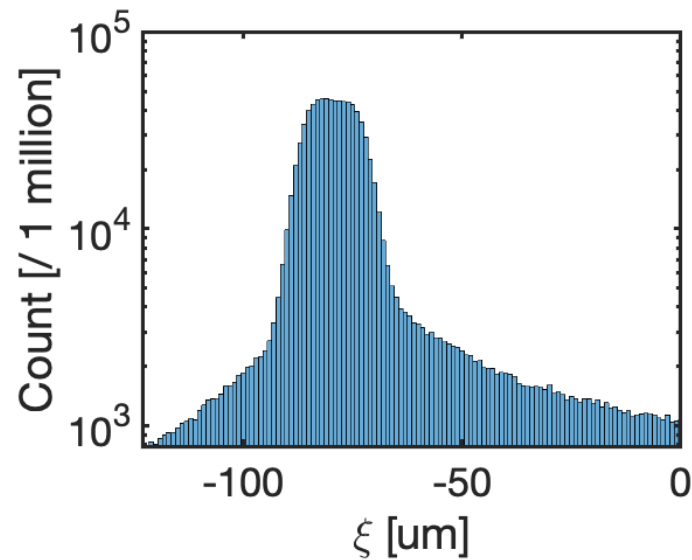
- **Trailing beam**



- $\epsilon_{nx} = 7.4 \mu\text{m}$ ,  $\epsilon_{ny} = 15.9 \mu\text{m}$
- $\epsilon_{nx}(90\%) = 4.6 \mu\text{m}$
- $\epsilon_{ny}(90\%) = 4.2 \mu\text{m}$
- Charge in the rms peak:  $0.43 \text{ nC}$
- Peak current:  $8.2 \text{ kA}$

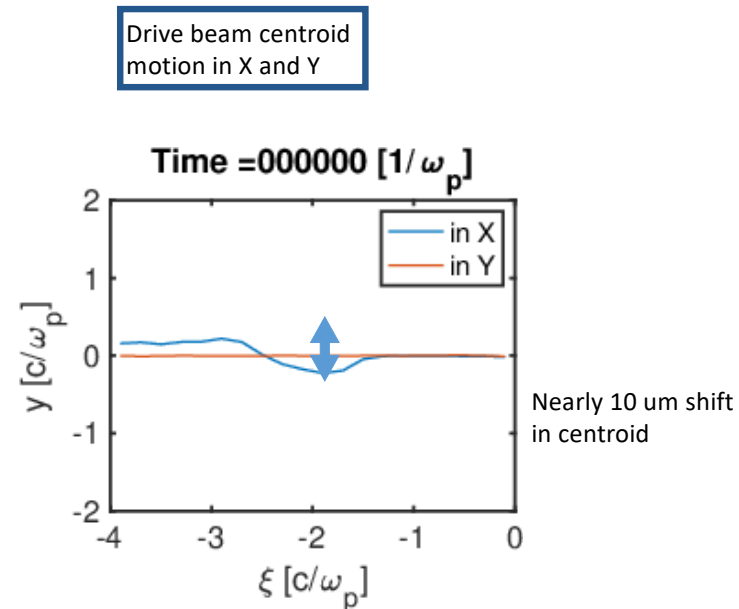
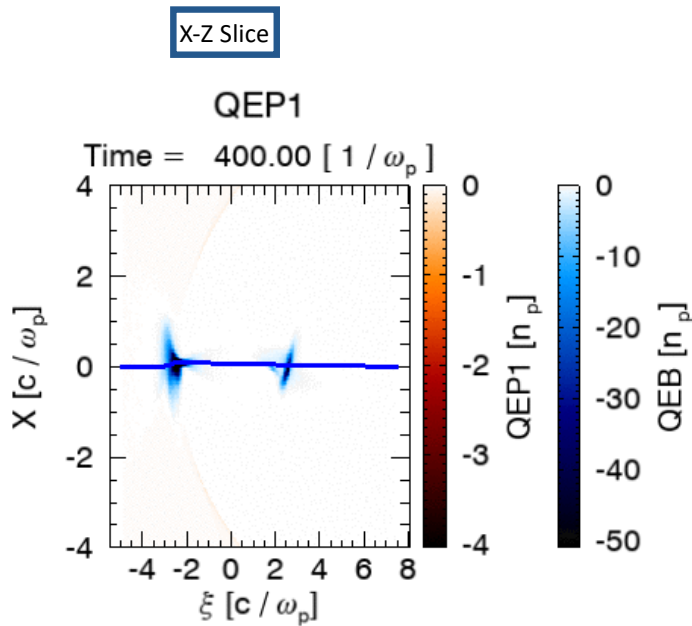


Particle distribution and slice Centroid displacement shows the source of noise for hosing



Is this centroid displacement real or due to insufficient no of particles in the distribution function?

# Beam propagation for artificially centered case



Note : Each centroid calculated by more than 5,000 particles

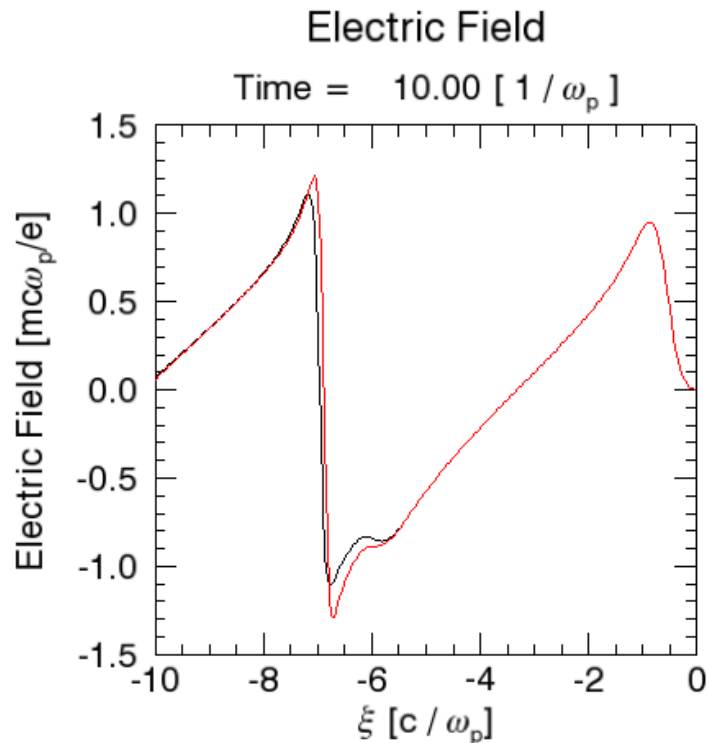
Hosing of the trailing beam is driven not only by the misalignment of two bunches  
 The drive beam has a 100 um long tail and trailing bunch has a 20 um foot.  
 How about truncating the drive beam?

Are all these deleterious effects real?

We go back to using Gaussian fits to beams

# Optimize beam loading by reducing the peak current of the trailing beam: use gaussian fits

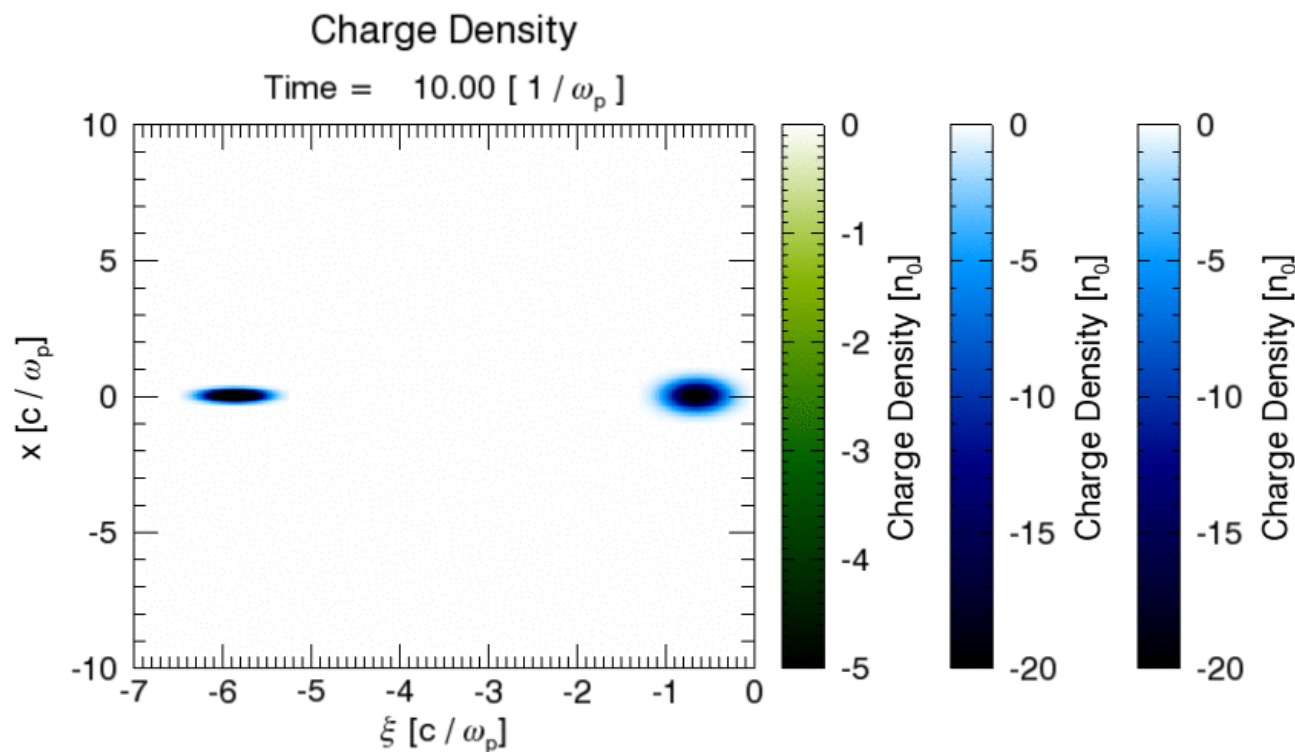
- Adjust the charge in the trailing beam to optimize beam loading
- Use uniform pre-ionized plasma, one-step quickpic simulation



- Black line: I=8.2 kA, Q=0.43 nC,  $\Lambda \approx 3$
- Red line: I=6.8 kA, Q=0.36 nC,  $\Lambda \approx 2.5$

# Aligned Gaussian beams; Quiet start in QuickPIC

- Fit the 6D phase space data with Gaussian beams
- Align the drive and trailing beams, tails are cut off

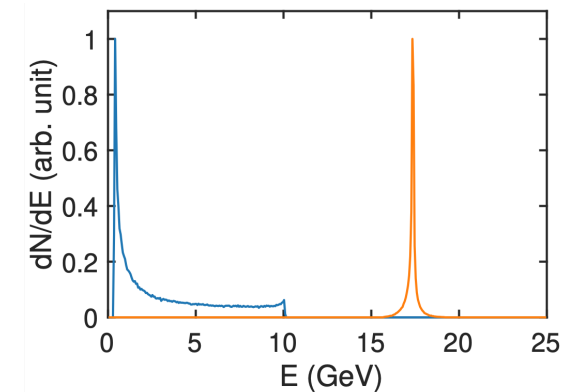


Final energy spectrum

Energy gain 7.3 GeV

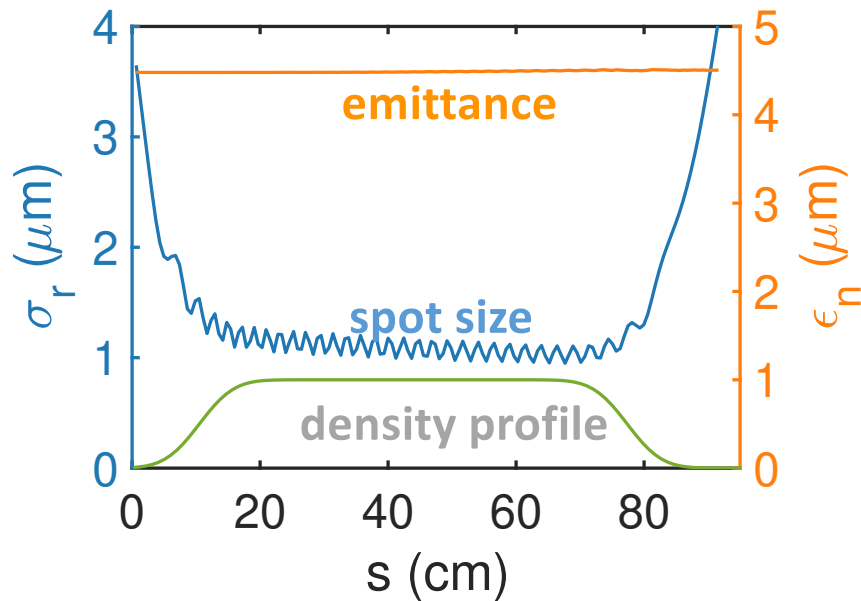
$\Delta E/E \sim 1.8\%$  (rms)

$\Delta E/E \sim 0.7\%$  (FWHM)



# Simulation using Gaussian beams (fit to Glen's data V4)

- Drive beam:  $\sigma_z=6.6 \mu\text{m}$ ,  $\sigma_x=8.5 \mu\text{m}$ ,  $\sigma_y=6.4 \mu\text{m}$ ,  $Q=1.2 \text{ nC}$ ,  $I=22 \text{ kA}$
- Tailing beam:  $\sigma_z=6.3 \mu\text{m}$ ,  $\sigma_x=7.4 \mu\text{m}$ ,  $\sigma_y=5.6 \mu\text{m}$ ,  $Q=0.36 \text{ nC}$ ,  $I=6.8 \text{ kA}$  (to optimize beam loading)

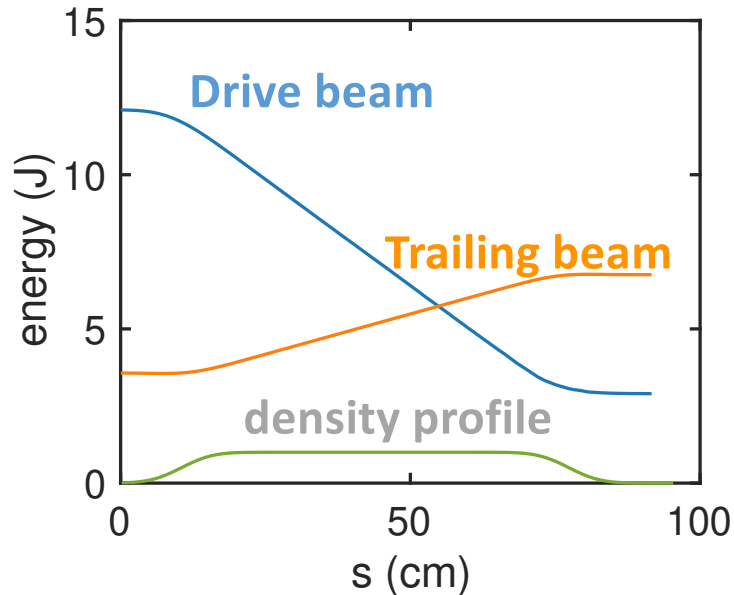


- Emittance preserved
- Trailing beam matched
- Negligible spot size oscillation

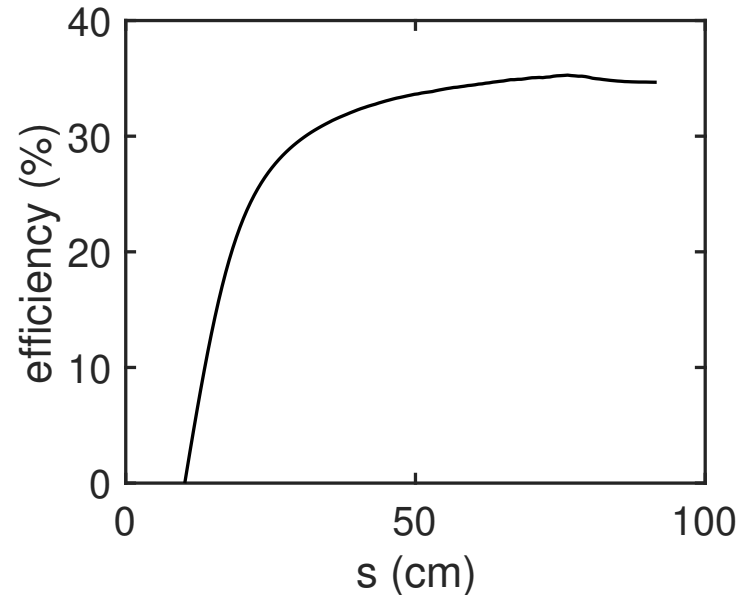
# Energy Transfer and efficiency

The drive beam does not pump deplete

- Energy gain (Trailing beam) and loss (drive beam)

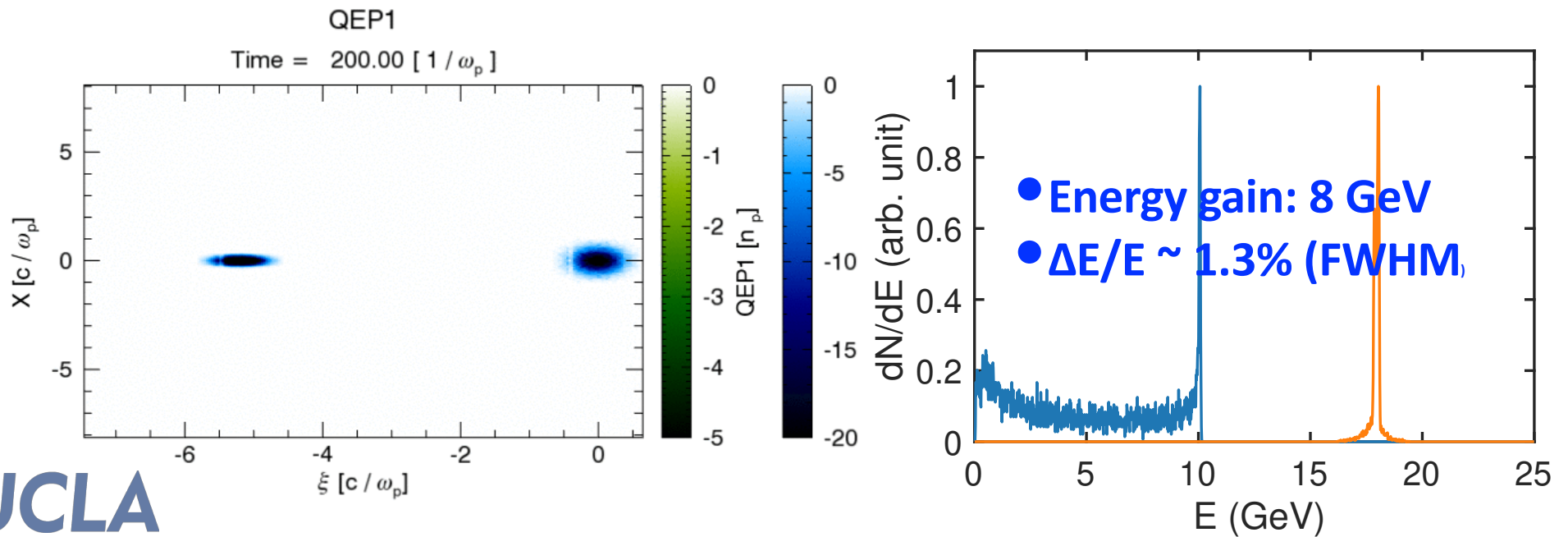


- Efficiency (energy gain/energy loss)
- ~35%



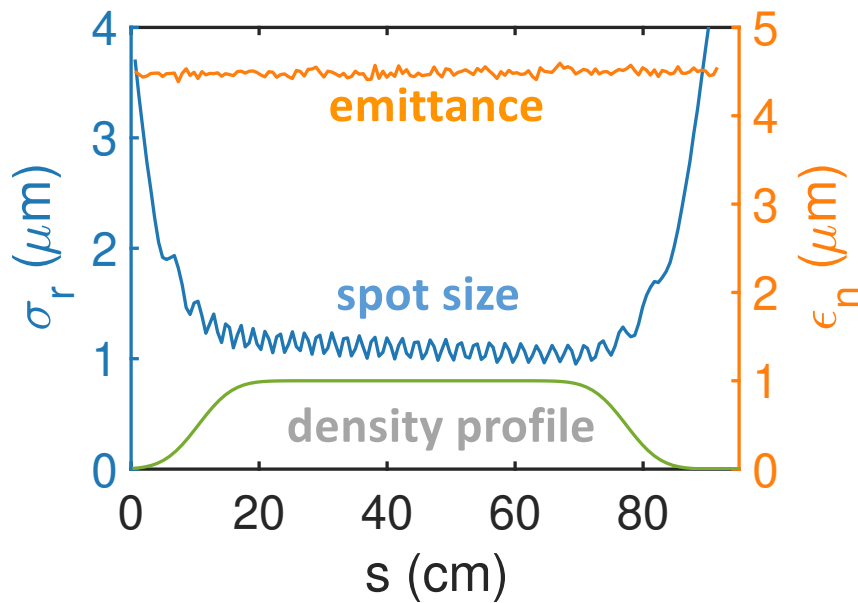
Simulation of plasma formed by the beam using Gaussian beams (fit to Glen's data); Plasma profile optimized ( $3 \times 10^{16}$ , length extended by 10 cm compared to profile shown in PPCF 2018 paper)

- Drive beam:  $\sigma_z=6.6 \mu\text{m}$ ,  $\sigma_x=8.5 \mu\text{m}$ ,  $\sigma_y=6.4 \mu\text{m}$ ,  $Q=1.2 \text{ nC}$ ,  $I=22 \text{ kA}$
- Tailing beam:  $\sigma_z=6.3 \mu\text{m}$ ,  $\sigma_x=7.4 \mu\text{m}$ ,  $\sigma_y=5.6 \mu\text{m}$ ,  $Q=0.36 \text{ nC}$ ,  $I=6.8 \text{ kA}$  (to optimize beam loading)





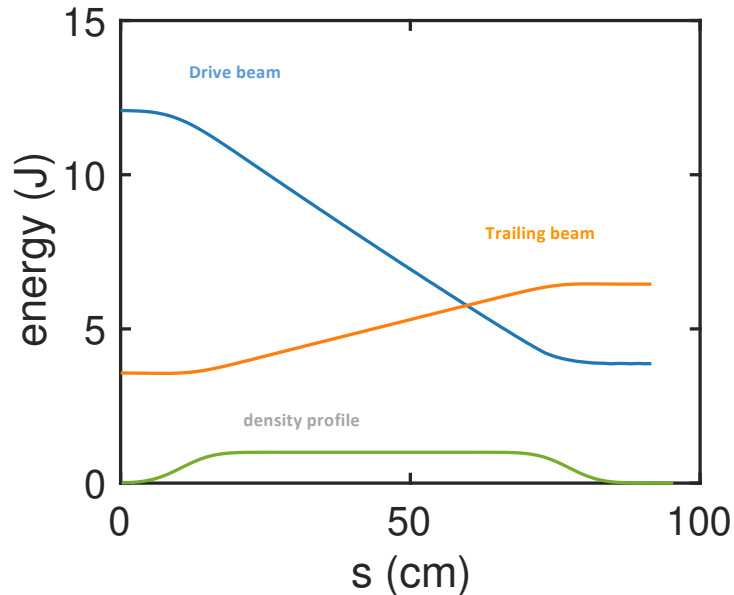
# Same simulation, emittance evolution



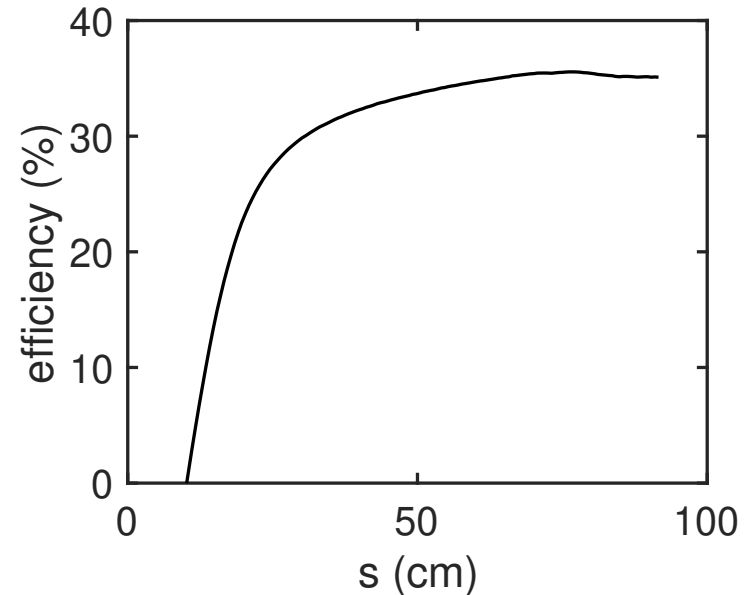
- Emittance preserved
- Trailing beam matched
- Negligible spot size oscillation

Same simulation, efficiency is the same of  $\sim 35\%$

- Energy gain (Trailing beam) and loss (drive beam)

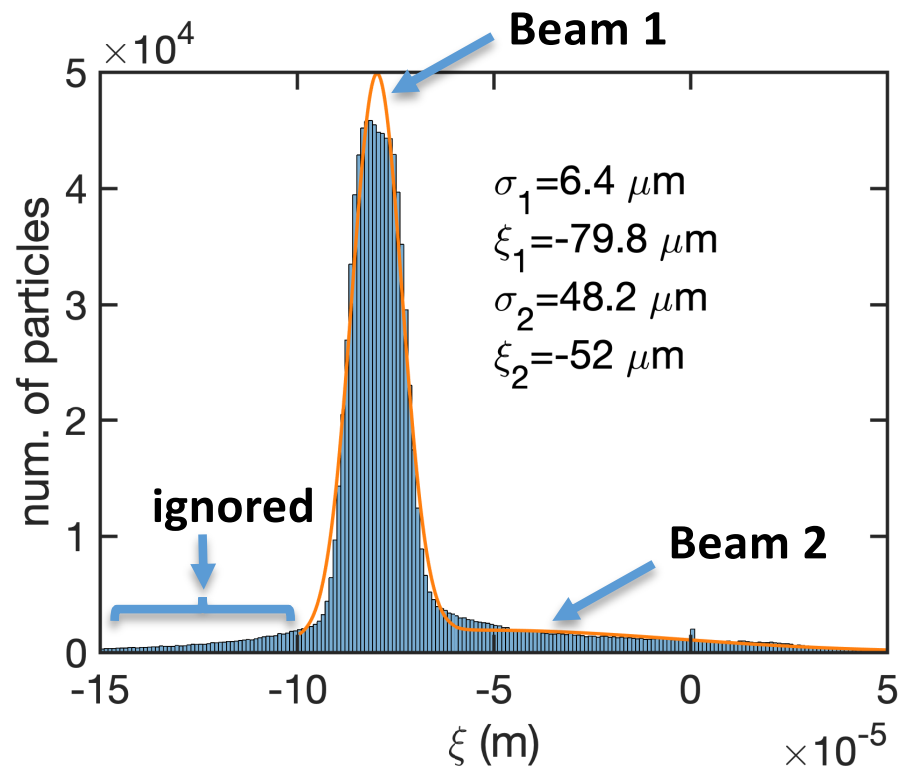


- Efficiency (energy gain/energy loss)
- $\sim 35\%$



# Simulation including the low-current tail of the drive beam

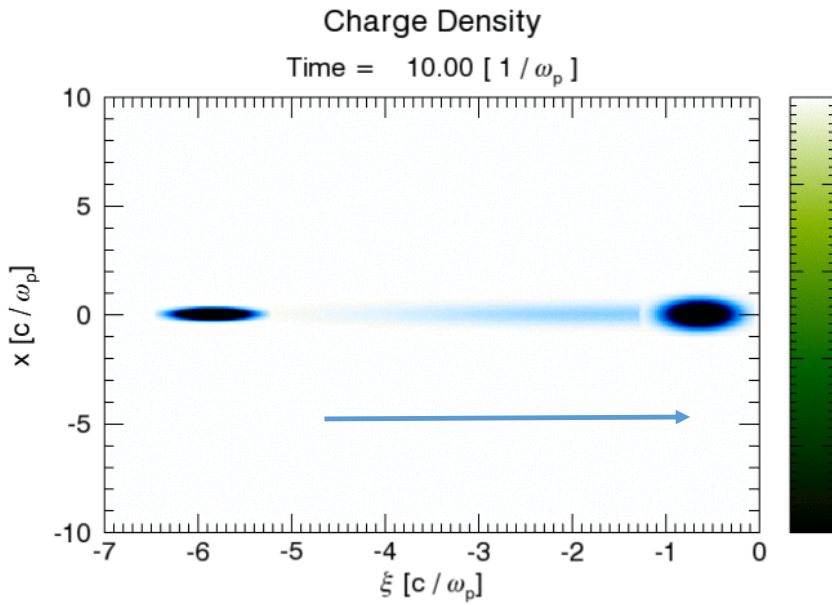
- Fit the drive beam using a bi-Gaussian distribution



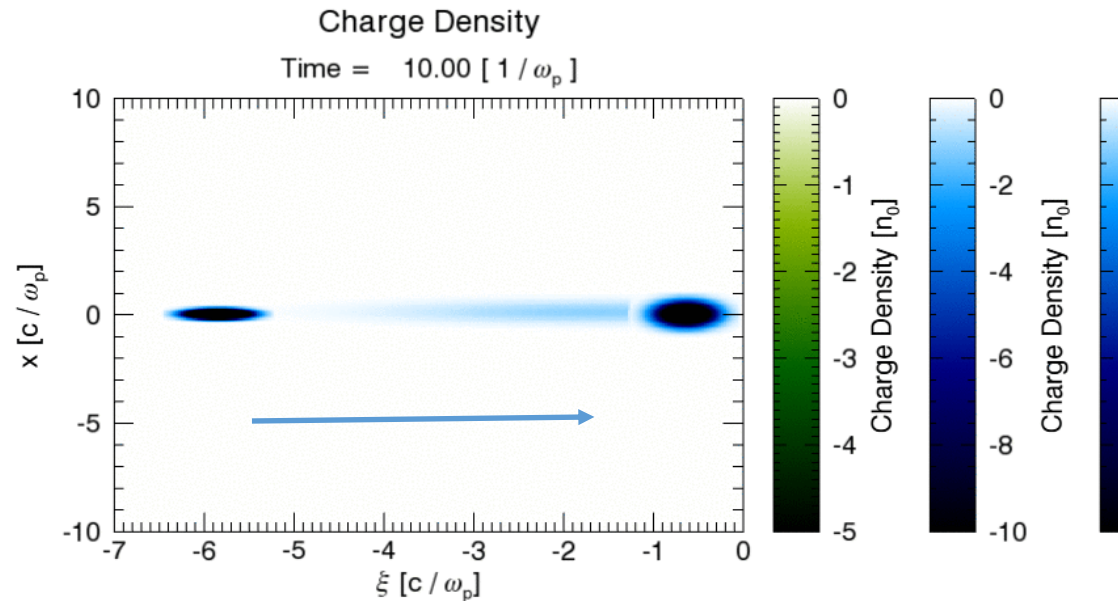
- Beam 1:  $\sigma_z = 6.4 \mu\text{m}$ ,  $Q = 1.15 \text{ nC}$ ,  $I = 21.5 \text{ kA}$
- Beam 2:  $\sigma_z = 48 \mu\text{m}$ ,  $Q = 0.35 \text{ nC}$ ,  $I = 0.87 \text{ kA}$
- We ignore the foot or the prepulse because the foot will expand away since the beam current will be below the Li ionization threshold of 6KA.
- In a preionized plasma the misalignment of the foot and tail cannot be ignored.

# Simulation including the low-current tail of the drive beam

- All three beams aligned



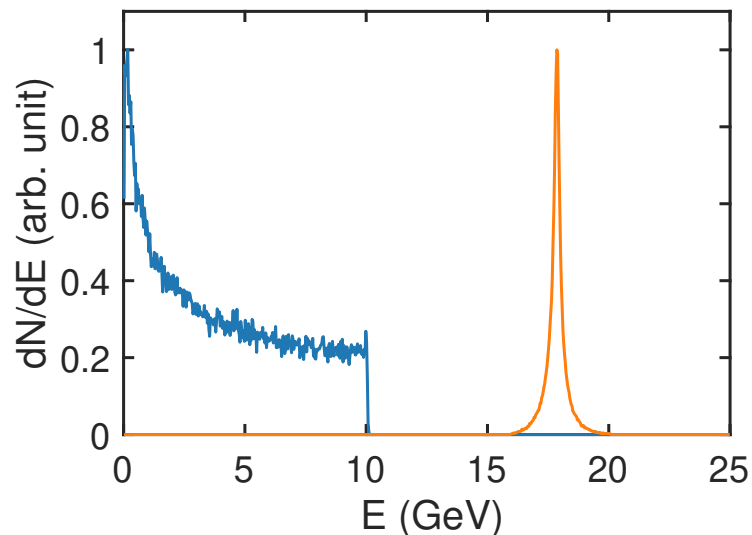
- Drive beam and trailing beam aligned
- Tail of the drive beam off centered by 3 μm



# Simulation including the low-current tail of the drive beam

- In these particular simulations, the hosing instability did not affect the energy spectrum

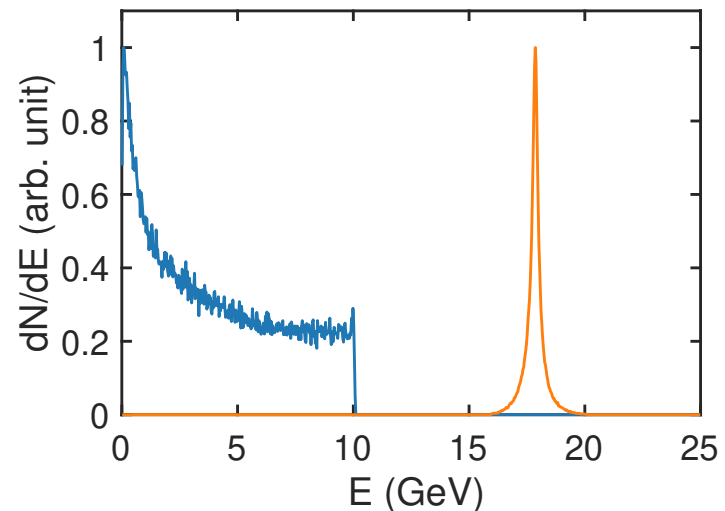
- All three beams aligned



- Energy spectrum
- Energy gain: 7.9 GeV
- $\Delta E/E = 1.8\%$  (FWHM)

- Drive beam and trailing beam aligned

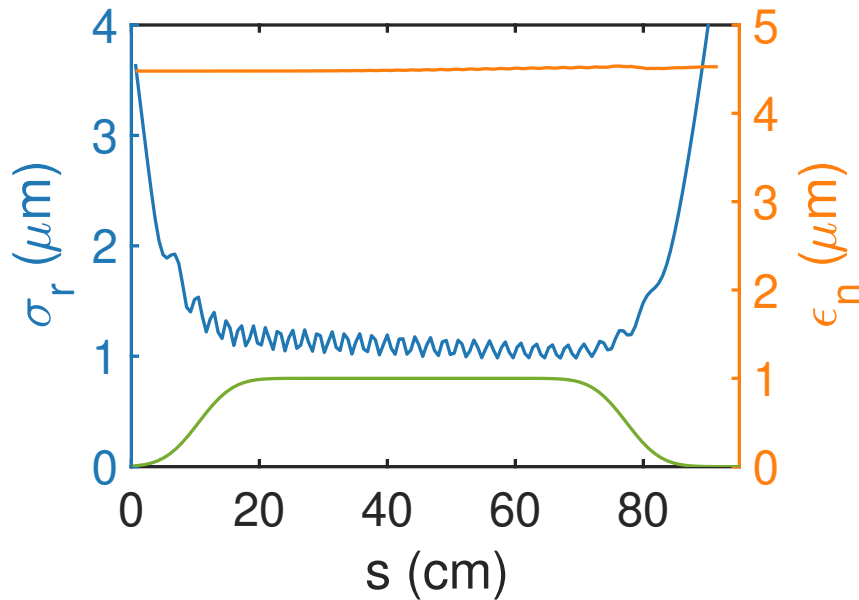
- Tail of the drive beam off centered by  $3 \mu\text{m}$



- Energy spectrum
- Energy gain: 7.9 GeV
- $\Delta E/E = 1.6\%$  (FWHM)

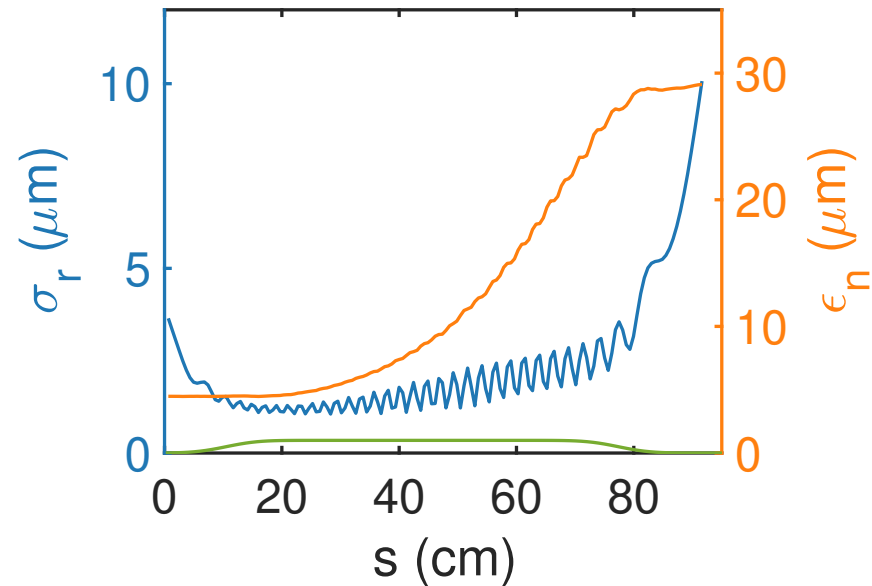
# Simulation including the low-current tail of the drive beam

- All three beams aligned



- Emittance preserved

- Drive beam and trailing beam aligned
- Tail of the drive beam off centered by 3  $\mu\text{m}$



- Emittance increased by almost an order of magnitude

# Lithium Oven – Can we use Laser Preionization?

Work done by M. Litos ' group.

Advantage: Get rid of He. Might be able to reduce the trailing beam emittance to 4  $\mu\text{m}$ .

## Laser Parameters

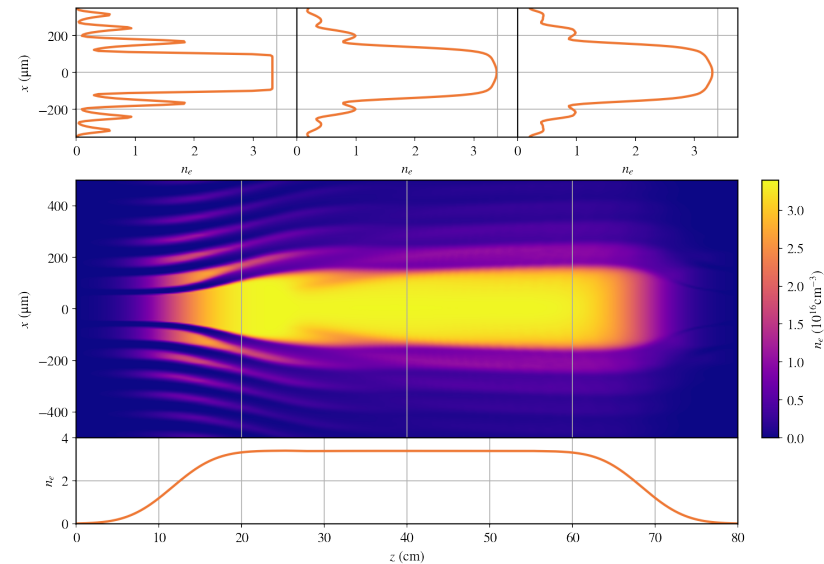
Laser energy: 20mJ  
Pulse duration: 70fs  
Wavelength: 796nm  
Beam width: 40mm FWHM  
Beam profile: Super Gaussian

## Laser refraction simulation

Split step Fourier based code.  
Energy loss due to ionization.  
No dispersion, no self-focusing.

## Beam Energy:

Energy to ionize: 2.84mJ  
Plasma heating energy: ~2mJ  
Energy after optics: 7.28mJ  
Optics efficiency: 80%  
Energy before optics: 9.1mJ  
Lost to aberrations: 2.5mJ  
Lost to aperture: 6.5mJ  
Required energy: 18.1mJ



# Takeaways from latest simulations

- 1) It is essential to have drive and trailing bunch centroids aligned
- 2) The long tail after the core of the drive bunch is probably deleterious if it is not aligned with the drive bunch peak
- 3) Although QuickPIC simulations use 40 M macroparticles, hosing can occur without Quiet Start. This means that importing of 6D phase space with only 1M macroparticles will always be noisy and prone to hosing
- 4) Optimum beam loading will need some control over trailing bunch charge



# Implications for E300

- 1) Pump Depletion
  - This requires only the drive beam
  - Need to know the charge and bunch length on each shot.
  - Can we hope to measure 6um bunches with EO diagnostic?
- 2) Energy Doubling with High Efficiency, charge throughput and narrow energy spread
  - Need to know bunch separation, bunch lengths, energy loss and gain screens, need submicron accuracy alignment between the two bunches.

## Implications for E300

- 3) Emittance Preservation
- These simulations did not take into account ionization of He. Previous work has shown that if the bunches have emittance of 20  $\mu\text{m}$  or greater He ionization is negligible and we can preserve it.
- Bunch alignment
- No long tail following the drive bunch or before the trailing bunch
- Deliver the stated beam focusing performance . Achieve matching by moving the IP a few cm
- Ability to measure the butterfly image of the accelerated bunch that may have 1% energy spread.

# How does one measure progress?

Assume that FACET II will deliver the drive and trailing bunch charge, current and bunch spacing as advertised.

- 1) Pump depletion of the drive bunch (year1)
- 2) Energy doubling of the trailing bunch (year1)
- 3) Minimize energy spread of the trailing bunch (year 2)
- 4) Investigate the factors that cause emittance growth (year 2)
- 5) Learn to match the 10-20 um trailing bunch to the PA (year 2-3)
- 6) Do an integrated (1,2,3,and 5) experiment (year 3)
- 7) Optimizing the charge throughput (year 3)

## Time Request (flexible)

Yr 1 - 4, 2 week runs every 3 months assuming year round operation of the facility.

EOS and TCAV correlated with YAG screen working to a high degree of confidence.

In subsequent years 3, 3 week runs depending on scheduling.

## Conclusions

Assuming we are successful in matching the witness bunch to and from the plasma

- 1 We can pump deplete drive beam in flat plasma region only 50-60 cm long with a density of  $3.5-4 \times 10^{16} \text{ cm}^{-3}$
- 2 We can get an energy gain of 7-8 GeV for the trailing bunch with no loss of particles in  $\pm 2\sigma_z$
- Energy spread is  $<1\%$  for particles contained within  $\pm\sigma_z$
- Energy extraction efficiency  $\sim 30\%$  (need to increase it to 40%)
- Emittance preservation at 20 um level even with He buffer gas.
- More simulations work needs to be done before we can be confident that we are getting